

USFB/CS/SE/2022-23/71

Date: January 04, 2023

To,

National Stock Exchange of India Limited

Listing Department Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E) Mumbai – 400 051

Symbol: UJJIVANSFB Scrip Code: 542904

Dear Sir/Madam,

Sub: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2022.

BSE Limited

P.J. Tower,

Listing Compliance

Dalal Street, Fort,

Mumbai - 400 001

Pursuant to captioned Regulation, we hereby enclose Certificates dated October 04, 2022 and October 11, 2022 received from M/s. KFin Technologies Limited (*Formerly known as KFin Technologies Private Limited*), our Registrar and Transfer Agent, for the quarter ended December 31, 2022.

We request you to take note of the above.

Thanking You,

Yours faithfully, For UJJIVAN SMALL FINANCE BANK LIMITED

Sanjeev Barnwal Company Secretary & Head of Regulatory Framework

Encl: As mentioned above



KFIN/MRVS/NSDL/74(5) E/1 04/01/2023

NATIONAL SECURITIES DEPOSITORY LIMITED TRADE WORLD 4TH FLOOR KAMALA MILLS COMPOUND SENAPATI BAPAT MARG LOWER PAREL MUMBAI - 400 013

Dear Sir(s),

Sub: Certificate under Regulation 74(5) for the quarter ended

December 31, 2022

We as Registrars, Share Transfer and Depository Services agents of the UJJIVAN SMALL FINANCE BANK LTD, certify that No Requests were Received towards dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

Thanking You

Yours faithfully

For KFin Technologies Limited

Mohd Mohsin Uddin Senior Manager

CC TO:

THE COMPANY SECRETARY
UJJIVAN SMALL FINANCE BANK LIMITED
GRAPE GARDEN NO.27, 3RD "A" CROSS
18TH MAIN, 6TH BLOCK
KORAMANGALA
BENGALURU, KARNATAKA, INDIA
560065

KFin Technologies Limited

(Formerly known as **KFin Technologies Private Limited**)



KFIN /MRVS/CDSL/74(5) E/1 04/01/2023

CENTRAL DEPOSITORY SERVICES (INDIA) LTD

REGD OFF: MARATHON FUTUREX, A WING, 25TH FLOOR

NM JOSHI MARG, LOWER PAREL (EAST)

MUMBAI - 400 023

Dear Sir(s),

Sub: Certificate under Regulation 74(5) for the quarter ended

December 31, 2022

We as Registrars, Share Transfer and Depository Services agents of the UJJIVAN SMALL FINANCE BANK LTD, certify that No Requests were Received towards dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

Thanking You

Yours faithfully

For KFin Technologies Limited

Mohd Mohsin Uddin Senior Manager

CC TO:

THE COMPANY SECRETARY
UJJIVAN SMALL FINANCE BANK LIMITED
GRAPE GARDEN NO.27, 3RD "A" CROSS
18TH MAIN, 6TH BLOCK
KORAMANGALA
BENGALURU, KARNATAKA, INDIA
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