

1. **Name of Listed Entity:** Ujjivan Small Finance Bank Limited
2. **Scrip Code/Name of Scrip/Class of Security:** UJJIVANSFB
3. **Share Holding Pattern Filed under:** 31b
4. **Share Holding Pattern as on :** 30-Sep-2020
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shares	No. of fully paid up equity shares	No. of Partly paid-up	No. of shares underlying	Total nos. shares held	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying	Shareholding, as a % assuming full conversion of convertible securities (as a percentage diluted share) (XI) = $\frac{As}{(A+B+C)}$	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights	Total as a % of (A+B+C)	Wa. (X)			No. (a)	As a % of total Shares held	No. (a)	As a % of total Shares held (b)		
		(III)	shares held (IV)	equity shares (V)	Depository Receipts (VI)	(VII) = (IV)+(V)+(VI)	As a % of (A+B+C) 2)	No of Voting Rights										
								Class eg: X	Class eg: Y	Total								
A	Promoter & Promoter Group	7	1440036800	0	0	1440036800	83.32	1440036800	0	1440036800	83.32	0	83.32	1440036800	100	0	0	1440036800
B	Public	161615	288186369	0	0	288186369	16.68	288186369	0	288186369	16.68	0	16.68	85483667	29.66			288186349
C	Non Promoter-Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0

C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	161622	1728223169	0	0	1728223169	100	1728223169	0	1728223169	100	0	100	1525520467	88.27	0	0	1728223149

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name	No. of Shareholder (I)	No. of fully paid up shares (II)	Partly paid-up equity shares (V)	Nos. of Deposits Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities as a percentage of share capital (XI) = (VII)+(X) % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged otherwise encumbered (XIII)		Number of equity shares in dematerialized form (XIV)		
							No of Voting Rights					Total as a % of Total Voting rights	N o. (a)	As a % of total Shares	N o. (a)		As a % of total shares held (b)	
							Class X	Class Y	Total									
1	Indian	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals/Hindu undivided Family	6	6	0	0	6	0	6	0	6	0	0	0	6	100	0	0	6
	SAMIT GHOSH	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	CAROL KRIPANAYANA FURTADO	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	ITTIRA DAVIS	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	G PREMKUMAR	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	RAJAT KUMAR SINGH	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1

	SUDHA SURESH	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
b	Central Government/ State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Financial Institutions/ Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)	1	1440036794	0	0	1440036794	83.32	1440036794	0	1440036794	83.32	0	83.32	1440036794	100	0	0	1440036794
	Bodies Corporate	1	1440036794	0	0	1440036794	83.32	1440036794	0	1440036794	83.32	0	83.32	1440036794	100	0	0	1440036794
	UJJIVAN FINANCIAL SERVICES LIMITED	1	1440036794	0	0	1440036794	83.32	1440036794	0	1440036794	83.32	0	83.32	1440036794	100	0	0	1440036794
	Sub-Total (A)(1)	7	1440036800	0	0	1440036800	83.32	1440036800	0	1440036800	83.32	0	83.32	1440036800	100	0	0	1440036800
2	Foreign	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	7	1440036800	0	0	1440036800	83.32	1440036800	0	1440036800	83.32	0	83.32	1440036800	100	0	0	1440036800

Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)										00								
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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (i)	Nos. of shareholder (iii)	No. of fully paid up equity shares	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities	Total shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares (XII)		Number of Shares pledged		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting	No. (a)	As a % of total Shares	No. (b)		As a % of total shares
								Class X	Class Y	Total								
1	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds/	4	2883861	0	0	2883861	0.17	2883861	0	2883861	0.17	0	0					2883861
b	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0				0
c	Alternate Investment Funds	18	57186829	0	0	57186829	3.31	57186829	0	57186829	3.31	0	3.31	49285716	86.18			57186829
d	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0				0
e	Foreign Portfolio Investors	21	89505982	0	0	89505982	5.18	89505982	0	89505982	5.18	0	5.18	0	0			89505982
	GOVERNMENT OF SINGAPORE	1	24978990	0	0	24978990	1.45	24978990	0	24978990	1.45	0	1.45	0	0			24978990
f	Financial Institutions/Banks	0	0	0	0	0	0	0	0	0	0	0	0	0				0
g	Insurance	0	0	0	0	0	0	0	0	0	0	0	0	0				0

	Companies																	
h	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)	3	11473603	0	0	11473603	0.66	11473603	0	11473603	0.66	0	0.66	0	0			11473603
	Other	3	11473603	0	0	11473603	0.66	11473603	0	11473603	0.66	0	0.66	0	0			11473603
	Sub-Total (B)(1)	46	161050275	0	0	161050275	9.32	161050275	0	161050275	9.32	0	9.32	49285716	30.6			161050275
2	Central Government/ State Government(s) / President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals -	159939	112432727	0	0	112432727	6.5	112432727	0	112432727	6.5	0	6.5	34146722	30.37			112432707
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	159594	73354544	0	0	73354544	4.24	73354544	0	73354544	4.24	0	4.24	9267932	12.63			73354524
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	345	39078183	0	0	39078183	2.26	39078183	0	39078183	2.26	0	2.26	24878790	63.66			39078183
b	NBFCs	1	2000	0	0	2000	0	2000	0	2000	0	0	0	0	0			2000

	registered with RBI																	
c	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)	1629	14701367	0	0	14701367	0.85	14701367	0	14701367	0.85	0	0.85	2051229	13.95			14701367
	Bodies Corporate	209	6291791	0	0	6291791	0.36	6291791	0	6291791	0.36	0	0.36	571428	9.08			6291791
	Clearing Members	89	471175	0	0	471175	0.03	471175	0	471175	0.03	0	0.03	0	0			471175
	Non-Resident Indian (NRI)	1327	6036655	0	0	6036655	0.35	6036655	0	6036655	0.35	0	0.35	1479801	24.51			6036655
	Trusts	4	1901746	0	0	1901746	0.11	1901746	0	1901746	0.11	0	0.11	0	0			1901746
	Sub-Total (B)(3)	161569	127136094	0	0	127136094	7.36	127136094	0	127136094	7.36	0	7.36	36197951	28.47			127136074
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	161615	288186369	0	0	288186369	16.68	288186369	0	288186369	16.68	0	16.68	85483667	29.66			288186349

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & of the Shareholder (I)	PAN (II)	No. of share holder (III)	No. fully paid up equity share \$ (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Deposits Receipts (VI)	Total shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % (A+B+C2) (VIII)	Number of Voting Rights each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities as a percentage of share capital) (XI)	Number Locked in shares (XII)		Number Shares pledged otherwise encumbered (XIII)		Number equity shares in dematerialized form (Not Applicable) (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares	No. (N o t a p p l i e s)		As a % of total shares
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0		0	0	0	0	0	0	0			0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0		0	0	0	0	0	0	0	0			0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %

For UJJIVAN SMALL FINANCE BANK LIMITED

Sd/-
 Chanchal Kumar
 Company Secretary and Compliance Officer