

**USFB/CS/SE/2021-22/88**

**Date:** February 05, 2022

**To,**

**National Stock Exchange of India Limited**

Listing Department  
Exchange Plaza, C-1, Block G, Bandra Kurla Complex,  
Bandra (E)  
Mumbai – 400 051

**BSE Limited**

Listing Compliance  
P.J. Tower,  
Dalal Street, Fort,  
Mumbai – 400 001

**Symbol:** UJJIVANSFB

**Scrip Code:** 542904

Dear Sir/Madam,

**Sub: Appointment of Mr. Sanjeev Barnwal (ACS 19180) as the Head of Regulatory Framework, Company Secretary and Compliance Officer of the Bank**

We draw your kind attention to our letter bearing reference number **USFB/CS/SE/2021-22/79** dated **January 06, 2022** wherein we had informed you about the resignation of Mr. Chanchal Kumar from the position of Company Secretary and Compliance Officer w.e.f. April 04, 2022 (end of business hours).

Pursuant to Regulation 30 read with Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby inform you that on the recommendation of Nomination and Remuneration Committee, the Board of Directors of the Bank, in its meeting held today, has **appointed Mr. Sanjeev Barnwal as the Head of Regulatory Framework w.e.f. February 15, 2022** and he will ensure smooth transition and handover from the existing Company Secretary of the Bank who is serving his notice period until April 04, 2022. **He will be the designated Company Secretary and Compliance Officer of the Bank w.e.f. April 05, 2022.**

He has also been designated as Key Managerial Personnel of the Bank in terms of Section 203 of the Companies Act, 2013 for the period co-terminus with his tenure as Company Secretary effective from April 05, 2022.

Please find the enclosed disclosures in Annexure-1 in relation to his appointment, pursuant to aforementioned Regulation read with SEBI Circular No. CIR/CFD/CMD/4/2015 dated September 09, 2015.

This intimation shall also be available on the Bank's website at [www.ujjivansfb.in](http://www.ujjivansfb.in).

We request you to take note of the above.

Thanking You,

Yours faithfully,

**For UJJIVAN SMALL FINANCE BANK LIMITED**

**Chanchal Kumar**

**Company Secretary and Compliance Officer**

**Annexure I – Mr. Sanjeev Barnwal**

Sr. No.	Particulars	Details
1	Reason for Change	<p><b>Mr. Sanjeev Barnwal has been appointed as the Head of Regulatory Framework w.e.f. February 15, 2022. He will be the designated Company Secretary and Compliance Officer of the Bank w.e.f. April 05, 2022.</b></p> <p>He has been appointed as the Company Secretary and Compliance Officer of the Bank in order to fill the vacancy caused by the resignation of Mr. Chanchal Kumar, Company Secretary and Compliance Officer.</p> <p>He has also been designated as Key Managerial Personnel of the Bank in terms of Section 203 of the Companies Act, 2013 for the period co-terminus with his tenure as Company Secretary effective from April 05, 2022.</p>
2	Date of Appointment and Terms of Appointment	Appointment as the Company Secretary and Compliance Officer of the Bank w.e.f. April 05, 2022.
3	Brief Profile	<p><b>Educational Qualification:</b></p> <ol style="list-style-type: none"> <li>Associate Member of the Institute of Company Secretaries of India</li> <li>Degree in Law</li> <li>Diploma in Business Management from ICFAI University</li> <li>NCFM Compliance Officer (Corporate) Module Certification</li> </ol> <p><b>Career Prospectus:</b> He has corporate experience of 18+ years and has been associated with Ujjivan for the last 7+ years where he has played pivotal roles in several key milestones including private equity raise, IPO &amp; listing, banking licence application and processing, Bank formation, restructuring through slump sale and listing of the Bank. His corporate and investment banking experience plus his active interactions with the regulators, stock exchanges, investors, investment bankers, legal counsels, auditors and long association with Ujjivan will be a good addition to the Bank.</p> <p>Currently, he is the Chief Executive Officer, Company Secretary and Compliance Officer of Ujjivan Financial Services Limited (holding company of the Bank); he is expected to relinquish this position prior to joining the Bank. Previous, he has worked with SMC Capitals Limited, CMC Limited, Elite Stock Management Limited and SBEC Sugar Limited.</p>
4	Disclosure of relationships between directors	NA
5	Shareholding in the Bank	He holds 8000 equity shares of the Bank.