

USFB/CS/SE/2024-25/126

Date: February 21, 2025

To,

**National Stock Exchange of India Limited**  
 Listing Department  
 Exchange Plaza, C-1, Block G, Bandra Kurla Complex,  
 Bandra (E)  
 Mumbai – 400 051

**BSE Limited**  
 Listing Compliance  
 P.J. Tower,  
 Dalal Street, Fort,  
 Mumbai – 400 001

**Symbol:** UJJIVANSFB

**Scrip Code:** 542904

Dear Sir/Madam,

**Sub: Intimation of Imposition of Fine or Penalty in terms of Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the bank is in receipt of a DRC07 order/demand order from Assistant Commissioner, Kolkata, West Bengal and a penalty of Rs. 3,07,384.60/- has been imposed on the Bank for matter/s mentioned in the below table. The details as required to be provided as per sub-para 20 of Para A of Part A of Schedule III of SEBI LODR Regulations are as follows:

| S.N. | Particulars  | Details   |                  |
|------|--|---|------------------|
| 1    | Name of the authority  | Assistant Commissioner, Bidhanagar Division, Kolkata North Commissionerate, Kolkata – 700107. |                  |
| 2    | Period Involved  | FY 2020-2021  |                  |
| 3    | Nature and details of the action(s) taken, initiated or order(s) passed  | Order No ZD190225034516F<br>Order Date 21-02-2025   |                  |
| 4    | Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority | 21-02-2025  |                  |
| 5    | Details of the violation(s)/ contravention(s) committed or alleged to be committed   | Disallowance of Input Tax Credit  |                  |
| 6    | Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible   | Value of Tax Disputed   | Rs. 30,73,846    |
|      |  | Amount of Interest  | -                |
|      |  | <b>Amount of Penalty</b>  | Rs. 3,07,384.60  |
|      |  | Total Value involved  | Rs. 33,81,230.60 |

This intimation will also be available on the website of the Bank [www.ujjivansfb.in](http://www.ujjivansfb.in)

We request you to kindly take note of the above.

Thanking You,  
 Yours faithfully,

**For UJJIVAN SMALL FINANCE BANK LIMITED**

**Sanjeev Barnwal**  
**Company Secretary & Head of Regulatory Framework**