

General information about company		
Scrip code	542904	Enter the quarter ended date only
NSE Symbol	UJJIVANSFB	
MSEI Symbol	NOTLISTED	
ISIN	INE551W01018	
Name of the entity	UJJIVAN SMALL FINANCE BANK LIMITED	
Date of start of financial year	01-04-2025	
Date of end of financial year	31-03-2026	
Reporting Quarter Type	Quarterly	
Date of Quarter Ending	30-06-2025	
Type of company	Equity	
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes	
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes	
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	No	Add Notes
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	Yes	
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	Yes	
Risk management committee	Applicable	
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities	
Is SCORE ID Available ?	Yes	
SCORE Registration ID	u00222	
Reason For No SCORE ID		
Type of Submission	Original	
Remarks (website dissemination)	Add Notes	
Remarks for Exchange (not for Website Dissemination)	Add Notes	

Annexure 1																											
Annexure 1 to be submitted by listed entity on quarterly basis																											
1. Composition of Board of Directors																											
Disclosure of roles on composition of board of directors explanatory					Add Notes																						
Whether the listed entity has a Regular Chairperson					Yes																						
Whether Chairperson is related to MNC or CDD					No																						
Disqualification of Directors under section 164 of the Companies Act, 2013																											
Sl	Title (Mr / Ms)	Name of the Director	PAN	DN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? (Refer Reg. 17(3A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No. of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No. of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(2) & 17A(3))	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 17A(2) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 17A(2) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DN	
Add	Delete																										
1	Mr	Abhishek Anandharajasekaran Brathishan		02101804	Non-Executive - Independent Director	Chairperson		06-08-1973	No				Active	NA		22-08-2021		30-08-2024		47.00	2	2	3	1			
2	Mr	Srinivas Nair/Drift		08072032	Executive Director	Not Applicable	CEO MD	16-03-1961	No				Active	NA		01-07-2024				12.00	1	0	1	0			
3	Ms	Carol Furtado		07087305	Executive Director	Not Applicable		06-07-1973	No				Active	NA		01-05-2024				14.00	1	0	0	0			
4	Ms	Rajya Mishra		08106001	Non-Executive - Independent Director	Not Applicable		23-01-1957	No				Active	NA		16-12-2020		36-12-2023		55.00	4	4	3	4			
5	Mr	Rajesh Kumar Jogi		03341095	Non-Executive - Independent Director	Not Applicable		04-03-1968	No				Active	NA		25-08-2021		25-03-2024		46.00	1	1	1	0			
6	Mr	Ravichandran Venkatarajaram		00044552	Non-Executive - Independent Director	Not Applicable		22-03-1962	No				Active	NA		20-08-2021		30-08-2024		47.00	1	1	2	0			
7	Ms	Sudha Senath		06480542	Non-Executive - Independent Director	Not Applicable		24-12-1963	No				Active	NA		01-04-2022		01-09-2025		39.00	2	2	2	1			
8	Ms	Anita Ramachandran		00118188	Non-Executive - Independent Director	Not Applicable		28-04-1955	No				Inactive	NA		01-07-2022		20-06-2025		36.00	7	7	00	1	Tenure Completion		
9	Ms	Monica Kachhathala		01401681	Non-Executive - Independent Director	Not Applicable		03-05-1972	No				Active	NA		18-05-2024				46.00	2	2	3	0			

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	Add Notes

For this quarter kindly note the following points:
1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
2. Date of Appointment can be any day upto September 30, 2022.
3. Date of Cessation must be for the current quarter only, i.e. July 1,2022 to September 30,2022

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06480567	Sudha Suresh	Non-Executive - Independent Director	Chairperson	01-04-2022		
2	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	27-03-2021		
3	08386001	Rajni Mishra	Non-Executive - Independent Director	Member	19-05-2021		
4	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
5	01856801	Mona Kachhwaha	Non-Executive - Independent Director	Member	09-07-2024		
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7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00118188	Anita Ramachandran	Non-Executive - Independent Director	Chairperson	01-07-2022	30-06-2025	2
2	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
3	02101808	Banavar Anatharamaiah Prabhakar	Non-Executive - Independent Director	Member	26-08-2021		
4	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	13-08-2021		
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Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02101808	Banavar Anatharamaiah Prabhakar	Non-Executive - Independent Director	Chairperson	01-07-2022		
2	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	26-08-2021		
3	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	28-01-2022		
4	08075972	Sanjeev Nautiyal	Executive Director	Member	09-07-2024		
5	00118188	Anita Ramachandran	Non-Executive - Independent Director	Member	09-07-2024	30-06-2025	
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Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Chairperson	27-03-2021		
2	02101808	Banavar Anatharamaiah Prabhakar	Non-Executive - Independent Director	Member	26-08-2021		
3	08386001	Rajni Mishra	Non-Executive - Independent Director	Member	25-02-2021		
4	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	26-08-2021		
5	01856801	Mona Kachhwaha	Non-Executive - Independent Director	Member	09-07-2024		
6	07587305	Carol Furtado	Executive Director	Member	09-07-2024		
7	08075972	Sanjeev Nautiyal	Executive Director	Member	09-07-2024		
8							
9							
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Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Chairperson	26-08-2021		
2	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	26-08-2021		
3	08386001	Rajni Mishra	Non-Executive - Independent Director	Member	01-07-2022		
4	00118188	Anita Ramachandran	Non-Executive - Independent Director	Member	01-07-2022	30-06-2025	
5	07587305	Carol Furtado	Executive Director	Member	09-07-2024		
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Annexure 1							
III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory			<div>Add Notes</div>				
Sr. No.	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
<div>AddDelete</div>							
1	24-03-2025			Yes	9	9	7
2	09-04-2025	15		Yes	9	8	6
3	30-04-2025	20		Yes	9	9	7
4	02-06-2025	32		Yes	9	8	6
5	24-06-2025	21		Yes	9	8	6

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory							Add Notes			
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting *	No. of members attending the meeting (other than Board of Directors)
Add Delete										
1	Audit Committee	20-03-2025				Yes	5	4	4	2
2	Audit Committee	29-04-2025	39			Yes	5	5	5	18
3	Risk Management Committee	21-01-2025				Yes	7	7	5	5
4	Risk Management Committee	28-04-2025	96			Yes	7	7	5	5
5	Risk Management Committee	20-06-2025	52			Yes	7	6	4	10
6	Nomination and remuneration committee	24-03-2025				Yes	4	4	4	2
7	Nomination and remuneration committee	26-05-2025	62			Yes	4	4	4	3

Annexure 1		
V. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

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Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Sanjeev Barnwal
2	Designation	Company Secretary

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Other details of cyber security incidence or breaches or loss of data event		<div>Add Notes</div>
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

Signatory Details	
Name of signatory	Sanjeev Barnwal
Designation of person	Company Secretary
Place	Bengaluru
Date	15-07-2025

Investor Grievance Details	
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	1
No. of investor complaints disposed off during the Quarter	1
No. of investor complaints those remaining unresolved at the end of the Quarter	0

Disclosure of Imposition of Fine or Penalty					
The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para A of Part A of Schedule III are given below:					
Any Other Information for Disclosure of Imposition of Fine or Penalty					Add Notes
Sr. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
<div>AddDelete</div>					
1	Assistant Commissioner of State Tax, Indore , Madhya Pradesh	Appeal yet to be filed	13-05-2025	Dispute w.r.t. Turnover reported in GSTR 9C table 50 and ITC availed	70000

Disclosure of Updates to Ongoing Tax Litigations or Disputes				
The updates on tax litigations or disputes in terms of sub-para 8 of para B of Part A of Schedule III read with corresponding provisions of Annexure 18 of the Master Circular are given below:				
Any Other Information for Disclosure of Updates to Ongoing Tax Litigations or Disputes				Add Notes
Sr. No.	Name of the opposing party	Date of initiation of the litigation / dispute	Status of the litigation / dispute as per last disclosure	Current status of the litigation / dispute
	<div>Add</div> <div>Delete</div>			
1	Sales Tax Officer Class II /AVATO Ward 51: Zone 3: Delhi	26-12-2023	Appeal Filed March 21, 2024	Pending Disposal
2	Assistant Commissioner (ST) (FAC) Suramangalam Circle, Salem II. Tamil Nadu	30-12-2023	Appeal Filed March 27, 2024	Pending Disposal
3	Sales Tax Officer Class II /AVATO Ward 51: Zone 3: Delhi	27-04-2024	Appeal Filed July 25, 2024	Pending Disposal
4	Assistant Commissioner GST & Central Excise, Bhubaneswar-I Division	27-04-2024	Appeal Filed July 31, 2024	Pending Disposal
5	Deputy Commissioner State Tax, Gandhi Maidan, Patna West , Bihar	22-07-2024	Appeal Filed October 25, 2024	Pending Disposal
6	Assistant Commissioner State Tax, Gandhi Maidan, Patna West , Bihar	31-08-2024	Demand Paid under amnesty Scheme and applied for waiver of interest and Penalty	Closed
7	Assistant Commissioner (ST) (FAC) Suramangalam Circle, Salem II. Tamil Nadu	31-08-2024	Demand Paid under amnesty Scheme and applied for waiver of interest and Penalty	Closed
8	Joint Commissioner, Pune , Maharashtra	19-02-2025	Appeal Filed May 12, 2025	Pending Disposal
9	Assistant Commissioner, Ghatak 6 (Ahmedabad) Range 6	20-02-2025	Appeal Filed May 14, 2025	Pending Disposal
10	Assistant Commissioner, Division IV Jamshedpur Ranch CBIC	27-02-2025	Appeal Filed May 26, 2025	Pending Disposal
11	Assistant Commissioner, Division IV Jamshedpur Ranch CBIC	21-02-2025	Appeal Filed May 21, 2025	Pending Disposal
12	Sales Tax Officer Class II /AVATO Ward 51: Zone 3: Delhi	20-02-2025	Appeal Filed May 14, 2025	Pending Disposal
13	Joint Commissioner, Corporate Circle Meerut	10-02-2025	Appeal Filed May 08, 2025	Case come up for hearing
14	Assessing Officer, ITD in the matter of Ujjivan Financial Services Ltd (merged with Ujjivan Small Finance Bank w.e.f 01.04.2023) against 143(3) order Dt.21.12.2019	17-01-2020	Pending with CIT(A), NFAC	Submission made u/s 250 on 14th May 2025 against request for final-submission of appeal document. Awaiting for final hearing.
15	Assessing Officer, ITD in the matter of USFB against 143(3) order 21.09.2022	10-10-2022	Pending with CIT(A), NFAC	No update.
16	Assessing Officer, ITD in the matter of USFB against Order u/s 201 dated 29.12.2023	25-01-2024	Pending with CIT(A), NFAC	No update.
17	Assessing Officer, ITD in the matter of USFB against 143(3) order dated May 21, 2021	03-09-2024	Pending with Jurisdictional AO	Bank received OGE u/s 250 dated 13.05.2025 granting a refund of 2.18 Crores being originally requested refund of 1.38 Cr and .76 Cr of FY 2021-22's wrongly adjusted against this along with the interest u/s 244A of .03 Cr. Awaiting for refund. Bank is in the process of making rectification application for interest on delayed refund and delayed OGE order.
18	Assessing Officer, ITD in the matter of USFB against 143(3) order	25-04-2022	Pending with Jurisdictional AO	Pending with Jurisdictional AO