

USFB/CS/SE/2024-25/123

Date: February 20, 2025

To,

National Stock Exchange of India Limited Listing Department Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E) Mumbai – 400 051 **BSE Limited** Listing Compliance P.J. Tower, Dalal Street, Fort, Mumbai – 400 001

Symbol: UJJIVANSFB

Scrip Code: 542904

Dear Sir/Madam,

## Sub: Intimation of Imposition of Fine or Penalty in terms of Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the bank is in receipt of a DRC07 order/demand order from Joint Commissioner, Pune, Maharashtra and a penalty of Rs. 41,10,867.70/- has been imposed on the Bank for matter/s mentioned in the below table. The details as required to be provided as per sub-para 20 of Para A of Part A of Schedule III of SEBI LODR Regulations are as follows:

S.N.	Particulars	Details	
1	Name of the authority	Joint Commissioner, Pune, Maharashtra	
2	Period Involved	FY 2020-2021	
3	Nature and details of the action(s) taken, initiated or order(s) passed	Order No ZD270225100458L Order Date 19-02-2025	
4	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	19-02-2025	
5	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Disallowance of Input Tax Credit	
6	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary	Value of Tax Disputed Amount of Interest	Rs. 4,11,08,677 -
	terms to the extent possible	Amount of Penalty   Total Value involved	<b>Rs. 41,10,867.70</b> Rs. 4,52,19,544.70

This intimation will also be available on the website of the Bank www.ujjivansfb.in

We request you to kindly take note of the above.

Thanking You, Yours faithfully, For UJJIVAN SMALL FINANCE BANK LIMITED

## Sanjeev Barnwal Company Secretary & Head of Regulatory Framework

(Q) 18002082121

R www.ujjivansfb.in

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