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General information about company

Scrip code	542904
NSE Symbol	UJJIVANSFB
MSEI Symbol	NA
ISIN	INE551W01018
Name of the entity	Ujjivan Small Finance Bank Limited
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Quarterly
Date of Report	31-12-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities

Enter the quarter ended date only

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Annexure I
Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory		Add Notes																							
Whether the listed entity has a Regular Chairperson				Yes																					
Whether Chairperson is related to CEO or CFO				No																					
				Disqualification of Directors under section 163 of the Companies Act, 2013																					
Sr	Sl. No. (M/ M)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? (Refer Reg. 17(3A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Res. appointment	Date of cessation	Removal of director (if any)	No of Directorship held earlier including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	Number of memberships in Audit Committee	No of Chairperson's Committee held in listed entities including this listed entity (Refer Regulation 20(2) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	M	Banswar Anantharamaiah Prabhakar		02103809	Non-Executive - Independent Director	Chairperson		04-08-1953	No				Active	NA		25-09-2021	20-09-2021		28.00	1	1	2	1		
2	M	Hitesh Dixit	04432816		Executive Director	Not Applicable	CEO MD	04-03-1955	No				Active	NA		14-01-2022	14-01-2022		24.00	1	0	1	0		
3	M	Rajiv Mishra	08380001		Non-Executive - Independent Director	Not Applicable		23-01-1957	No				Active	NA		16-12-2020	16-12-2020		27.00	3	3	3	0		
4	M	Rajesh Kumar Singh	03341036		Non-Executive - Independent Director	Not Applicable		04-03-1968	No				Active	NA		25-09-2021	25-09-2021		28.00	1	1	1	0		
5	M	Ravichandran Venkateshraman	02064657		Non-Executive - Independent Director	Not Applicable		22-03-1962	No				Active	NA		25-09-2021	20-09-2021		28.00	1	1	2	0		
6	M	Sudha Suresh	04885657		Non-Executive - Independent Director	Not Applicable		21-12-1963	No				Active	NA		01-04-2022	01-04-2022		21.00	1	1	2	1		
7	M	Santosh Kumar Ghosh	00183569		Non-Executive - Non-Independent Director	Not Applicable		01-12-1949	No				Active	NA		25-09-2021	20-09-2021		28.00	2	0	2	0		
8	M	Arka Ramachandran	00118188		Non-Executive - Independent Director	Not Applicable		28-04-1955	No				Active	NA		01-07-2022	01-07-2022		18.00	6	6	7	2		

Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Add Notes

For this quarter kindly note the following points:
 1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
 2. Date of Appointment can be any day upto September 30, 2022.
 3. Date of Cessation must be for the current quarter only, i.e. July 1,2022 to September 30,2022

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06480567	Sudha Suresh	Non-Executive - Independent Director	Chairperson	01-04-2022		16
2	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	27-03-2021		17
3	08386001	Rajni Mishra	Non-Executive - Independent Director	Member	19-05-2021		
4	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00118188	Anita Ramachandran	Non-Executive - Independent Director	Chairperson	01-07-2022		
2	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
3	02101808	Banavar Anatharamaiah Prabhakar	Non-Executive - Independent Director	Member	26-08-2021		
4	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	13-08-2021		3
5	00185369	Samit Kumar Ghosh	Non-Executive - Non Independent Director	Member	26-08-2021		
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02101808	Banavar Anatharamaiah Prabhakar	Non-Executive - Independent Director	Chairperson	01-07-2022		15
2	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	26-08-2021		5
3	00185369	Samit Kumar Ghosh	Non-Executive - Non Independent Director	Member	26-08-2021		
4	06442816	Ittira Davis	Executive Director	Member	28-01-2022		
5	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
6							
7							
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Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08386001	Rajni Mishra	Non-Executive - Independent Director	Chairperson	25-02-2021		
2	02101808	Banavar Anatharamaiah Prabhakar	Non-Executive - Independent Director	Member	26-08-2021		
3	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	27-03-2021		6
4	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	26-08-2021		7
5	06442816	Ittira Davis	Executive Director	Member	28-01-2022		
6	00185369	Samit Kumar Ghosh	Non-Executive - Non Independent Director	Member	12-05-2022		
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Chairperson	26-08-2021		11
2	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	26-08-2021		12
3	06442816	Ittira Davis	Executive Director	Member	28-01-2022		
4	08386001	Rajni Mishra	Non-Executive - Independent Director	Member	01-07-2022		
5	00118188	Anita Ramachandran	Non-Executive - Independent Director	Member	01-07-2022		

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Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						
2						
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Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
	Add Delete						
1	27-07-2023			Yes	8	7	5
2	27-10-2023	91		Yes	8	8	6
3	08-12-2023	41		Yes	8	8	6

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* to be filled in only for the current quarter meetings

Annexure 1												
IV. Meeting of Committees												
Disclosure of notes on meeting of committees explanatory						Add Notes						
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)		
Add		Delete										
1	Audit Committee	26-07-2023				Yes	4	4	4	0		
2	Audit Committee	26-10-2023	91			Yes	4	4	4	0		
3	Nomination and remuneration committee	09-10-2023				Yes	5	5	4	0		
4	Nomination and remuneration committee	22-12-2023	73			Yes	5	5	4	0		
5	Risk Management Committee	25-07-2023				Yes	6	6	4	0		
6	Risk Management Committee	25-10-2023	91			Yes	6	5	4	0		
7	Risk Management Committee	21-11-2023	26			Yes	6	6	4	0		
8	Corporate Social Responsibility Committee	25-08-2023				Yes	5	5	4	0		
9	Corporate Social Responsibility Committee	21-11-2023	87			Yes	5	5	4	0		

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* to be filled in only for the current quarter meetings

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V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			Add Notes
Disclosure of notes of material transaction with related party			Add Notes

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W1 Details of non compliance of prior approval of audit committee obtained
 W1 Details of non compliance of shareholder approval obtained for material RPT
 W1 Details of non compliance of RPT entered into pursuant to omnibus approval have been reviewed by audit committee
 D1 Disclosure notes on quarterly formats for compliance report on corporate governance
 Disclosure of notes of material transaction with related party

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Details of Cyber security incidence			
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter			No
Date of the event		Brief details of the event	

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Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

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Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Sanjeev Barnwal
2	Designation	Company Secretary and Compliance Officer

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Signatory Details	
Name of signatory	Sanjeev Barnwal
Designation of person	Company Secretary and Compliance Officer
Place	Bengaluru
Date	11-01-2024

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