

BEFORE THE NATIONAL COMPANY LAW TRIBUNAL BENGALURU BENCH
AT BENGALURU

IN THE MATTER OF THE COMPANIES ACT, 2013

AND

IN THE MATTER OF SECTIONS 230 TO 232 AND OTHER APPLICABLE PROVISIONS OF
THE COMPANIES ACT, 2013

AND

IN THE MATTER OF UJJIVAN FINANCIAL SERVICES LIMITED

AND

IN THE MATTER OF UJJIVAN SMALL FINANCE BANK LIMITED

AND

IN THE MATTER OF SCHEME OF AMALGAMATION OF UJJIVAN FINANCIAL SERVICES
LIMITED WITH UJJIVAN SMALL FINANCE BANK LIMITED AND THEIR RESPECTIVE
SHAREHOLDERS AND CREDITORS

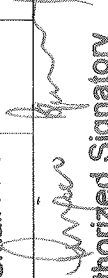
CA (CAA) NO.: / 2023

Ujjivan Small Finance Bank Limited
CIN: L65110KA2016PLC142162
Registered office: Grape Garden, No.27, 3rd 'A' Cross 18th Main 6th Block, Koramangala
Bangalore, 560095, Karnataka, India

... APPLICANT 2/ TRANSFEREE COMPANY

**ONGOING ADJUDICATION & RECOVERY PROCEEDINGS, PROSECUTION INITIATED AND
ALL OTHER ENFORCEMENT ACTION TAKEN, IF ANY, AGAINST THE TRANSFEREE
COMPANY, ITS PROMOTERS AND DIRECTORS**

A. Against the Transferee Company (i.e., against Ujjivan Small Finance Bank Limited
("USFB"))

S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Plaintiff/Petitioner/Complainant/Applicant	Brief Description/ Facts of the Case/status
1	Case No: GR/238 9/2018 (FIR 112/2017) PS KOLABI RA	Criminal	SDJM, Jharsuguda	31-Oct-17	Mrs. Sunanda Pattanaik	The complainant being the loan borrower i.e. Sunanda Pattanaik alleged that the employees of USFB i.e. Sujit Ku Jena and another employee trespassed into her house and demanded loan repayment/ EMIs as well as used abusive language and threatened to kidnap her if loan is not repaid on time. Matter is not yet listed in the cause list of the court.
2	Title Suit No. 143/2018 - 399/2018	Civil	Civil Judge (JR DIV) First Court at Asansol	15-Sep-18	Tapasi Patra	In the instant matter, the borrower Tapasi Patra approached USFB for an unsecured business loan for Rs. 3 lakhs. However, an amount of Rs. 122844.16/- was disbursed to her. As a result of which the borrower stopped paying the monthly instalments of Rs. 15861/-. Also filed this instant suit for declaration for
For Ujjivan Small Finance Bank Limited			 Authorized Signatory			

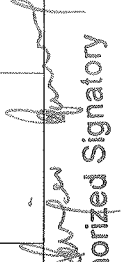
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						recalling/waiver of entire loan amount as well as prayed for permanent injunction against the employees of USFB to enter into his house for collection of dues. No interim injunction has so far been granted by the court to the petitioner. Matter is listed on 27.04.2023.
3	PS Case No. 148/2018, Sector 4 Bokaro Police Station	Criminal	6th ACJM Bokaro	03-Oct-18	Savitri Kumari	In the Instant Case, the borrower has availed an unsecured group loan of Rs. 30,000/-. However, during the tenure of her loan, she approached USFB for an additional loan which was turned down by USFB considering her poor repayment capacity. As a vindictive and revenge measure, she has filed this instant criminal complaint against the employees of USFB on the grounds of fraud, cheating and molestation on her. Matter is listed on 01.04.2023.
4	G.R. Case no. 239/19 FIR NO. 68 / 19	Criminal	ACJM Arambag , Khanakul PS case	03-Mar-19	Bultti Ghorai	The borrower Bultti Ghorai availed a group individual loan of Rs. 45,000/- from USFB. In the instant complaint, she has alleged that the Manager of Arambagh branch called her over phone and collected her account details thereby siphoned all her money from the account. Matter is listed on 20.06.2023.
5	CC Case No. 126/2019	Consumer	District Consumer Forum, Burdwan	22-Aug-19	Kakali Nandi	Customer had taken an individual loan of Rs. 25000 and asked for additional loan of Rs. 35000. However, in order to avail a top up loan, she has repaid Rs. 11592/- (9 instalments). Due to poor CIBIL Score, the additional loan was not sanctioned to her. Hence, she has filed the consumer case for deficiency of services on part of USFB inclusive of Rs. 35000/- as the loan amount and Rs. 2 lakhs compensation with Rs. 20,000/- as litigation cost. Matter is listed on 10.04.2023.
6	PS Case No. 198/19 in PS Moyna	Criminal	Tamluk court	31-Aug-19	Anju Dhani v Prabir Samanta	The borrower Anju Dhani filed the instant criminal case against CRO Prabir Samanta alleging that the CRO at the time of collection of EMI amount has physically assaulted and molested her. Matter is listed on 25.04.2023.
7	PS Case No. 96/19 in PS Sakchi	Criminal	Sakchi Court	15-Jul-19	Jayant Srivastav vs.1. Amit Ray & other and 2. Branch Manager Ujjivan bank	The complaint was registered through case no.1033 of 2019 and forwarded to Sakchi PS under section 156(3) Cr. PC. leading to registration of Sakchi PS case no.96 of 2019 u/s 406, 409, 420, 379, 504, 323, 324 IPC. The informant Jayant Srivastava alleges that one Amit Rai and Suraj Kumar as well as Branch Manager of USFB assured the complainant for transfer of one vehicle, however, the complainant wanted to do the same through their friend who is involved in financing of vehicles. It is alleged that the complainant's photographs were taken and an account was opened in USFB

For Ujjivan Small Finance Bank Limited


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8	PS Case No. 261/19 in Town PS	Criminal	Dharbanga court	18-Oct-19	Gulshan Khatoon vs. Branch Manager and another Employee of Ujjivan Small Finance Bank, Lalbagh Mirzapur Branch	<p>using his details. But the account was opened in the wrong name of Ramesh Kumar instead of him by using his details. It is alleged that when the complainant went to take the cheque book, he was refused as the account was opened in some other name and the cheque book was given by the Branch Manager to one Suraj Kumar, who by forging the signature had withdrawn the money from the said account and transferred to the account to HDFC bank. The amount transferred was to the tune of Rs.4,97,990/-. Thereafter, Amit Rai and Suraj Kumar harassed the informant Jayant Srivastav and molested his wife. They have also abducted them to Ghatskhila Road by one car. They have also hurt the complainant and threatened them not to tell anyone about the incident on gun point. They have also snatched the handbag of the complainant's wife which had Rs. 5360/-. Matter is not yet listed in the cause list of the court.</p> <p>Crux of the Complaint is that the complainant Gulshan Khatoon, had opened a SB account bearing A/c No. 3544110010015035 with Ujjivan Small Finance Bank at Darbhanga Branch. She has also applied for loan and an amount of Rs 47,988/- has been sanctioned to her and the loan amount has been transferred to her savings account. The Complainant was provided with the ATM Card along with closed envelope containing PIN. On 26.09.2019, the customer went to our bank's ATM which is situated below the branch premises and tried to withdraw the loan amount but did not receive the money. Then she went to Amresh Babu, the field officer, who told the customer that he would help her to withdraw the money from the ATM, but the ATM was not dispensing cash at that moment. Then the Complainant went to another officer Md. Akbar, who told the customer that the ATM will start functioning after two days due to network issues. On 30.09.2019, when the Complainant went to withdraw the money got to know that on 26.09.2019 an amount of Rs. 20,000/- was withdrawn from ATM at Darbhanga. Also, on 27.09.2019, an amount of Rs 9500/- from other bank ATM at WD-BSNL, Darbhanga has been withdrawn together with Rs. 10,000/- on 27.09.2019 from ATM WD/Laheriyasaray. The Complainant alleged that all the above transactions have been done fraudulently due to the fraud with the connivance of Branch Manager Amresh Babu and Md Akbar Hussain of USFB as they cheated on the customer by taking</p>

For Ujjivan Small Finance Bank Limited


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9	PS case no. 628/2019	Criminal	CJM Samastipur	25-Dec-19	Sanju Devi	<p>PIN of her ATM card and used it to withdraw the money from her account. The Complaint has been registered before the Town PS Case under section 420, 379 read with section 34 of IPC. Matter is listed on 19.06.2023.</p> <p>Complainant Sanju Devi opened a SB account dated 04-10-2019 from the Samastipur branch and her Debit Card got linked on dated 05-10-2019 to her SB account. An Individual loan to the tune of INR- 40,309/- was sanctioned and disbursed in her SB account number- 3557110010010035 on dated 28-10-2019. Thereafter, she alleged that USFB's CRO namely Rajnish Kumar & CCR, Ranjan Kumar have fraudulently misappropriated her loan amount of Rs. 40,309/- as she alleges that the Debit Card along with PIN was shared with the said CRO & CCR and thereafter the said loan amount got withdrawn without her knowledge from her SB account. Matter is listed on 06.04.2023.</p>
10	CC Case No. 81/2020	Consumer	District Consumer Forum, Newtown, Rajarhat	06-Mar-20	sanjoy kumar modak vs. branch manager Ujjivan small finance bank	<p>On 20/07/2018, Mr. Sanjoy Kumar Modak (Account No- 3322110010050012) issued a cheque (Cheque No-100001) amounting of Rs-10,00,000/- in favor of HDFC Balanced Advantage mutual fund, but somehow the said cheque was dishonoured as "Insufficient of fund" after maintaining proper balance in his SB account. After a long discussion with Mr. Sanjoy Kumar Modak, USFB made a payment of Rs. 45,137/- to his SB account. Being unsatisfied with the resolution of USFB, he has preferred instant case consumer complaint on 10.03.2020 demanding the compensation amount for Rs. 600000/-.</p> <p>Matter is listed on 27.04.2023.</p>
11	Complaint Case no - 446c/2019	Criminal	Civil Court - Hilsa	17-Mar-20	Priya Payal vs. Randhir Kumar (Cashier - Ujjivan SFB)	<p>The complainant Priya Payal being our ex-employee alleged that after completion of work, she got outside with other employee of branch i.e. Randhir kumar in a place wherein Randhir kumar molested her and also threaten to kill her if she tell the public about the incident, after which she complained the matter to police, but the FIR was not accepted initially. Then she has filed the instant complaint case before LD. CJM Court and at present the matter is pending at local police station for filing investigation report based on which the cognizance will be taken in this regard. This a counter case to the FIR filed by Randhir Kumar vide case no. 200/19, dated 24-7-19. Matter is listed on 15.06.2023.</p>

For Ujjivan Small Finance Bank Limited


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12	TS case no. 373/2019	Civil	2nd Judge Junior Division, Barrackpore	11-Dec-19	Avijit Mukherjee	The complaint was made by the customer Avijit Mukherjee against USFB and its employees stating that a personal loan for Rs. 3,85,944.74/- was sanctioned in his SB account against his request and of which he need to pay Rs. 22913/- as EMI for around 60 months. But at the time of loan sanction, the amount of EMI was less, later he found that an amount of Rs.8,85,944.74/- as loan was credited this account by USFB. Hence, bank has purposefully done this and now visiting his premises through agents and managers and thereby causing disturbances to his peaceful possession and enjoyment to his residential schedule property, hence in order to evade the EMI payment, the said customer filed this suit for injunction so that no persons from USFB enters his house and threatens to disturb his peaceful possession and enjoyment to his schedule residential property. Matter is not yet listed in the cause list of the court.
13	Mufsil PS case no.323/2020	Criminal	Hazaribagh Court, Jharkhand	02-Nov-20	State	One of our IL Customer Soni Devi's spouse Mr. Awadesh Kumar Singh had committed suicide on 28.10.2020 by hanging. Brother of the deceased - Mr. Nitesh Kumar Singh lodged a FIR on 01.11.2020 before Mufsil PS at Hazaribagh alleging abetment of suicide against our employees Himanshu Kumar and Rajesh Pandey. A police Case 323/2020 dated 02.11.20 was registered U/s. 306 and 34 of IPC. It is to be noted that there is no employee working at USFB with the name of Himanshu Kumar, however, Rajesh Pandey is our employee. The incident has been published in leading newspaper of the locality. It is reported from the concerned branch that on the said date our employees i.e. our credit officer- Avinash Krishan and loan officer- Himalaya Kumar (alias Himanshu as mentioned in the FIR) both have visited the Customer's house for IOD collection at around 12:30 pm and they discussed with her regarding pending EMIs but the customer denied to make payment. At around 4.30 PM on 28.11.20, the news of suicide of customer's husband was intimated to the branch by local people. But none of our employees had met with the deceased. Matter is not yet listed in the cause list of the court.
14	CC Case No. 08/2021	Consumer	District Consumer Forum, Puri, Odisha	21-Jan-21	Dhruba Charan Routaray	In the instant matter, a consumer case filed by one customer namely Dhruba Charan Routaray (vide case no. 08/2021, memo No. 40, dated 21.01.2021 at the Ld District Consumer Forum, Puri). It is noticed that the customer Dhruba Charan Routaray has

For Ujivan Small Finance Bank Limited


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15	Title Suit No. 124/2020	Civil	Civil Judge (JR DIV) 3rd Court at Asansol	22-Jan-21	Kum Singh Kum	In the instant matter the borrower Kum Singh & Rajib Singh had taken a secured loan for Rs. 13 lakhs. However, in due course of time the borrowers stopped paying the monthly instalments and subsequently the account became NPA. We have issued 13(2) SARFAESI demand notice and 13 (4) SARFAESI Possession notice and in reply to which Kum Kum Singh filed this instant suit for declaration for recalling/waiver of entire loan amount as well as prayed for permanent injunction against USFB and its employees to enter into his house for collection of dues as well as stop the proceedings under SARFAESI act. No interim injunction has so far been granted by the court to the petitioner. Matter is listed on 08.05.2023.
16	Title Suit No. 116/2021	Civil	Civil Judge (JR DIV) Court at Asansol	15-Feb-21	Manoj Murmu	In the instant matter the borrower Manoj Murmu took a secured loan for Rs. 12 lakhs. However in due course of time the borrower stopped paying the monthly instalments and subsequently the account became inactive. Post moratorium period our collection people visited the residence and shop of borrower Manoj Murmu and discussed the non-payment of his dues and the timely repayment of EMI and in reply to which Manoj Murmu filed this instant suit for declaration for recalling/waiver of entire loan amount as well as prayed for permanent injunction against USFB and its employees to enter into his house for collection of dues as well as stop the EMI repayment against the secured loan. No interim injunction has so far been granted by the court to the petitioner. Matter is listed on 27.04.2023.

For Ujjivan Small Finance Bank Limited

Manoj Murmu
 Manoj Murmu

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17	CS NO. 88 OF 2021	Labour	Civil Judge (JR DIV) Court at Cuttack	22-Feb-21	Debasis das	In the instant case, Debasis das, who was working as CRM at USFB's Chowdhar branch, was terminated from employment for violation of Standard Operating Procedure of UFSB i.e., for negligence and dereliction of duty. The Appeal preferred by Mr. Debasis das before appellate authority of USFB was also dismissed thereby upholding the punishment of dismissal. Against which Mr. Debasis das approached Civil Judge (JR DIV) Court at Cuttack with a prayer for cancellation for the termination order passed by the disciplinary committee, pass injunction order and confirm reinstatement in service. Matter is listed on 06.04.2023.
18	CS NO. 89 OF 2021	Labour	Civil Judge (JR DIV) Court at Cuttack	22-Feb-21	Dinesh kumar swain -	In the instant case Dinesh kumar swain, who was working as ACRM at USFB's Chowdhar branch, was terminated from employment for violation of Standard Operating Procedure of UFSB i.e., for negligence and dereliction of duty. The Appeal preferred by Dinesh kumar swain before appellate authority of USFB was also dismissed thereby upholding the punishment of dismissal. Against which Dinesh kumar swain approached Civil Judge (JR DIV) Court at Cuttack with a prayer for cancellation of the termination order passed by the disciplinary committee, pass injunction order and confirm reinstatement in service. Matter is listed on 06.04.2023.
19	Sukhdevnagar PS case no.94/2021	Criminal	CJM Ranchi Court, Jharkhand	23-Feb-21	State	A Group loan of Rs.28,000/- was sanctioned to Mrs. Sahodari Devi, wife of the deceased on 22.01.2020 and the monthly EMI @ Rs. 1453/- until Jan'21 has been regularly paid. On 22-02-2021, a centre meeting for loan collection was called by our CRO Abhishek Kumar and the customer Sahodari Devi attended the same. She has sought time for repayment, and it was advised to her that the repayment for Feb'21 EMI has to be remitted by afternoon. In the afternoon, the said employee Mr. Abhishek Kumar visited Sahodari Devi's house and found out that Sahodari Devi's husband namely Sunil Prasad Gupta@ Chhotu Prasad Gupta committed suicide by hanging. In the said evening, Abhishek Kumar got an intimation from the local police station Sukhdeonagar that a FIR (PS case no. 94/2021) u/s 306 of IPC has been registered by the deceased's daughter Nandini Devi against Madan Mishra (ASI- Lower PS), Suman Mishra and against him (i.e. CRO Abhishek Kumar) basis the suicide note left by the deceased Sunil Prasad Gupta@ Chhotu Prasad Gupta. The incident was also published in the local newspaper and

For Ujjivan Small Finance Bank Limited

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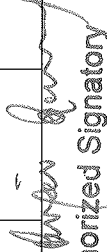
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20	Madhur a PS Chhapra Case no - 200/21 dated 10-4-21 U/S 379/420 IPC	Criminal	CJM Chapra	10-Apr-21	Manju Devi vs. Vivek Raj and Sumant Kumar employees of ujjiwan bank.	seeing the seriousness of the case Mr. Amit Kumar Superintendent of Police - Ranchi confirmed for formation of SIT for investigation of this matter since one of the accused person namely Madan Mishra is an Assistant Sub Inspector (ASI) of Police. Matter is under police investigation. An incident of ATM fraud took place at Chapra Branch in the month of February when Group Loan customer Manju Devi (3628001207) gave her Debit card and Pin to her relative to withdraw the money from ATM. As per her relative, the ATM did not dispense the cash although the amount was deducted from the account. Customer has made a complaint at Ujjiwan Phone Banking regarding it. The Mobile number which customer provided was updated in the account by CRO. Later on customer denied that the said mobile number given to USFB for linkage with account and later the customer Manju Devi filed a FIR alleging cheating, theft and dishonesty against USFB employees of USFB namely Vivek Raj and Sumant Kumar since money from her account got stolen by misusing her ATM card + pin by the alleged employees of USFB. Matter is under investigation.
21	CC/304/2021	Consumer	District Consumer Forum Patna, Bihar	20-Oct-21	Ekramul Haque vs. Ujjiwan small finance bank & others	A Complaint has been filed for penalty being charged for non-maintenance of monthly average balance & using forged signature for providing wrong product. A change of Rs. 295/- (including GST) was introduced by USFB for non-maintenance of balance. But due to less balance, Rs. 7 were debited and so on and so forth. Customer objected as to why the penalty notice was sent through branch banking mode instead of sms or internet banking notification and also claimed that USFB never communicated such changes. However, Banking ombudsman, Patna gave their decision in favour of USFB, stating that USFB had sent an SMS to the Customer, but the Customer claims that he has not received any such SMS. USFB stated that they have updated their website and mentioned the changes in the mail. Customer also claims that USFB has forged his signature on Privilege Savings A/c form and KYC documents and thereby a compensation amount of Rs. 450000/- was made against USFB . Matter is listed on 22.06.2023.
22	CS/832/2021	Civil	Civil Judge Senior Division, Patna	16-Sep-21	Adhiraj Lenka vs. Sandhya Rani lenka, BM Choudhar	A suit has been filed against his other family members by the petitioner before the Ld. Civil Judge Senior Division, 1st Court Cuttack praying for physical

For Ujjiwan Small Finance Bank Limited


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			1st Court Cuttack		Ujivan bank & others	partition of the inherited properties derived from their previous successors Late Brahmaanda Lenka and release of the saving a/c & fixed deposit money amounting to RS. 46 lakhs deposited by the defendant no. 1 (Sandhya rani lenka) at USFB and distribute among all legal heirs. In connection CS/ 832/2021 an interim injunction has been filed against his other family members by the petitioner before the Ld. Civil Judge Senior Division, 1st Court Cuttack praying for interim injunction against defendants Sandhya Rani Lenka and Bighnaraj Lenka from withdrawing the funds of Rs. 46 lakhs from USFB and also restraining USFB from releasing the funds to defendants Sandhya Rani Lenka and Bighnaraj Lenka. Matter is listed on 05.04.2023.
23	TS no.130/2021	Civil	Civil Judge Junior Division, Kalna	24-Aug-21	Sambhu Jha vs. L& T finance ltd & Manager, Ujivan Small finance bank ltd	In the instant case, the petitioner Sambhu Jha filed the suit for declaration and injunction against the principal defendant (L&T Finance Ltd) for stopping the principal defendant from seizing and attaching his financed motor vehicle owned by him. However, the petitioner has made USFB as co-defendant for not submitting the detailed bank statement on clearance of demand draft no. 239739 dated 15.10.2020. made in favor of principal defendant (L& T Finance ltd). Matter is not yet listed in the cause list of the court.
24	TS 482/2021	Civil	Civil Judge Junior Division, Basirhat	10-Jan-22	Firoj Mehamud vs. Ujivan small finance bank ltd	In the instant case the petitioner Firoj Mehamud has taken a Loan from USFB however the plaintiff alleged that USFB is not clear with EMI amount and interests rate. Also, agents of USFB are harassing the plaintiff at his residence, threatens to takeover the scheduled property of the plaintiff if total loan amount not paid, hence the Plaintiff has filed the instant suit under order 39 rule (1) & (2) of Civil procedure code against USFB for ad interim injunction over the Schedule property, maintain status quo in the loan account of the Plaintiff without any adverse action. Matter is not yet listed in the cause list of the court.
25	TS 364/2021	Civil	Civil Judge Junior Division, Basirhat	08-Oct-21	Samir Sen vs. Manager, Ujivan small finance bank ltd	In the instant case the petitioner Samir Sen has taken a Loan from USFB however the plaintiff alleged that USFB is not clear with EMI amount and interests rate. Also, agents of USFB threatened to takeover the scheduled property of the plaintiff if total loan amount is not paid, hence the Plaintiff has filed the instant suit under order 39 rule (1) & (2) of Civil procedure code against USFB for ad interim injunction over the Schedule property, maintain
	For Ujivan Small Finance Bank Limited					


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26	CC/05/2022	Consumer	District consumer Forum, Samstipur	19-Feb-22	Raj kumar Poddar vs. Branch Manager Ujjivan Small Finance Bank Ltd	status quo in the loan account of the Plaintiff without any adverse action. Matter is not yet listed in the cause list of the court. Customer had taken a secured loan of Rs. 150000. However, customer wanted a CC loan, but the customer alleged that USFB without making him understand the loan documents made him sign on it, also USFB made him forcefully open a Fixed Deposit Account and thereafter the customer alleges that he did not received the Loan Amount from USFB. Hence wants a compensation of RS. 10 LACS from USFB. Matter is listed on 14.05.2023.
27	46/2022	Consumer	District consumer Disputes Redressal Commission, Khurda	04-Mar-22	Arjun Charan Panda vs. HDFC Life Insurance Co. Ltd & Ors	Customer had availed a loan of Rs. 17,00,000/- on 22.02.2018. However, the Co-Borrower (son of the Customer) passed away. Customer claims that upon such death, he is not liable to repay the loan since the same will be adjusted on the assured amount of the insurance policy. Matter is listed on 19.04.2023.
28	CC. 40/2022	Consumer	District consumer Disputes Redressal Commission, Kolkata Unit-III	28-Feb-22	Chiranjit Singha vs. Manager (head office) Ujjivan Small Finance Bank	Customer had availed a loan and regularly paid the EMIs and obtained a No Dues Certificate from USFB, however at the time of handing over the original loan documents, customers claims that USFB failed to return the Original Title Deed and thus conducted unfair trade practice. Matter is listed on 25.05.2023.
29	CC 21/2022	Consumer	District Consumer Disputes Redressal Commission, Birbhum at Suri	16-Feb-22	Abhijit Mondal vs. State Bank of India & Ors.	This is an application u/s 35 of Consumer Protection Act, 2019, wherein the Complainant had borrowed Rs. 1,00,000/- from a friend and refunded the same vide PhonePe on 01.01.2022 but the transaction failed thereafter the Branch Manager stated that Rs. 38000/- had been debited and sent to Ujjivan Small Finance Bank. Matter is listed on 12.04.2023.
30	Civil Suit No. 161/2022	Civil	Civil Judge (Senior Division) Dhenkanat	09-May-22	Gayatri Sahoo vs. HR Sukanya Ghosh & Ors.	This is a civil suit under Order V, Rules 1 and 5, Code of Civil Procedure wherein it is being alleged that the termination of the plaintiff's husband from USFB is fraudulent and illegal and does not hold ground due to baseless information. Plaintiff alleges that her husband bears the same name as the case pending in the civil court but has no link to it whatsoever, upon which basis her husband was terminated. Matter is listed on 18.04.2023.
31	Title redemption suit no. For Ujjivan Small Finance Bank Limited	Civil	Sub Judge I, Patna City Court	21-May-22	Shakil Ahmad vs. Ujjivan small finance bank ltd	Deceased Customer availed a Home Loan of Rs. 20 Lakhs. At present the account is NPA, however his son has filed a suit for redemption against USFB and prayed the Ld. Court to issue a


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32	229/2022	Consumer	District Consumer Disputes Redressal Commission, Sambalpur	26-Sep-22	Mrs. Mathura Aind, W/o Mr. Siblal Aind vs. Ujjivan small finance bank ltd	favourable decree in his behalf and directs USFB not to dispose or do anything to the mortgage property. Matter is not yet listed in the cause list of the court. Borrower Mr. Siblal Aind had availed housing loan (selfconstruction) of Rs. 15,00,000/- from USFB on 20-01-2021. The said borrower died on 24-06-2021. However wife of the borrower filed complaint before DCDRC, samastipur as the insurance claim amount of Mr. Siblal Aind has been rejected. Further USFB has issued 13(2) demand notice under SARFAESI. Matter is listed on 01.05.2023.
33	CC/09/2022	Consumer	District Consumer Disputes Redressal Commission, Paschim Medinipur	21-Nov-22	Rajesh Kumar Agarwal vs. Ujjivan small finance bank ltd	Customer Rejesh Kumar Agarwal took an insurance policy of bajaj alliance general insurance limited through USFB. Policy sum insurance was claimed to be Rs. 5 lakhs with monthly return of Rs. 542 against payment of premium amount for 84 months later Rs. 2842/- guaranteed monthly return will be paid and basis which customer Rajesh Kumar Agarwal deposited Rs. 50000/- (approximately) to the insurance company. However, he claimed that even he has paid the amount still to proper policy documents has been handed over to him as well as insurance sum is actually around Rs 2 Lakhs instead of Rs. 5 Lakhs, hence there is discrepancy in services, Matter is listed on 25.04.2023.
34	FIR No. 1116/22	Criminal	CJM DANAPUR	16-Oct-22	Pinku devi VS. Abhay kumar yaadav of Ujjivan small finance bank ltd, Saguna more branch	An FIR has been registered against USFB employee Mr. Avay Kumar Yadav who is currently designated as Customer Relationship Manager at Saguna More Branch by customer Pinku Devi. It has been alleged that Informant along with her friend visited Ujjivan Small Finance Bank, Saguna More Branch, Danapur for closure of her ATM Card and requested the Branch Manager Avay Kumar Yadav to close the ATM Card as the Informant is illiterate and does not know how to withdraw money from the ATM. That Avay Kumar Yadav asked to give a call on toll free helpline number 1800 2082 121 and when the informant refused to do so, scolded her and forced her to call again. That Informant's friend Sunita Devi dialled the helpline number and told Avay Kumay Yadav that she is unable to understand what is being instructed over the call and requested the Branch Manager Avay Kumar Yadav to speak. That it is alleged that while speaking over the helpline number, Avay Kumar Yadav asked OTP from the Informant after which a sum of Rs 47,998/- was debited

For Ujjivan Small Finance Bank Limited



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S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Plaintiff/ Petitioner/ Complainant/ Applicant	Brief Description/ Facts of the Case/ status
35	Misc Appl 75/ 2022 & TS 388/ 2022	Civil	District Judge Hooghly	06-Aug-22	Ashok Prasad Bhagat vs. Ujjivan small finance Bank	from her account on 31.08.2022. It is alleged that when the Informant informed Avay Kumar Yadav that she will not be able to make the payment of the loan dues, Mr Avay Kumar Yadav threatened her saying that the dues shall be recovered by hook or by crook and asked her to leave USFB failing which she will be forcefully pushed out from USFB. Matter is under police investigation.
36	TS OF 2022	Civil	Civil Judge Senior Division, Howrah	29-Sep-22	Ashim Shee vs. Bistu patra and ors	Petitioner filed the instant case against his landlord & USFB for restraining USFB officials from entering into his residence and evicting him from the mortgage property. Matter is not yet listed in the cause list of the court.
37	GR No. 5693/2022	Criminal	CJM, Muzaffarpur	04-Jan-23	Anupam Kumar Tiwari and Rajesh Kumar (USFB Staff)	That two staff of USFB went for collection to the customer's (Baby Devi) new address to collect the loan amount. During conversation with the landlord where the customer was residing, the son of the customer jumped from the roof of the building and was taken to the Sadar Hospital, Muzaffarpur wherein he was declared as dead. The two staff present at the location were rescued from the place of incident and were taken into Police Custody. An FIR was lodged against both the employee wherein it was alleged that both the employees have taken the son of the customer to the roof and have pushed him from the roof. Two another persons were also present at the place of incident, however both are out of the custody of the police. Matter is not yet listed in the cause list of the court.
38	CC/ OF 2023	Consumer	District Consumer disputes redressal Commission	20-Jan-23	Kabita Ghosh Vs. Ujjivan small finance Bank	Borrower Kabita Ghosh filed the instant case seeking dismissal of 13(2) Sarfaesi proceedings vide demand notice dated 03.12.2022 (Rs.765288.55/-) and settle the loan account with Rs. 250000/-.
39	CC/147/ 2022	Consumer	District Consumer Disputes Redressal Commission, Hooghly	17-Aug-22	Gour majhi vs. Ujjivan small finance bank ltd	Borrower Gour Majhi filed the instant case for restricting USFB from disposing the mortgage property under section 13(2), (4) of the Sarfaesi act as well as allowing time to repay the EMI amount on regular basis. Praying for allowing time to repay the EMI amount again to USFB and provide compensation for giving mental agony and harassment.

For Ujjivan Small Finance Bank Limited


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S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Petitioner/Complainant/Applicant	Brief Description/ Facts of the Case/status
40	TS. 249 OF 2023	Civil	6th judge junior division	06-Mar-23	Md Bapi shake	Matter is listed on 19-May-2023 for complainant Evidence. Around 18 lacs business secured loan was sanctioned to Bapi shake. However in the month of February 2022 account became NPA. Thereafter 13(2) sarfaesi demand notice 13(4) possession notice and symbolic possession was done. Thereafter Bapi shake filed the instant case for setting aside sarfaesi proceedings initiated by USFB under section 13(2) & 13(4) of the sarfaesi act over the mortgage property of the applicant, Stay & injunction be granted in his favour as well as restraining the collection people not to enter his property. Matter is listed on 12.04.2023.
41	CC 16/ 2023	Consumer	DCDRC KAMRUP ASSAM	27-Mar-23	Ajit Baishya	Borrower taken an IBL loan of 2 lakhs from USFB. Thereafter, after paying some months of EMI, borrower stopped paying the EMI and now wants to restructuring the loans and not paying the interest amount and allow him to pay the principal balance amount of Rs.1,46,393/- to USFB and also prays form compensation of 5 lakhs on mental agony for harassment. Matter is listed on 16.05.2023.
42	Complaint No. 20/193	Consumer	District Consumer Dispute Redressal Panipat	06-Oct-20	Anita Rani	Complainant is a micro banking customer of USFB and has claimed that she has received a Legal Notice on behalf of USFB for an outstanding amount of Rs.23,457 against her loan. The customer has claimed that she had been paying the EMI's on regular basis, still she has received notice on behalf of Ujjivan. Matter is listed on 05.04.2023.
43	CS 2059/2019	Civil	Civil Judge Junior division, Jagadhri , Haryana	17-Sep-19	Baby Devi	This is a suit for permanent Injunction. Plaintiff claimed that she never availed any loan from defendant USFB and USFB is trying to recover defaulted loan instalments without due process of law. According to plaintiff, she is not aware of what is being done by her husband for availing the loan and also cheated the plaintiff after committing forgery with the documents. Plaintiff has also filed F.I.R for her missing husband. Matter is listed on 17.05.2023.
44	Application No. 12/20	Civil	ADR Centre, Mansa	28-Jan-20	Baljinder Kaur	The complainant is maintaining A/c No. 226711001003659 with USFB for which ATM card bearing no. 5089910009827513 was issued. Alleges that the complainant sent her brother in law to withdraw Rs. 10,000/-, he went to SBI's (R2) ATM when while withdrawing the amount, it showed "Time Out-Shutting Down". During the said transaction, the amount although debited from the complainant's account but no cash was dispensed. She approached
	For Ujjivan Small Finance Bank Limited					

Baljeet Kaur

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S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Plaintiff/Petitioner/Complainant/Applicant	Brief Description/ Facts of the Case/status
45	282/21	Civil	Civil Judge, Junior Division, Meerut	19-Aug-21	Bindu Jaiswal and Others v HDFC	the respondents for retrieval of CCTV footage and redressal of her grievance but no optimal redressal was provided. Hence, she approached the forum. Matter is listed on 11.04.2023. A civil suit has been instituted by the plaintiff and Ujjivan Small Finance Bank is respondent no.2. She alleges that she has taken a group loan of Rs. 35000/- along with 3 other women namely Smt. Manju, Smt. Savitri and Smt. Anjali. Alleges that the EMI amount was regularly paid against the loan availed pertaining to Loan A/c No. 222080900007117 but USFB has illegally charged an amount of Rs. 20,000/- along with interest. Alleges that USFB officials have also taken away the raw materials which were used to make sweets packets and other stuff amounting to Rs. 1,50,000/- from the house of the plaintiff. Alleges, 50% subsidy was required to be given on the loan amount but the same has not been given by the respondent bank and that the USFB's employees are misbehaving with the plaintiff and are not returning the original documents and the raw materials which is in possession of USFB. Matter is listed on 26.04.2023.
46	Complaint No. 142/2020	Civil	Permanent Lok Adalat Patiala	06-Jul-20	Birpal	Notice is received on behalf of Birpal, widow of Jai Bhagwan, who was having a SB account with USFB and was provided with insurance cover of Rs. 1,00,000/- on his rupay debit card. Jai Bhagwan died on 14.02.2020 in a road accident leaving behind the complainant, son and daughter as his only Legal heirs. Complainant has approached USFB many a times with regard to the insurance claim and completed all the formalities with regard to the same but the claim has not been settled till date. Matter is listed on 19.05.2023.
47	Case No. 002/18	Labour	Labour Court, Agra Uttar Pradesh	20-Jan-20	Devender Singh	Case is filed under Industrial Dispute Act by USFB's ex-employee Devender Singh, challenging the termination process. Full and Final settlement of the employee has been done, as per process. Matter is listed on 10.07.2023.
48	165/2021	Civil	Industrial Tribunal, Patiala	20-Jul-21	Gurpreet Singh Jammu v USFB	That Mr. Gurpreet Singh Jammu was working as CCRO with USFB. USFB did not issued salary as per his employment contract. Mr. Gurpreet got variable salary in the month of December 2020, January 2021 and February 2021 which was not part of his employment contract. No satisfactory reply given by USFB. Matter is listed on 13.04.2023.

For Ujjivan Small Finance Bank Limited


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S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Plaintiff/Petitioner/Complainant/Applicant	Brief Description/ Facts of the Case/status
49	Complaint No. 241/2021	Consumer	District Consumer Dispute Redressal, Panchkula	07-Jun-21	Hardeep singh	The complaint has been filed by Mr. Hardeep Singh against SBI and USFB being Respondent No. 3. As per the notice, the complainant is maintaining savings account no. 65166923517 with SBI and having salary account with USFB bearing A/c No. 2294110080050393. The complainant has alleged that he has deposited a cheque no.180748 dated: 22.02.2021 of Rs.60,076/- drawn on USFB, Naraingarh branch, which was duly acknowledged by Respondent No.1 i.e. SBI. The purpose of deposit of such cheque was to debit the salary account maintained by USFB and credit the proceed to the SBI saving account. The complainant has alleged that cheque was encashed by some unknown person from USFB but was not credited to the SBI savings account. The complainant has alleged that USFB is also liable as it was the responsibility of USFB to ensure the identification of aid unknown person. Matter is listed on 08.05.2023.
50	CS(CO MM) 95/2021	Civil	High Court of Delhi	20-Feb-21	Himalaya Drug Co. and Ors. V Ashok Kumar and Ors	Ujivan Small Finance Bank, amongst other banks, is arrayed as a proforma defendant, through Tumkur Branch at Bangalore, alleging that USFB is maintaining account under the name Himalaya Global Holdings Limited, opened through impersonation and for carrying unlawful activities. Matter is listed on 26.05.2023.
51	CC No. 273/2020	Consumer	District Consumer Dispute Redressal Commission, Amritsar	05-Aug-20	Jaspreet Kaur	Complainant along with her husband went to SBI ATM on 21.01.2020 and tried to withdraw an amount of Rs.10000/- using her ATM card issued by Ujivan but no cash was dispensed. Complainant again tried to withdraw the amount and the cash was not dispensed from the ATM but an amount of Rs.20000/- was deducted from her account. Complainant approached USFB's branch on 23.01.2020 and intimated about the incident. USFB's staff told customer on 12.02.2020, to forward an application for 3rd Level Arbitration but after that no steps were taken. Matter is listed on 05.04.2023.
52	Complaint No. 246/2020	Consumer	District Consumer Dispute Redressal Haridwar.	13-Nov-20	Kuldeep Singh	Complainant is USFB's Housing Loan customer who availed a loan of Rs. 9,00,000/- against property from USFB @ 13% interest rate and alleged that Rs. 40000/- were taken as processing fees and no slip was given. Complainant has re-paid Rs.1,34,522/- till December 2018. In the Sanction Letter, rate of interest is mentioned as 17.75% instead of 13%. Matter is listed on 11.05.2023.

For Ujivan Small Finance Bank Limited


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S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Petitioner/Complainant/Applicant	Brief Description/ Facts of the Case/status
53	CC No. 21/677	Consumer	District Consumer Dispute Redressal, Durg	17-Nov-21	Lakshman Thakur v USFB	We are in receipt of summons from DCDRF Durg, wherein one of our Micro banking (MB) customer Human Thakur has passed away and her spouse Laxman thakur has filed a case in Consumer Forum Durg alleging that he has not got the insurance claim amount of wife's death on time. The applicant's wife Human Thakur had taken a loan of Rs. 39000 from USFB Durg Branch on 30-Sep-2020. According to the applicant, his wife Human Thakur has expired on 23-Mar-2021 during treatment at Durg district hospital. Rs. 39000 loan was taken by Human Thakur from USFB. The loan amount was insured by the Aditya Birla Sun Life Insurance (ABSLI) Company whose nominee is her husband Laxman Thakur. After getting the loan, customer had paid 5 instalments regularly and she died on 23rd March 2021. Matter is listed on 30.05.2023.
54	Complaint no. 266/2019	Consumer	Consumer Forum Indore II	26-Feb-19	Miss Maya Bai Solanki	Complainant attempted to withdraw an amount of Rs. 10000/- twice from SBI's ATM using USFB's debit card but the amount of Rs.20000/- (Rs10000/- twice) was debited but cash was not dispensed. Chargeback raised was rejected citing the transaction was successful. Aggrieved by the same, complainant filed the case against Ujjivan for unfair trade practice and deficiency in service provided to the Complainant. Matter is listed on 02.05.2023.
55	Case No. 16/18	Labour	Labour Court, Agra Uttar Pradesh	26-Jun-18	Mr. Ajith Chowhan	Case is filed under section 11C of the Industrial Dispute Act, 1948. Summon to USFB were issued on 06/08/2018. The applicant has alleged that after revoking the suspension order, USFB didn't allow applicant/employee to get back to work without any written notice. Matter is listed on 24.06.2023.
56	Case No. 98/21	Labour	Aligarh DLC	01-Nov-18	Mr. Ajith Chowhan	Case is filed under section 15(3) of Payment of Wages Act, 1936, alleging that the applicant was illegally terminated by USFB. He also demanded for back-wages under the provisions of Payment of Wages Act. Matter is listed on 26.07.2023.
57	Application 07/20	Labour	Aligarh DLC	06-Aug-20	Mr. Ajith Chowhan V/s Ujjivan Small Finance Bank Ltd	Applicant has filed a complaint u/Sec. 2A of UP Industrial Disputes Act claiming for reinstatement alleging that employee has been laid down from USFB without following due process of law. Matter is listed on 25.04.2023.
58	87/2021	Consumer	District Forum Indore	24-Sep-21	Mrs. Abhilasha Gupta v. USFB &	The complainant along with her deceased husband took a composite loan from USFB i.e. Overdraft Loan of Rs. 20 Lakh & Term Loan of Rs. 5 Lakh

For Ujjivan Small Finance Bank Limited



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59	511/21	Civil	Civil Court Meerut	05-Oct-21	Mrs. Savitri v. Ujjivan Small Finance Bank & ors.	against which were duly insured, but after her husband's death, the claim was settled by the insurance co. only against the term loan and was rejected for the overdraft loan on ground that borrowers availing nay loan above Rs. 15 Lakh and above 56 years of age are required to undergo a medical test, and as contended this was never intimate to anyone and hence they are following up for the claim settlement. Matter is listed on 16.05.2023.
60	CM/104/2019	Civil	ADSJ, Muktsar sahib Punjab	23-Aug-19	Neena Devi	Mrs. Savitri has filed a case wherein she being a member of Cooperative society availed a loan from USFB for Rs. 35,000/-, this cooperative society is a scheme of Govt. under which women in interior villages are given easy loans till an extent of Rs. 1 Lakh for starting nay kind of business for welfare of the women of those areas. Through this scheme, Mrs. Savitri availed Loan from USFB and as contended she has paid the entire amount and no dues remaining to be paid. She alongwith her colleagues started a business of making various household products eg. Pickles, embroidery, producing Sweets Boxes etc. That USFB despite loan being cleared are asking to pay some overdue amount which according to her is not due towards her. Also as contended that USFB officials took in possession of her raw materials worth Rs. 1.5 Lakh kept for use in production of their products and also are in possession of blank cheques and other imp. Documents which they are denying to return her until she clears the outstanding amount. Matter is listed on 19.04.2023.
61	147/21	Consumer	District Consumer Dispute Redressal, Ajmer	26-Aug-21	Poonam Chand v Canara Bank and Ors	Appeal against the Order passed by the Court of Additional Civil judge Gidderbaha on 29-05-2019. Plaintiff / Appellant used to do clean the branch premise of USFB in morning time and no letter was issued to her regarding her appointment. She served 1st legal notice to USFB's branch on 30/8/2018 and 2nd notice served on 10/09/2018. Plaintiff failed to produce any record in lieu of her appointment & salary, hence Court of ACJ dismissed her suit on 29/5/2019. Matter is listed on 24.07.2023.
						We are in a receipt of a summon from District Consumer Forum - Ajmer in the matter of Punam Chand vide Loan account No.: 22277610000002. Customer here contends that: he availed Loan from UFSL and owing to the higher ROI and EMI amount he applied in Canara bank to get his on-going UFSL Loan transferred to Canara Bank.

For Ujjivan Small Finance Bank Limited


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62	CS/656/2021	Civil	Civil Judge Senior Division, Amritsar	03-Mar-21	Poonam Kaur v Sukhdev Singh	Customer, on 11-06-2020 also intimidated UFSL (now USFB) via a letter that she has applied at Canara Bank for transfer of his Loan and they require certain docs. to be furnished by UFSL (now USFB) in order to get the Loan transferred. On 08-07-2020, Canara Bank also sent a letter to UFSL (now USFB) requesting to provide certain original docs. pertaining to the property in the name of Punam Chand. Upon which no reply given or docs. provide by USFB till date. On 18-09-2020, Punam Chand also sent a legal notice asking Canara Bank & USFB to get the Loan transferred at the earliest or else he would be compelled to initiate legal proceedings. Matter is listed on 06.04.2023.
63	609/2022	Civil	High Court of Chandigarh	01-Oct-21	Purshottam Das v USFB	A Civil Suit is filed by one wife namely Poonam Kaur against her husband namely Sukhdev Singh wherein Ujjivan Small Finance Bank has been made defendant no. 2. The suit has been filed for permanent injunction restraining defendant no. 2 from mortgaging or creating any charge on the property, on the matrimonial/ residential house/ shared household of the plaintiff. Plaintiff has also mentioned that already divorce proceedings are pending between plaintiff and defendant no. 1 / her husband. Matter is listed on 01.04.2023. The borrower has approached Hon'ble Punjab & Haryana High Court. The petitioner is challenging the SARFAESI Actions taken by USFB. The petitioner claims that he has taken overdraft loan from USFB bearing Loan A/c No.2291230040000002 for an amount of Rs. 40,00,000/- against mortgage of property owned by petitioner no.2 . The petitioner claims that USFB was sending its musclemen to take create hindrance in business of the petitioner. That rate of interest which was promised to the petitioner was 9% but USFB misused the blank signed stamp papers and charged extra rate of interest. The petitioner has challenged the issuance of notices under SARFAESI on the ground that the petitioner was unable to repay its dues due to Covid19. Matter is listed on 12.04.2023.
64	Complaint No. 21/102	Consumer	District Consumer Dispute Redressal, Ludhiana	19-Mar-21	Ram Adhaar	The complaint has been filed by Mr. Ram Adhaar against USFB wherein it has been alleged that customer was running loan with IDFC Bank and opposite party no 2 i.e. Ujjivan Small Finance Bank approached the customer to take over the loan at lower rate of interest. After taking over the loan, an amount of Rs. 10,40,000/- was sanctioned in the name

For Ujjivan Small Finance Bank Limited



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65	Succ. No. 80/2020	Civil	Administrative Civil Judge, Tis Hazari Courts, New Delhi	20-Aug-20	Santosh Ram Pal v State of NCT of Delhi & Others	of Mr. Ram Aadhar. Further, the complainant has stated that rate of interest mention on the sanction letter was @ 14% reducing and other charges was taken. Further the complainant has also alleged that insurance policy was forcibly issued. The complainant has also alleged that signatures were obtained on blank papers from the customer and the complainant has asked to provide the details of blank papers on which the signatures were obtained but no satisfactory reply has been received by the complainant. The complainant has alleged that, although it was agreed through sanction letter that the ROI will be @14% reducing, USFB is charging higher ROI @ 19%. The complainant has alleged that some foul play has been committed by USFB and forged sanction letter has been made in which the ROI is written as 19% with mala fide intention. Matter is listed on 03.04.2023.
66	Complaint No. 11/2020	Consumer	Consumer Forum AGRA II	03-Jan-20	Satyaprakash Saxena	This is a succession petition filed for grant of Succession Certificate under Section 372 of the Indian Succession Act, 1925 wherein Mrs. Santosh Ram Pal widow of USFB's customer Shri Desh Rampal, who expired on 06.03.2020, leaving behind 2 legal heirs (Wife and Son). The deceased was maintaining savings as well as FD account with USFB. Matter is listed on 08.05.2023. Complainant has alleged that he has taken a personal loan to an amount Rs. 7,00,000/- for which the rate of interest was fixed @10% for 120 instalments. He alleged that the loan is repayable in 120 instalments, however no details were provided by USFB, so he stopped paying instalments. He further alleged that Rs. 40,000 were collected from him for Bima Policy (Insurance) for which no documents have been provided to him. The Complainant alleged that contrary to what is agreed initially, the interest rate in the Loan card has been mentioned as 16.75%, which was not known to him. He tried to make repayment of the total loan amount of 120 instalments and has requested for the closure of the Loan account but for doing so he has been asked to pay the interest of 10 years along with the Principal Loan Amount. He alleged that USFB is misusing the post dated cheques provided by him at the time of loan processing and despite his request for return of cheque, the same are not returned. Matter is listed on 10.04.2023.

For Ujjivan Small Finance Bank Limited


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67	COMA/958/2019	Labour	CJM Faridabad	15-Nov-19	State of Haryana	Summons received under section of Equal Remuneration Act, 1976 regarding non-production of records during the visit of Labour Enforcement Officer at USFB's Faridabad branch. Matter is listed on 16.05.2023.
68	Complaint No. 309/2020	Consumer	District Consumer Dispute Redressal Kurukshetra	11-Sep-20	Sukhdev Singh	The father of the complainant namely Tara Chandra was USFB's customer and has issued a policy bearing no. 21650634 dated 11.07.2019 under UNI HDFC SL ProGrowth Super II plan vide UIN No. 101L066V03 from HDFC Life Insurance Company through Ujjivan Small Finance Bank for a term of 10 years with a premium amount of Rs. 47,650/- annually and other Policy bearing no. 21702685 dated 29.07.2019 under EIV HDFC Life Sanchay Plus Plan vide UIN No. 101N134V02 from HDFC Life Insurance Company for a term of 10 years with a premium amount of Rs.50000/- annually. The complainant has alleged that the policies has been issued by obtaining/receiving & verifying all the documents. The father of the complainant expired on 22.05.2020 and the complainant being the nominee submitted all the documents vide receipt dated 22.06.2020 for the payment of the assured amount. As per the Complainant, HDFC Life Insurance Company has rejected the claim on the pretext that the correct age of the life assured was higher than that disclosed at the time of availing insurance. Matter is listed on 12.04.2023.
69	Application No. 01/2022	Civil	PLA, Bhilwara	06-Jan-22	Leela v USFB	The customer has taken IL for an amount of Rs.75,000/- from USFB. She also availed loan for an amount of Rs. 2,00,000/- on 19.03.2021. The customer has alleged that there is misrepresentation of interest rates. Matter is listed on 02.01.2023.
70	CC No. 298/21	Consumer	DCDRF, Hisar	11-Feb-22	M/s Sanya Thakkar v USFB	We are in receipt of notice from District Consumer Forum Hisar in a complaint filed by a customer Sanya Takkar. Grievance is regarding not updating PAN number in system and deduction of TDS. Matter is listed on 08.06.2023.
71	CC/100/2022	Consumer	DCDRF, Fatehabad	29-Apr-22	Pooja Rani v USFB	That complainant has opened a savings account no. 2320110010000125 in Fatehabad branch of USFB and ATM card was also issued. The complainant has deposited Rs. 43,190/- in her savings account. That on 28.09.2019, the husband of the complainant visited the branch to start the working of his ATM card wherein the Branch Manager asked him to change the PIN of the ATM card and took his mobile and changed the ATM PIN and told him that his ATM will be in functioning in 2 working days.

For Ujjivan Small Finance Bank Limited

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72	App. 82/2022	Labour	Additional District and Sessions Judge, Industrial tribunal court, SAS Nagar, Mohali	07-Jun-22	Harish Saini v M/s Quess Corp. Ltd.	That on 02.10.2019, the husband of the complainant received 3 messages on his mobile that the account has been debited 3 times for an amount of Rs.5000/-, Rs.10000/- and Rs.10000/- respectively. Again on 03.10.2019, the husband of the complainant received 3 messages on his mobile that the account has been debited 3 times for an amount of Rs. 5000/-, Rs. 10,000/- and Rs. 3000/- respectively. Therefore, a total of Rs. 43,000/- were debited from the account within 2 days. That complainant visited the branch and narrated the entire incident to the branch manager but the branch manager misbehaved with the complainant and did not provided any appropriate information. That complainant filed complaint before Huda Police Station, Fatehabad on 03.10.2019 and also visited branch number of times but no action has been taken till date. That complainant has alleged that branch manager has misused the PIN provided by the husband of the complainant and has used the amount for his own use and is not threatening the complainant. Matter is listed on 20.04.2023
73	CWP No. 13639/2022	Civil	High Court of Punjab and Haryana	31-May-22	Hukum Chand and Another v USFB	Applicant is the Collection Officer working with respondent 1 and 2 since 24.11.2020 at Rupnagar Branch. Further the applicant contends that Rs. 2,950/- deducted as ESI, PF, Labour Welfare Fund, Other Deductions; instead of Rs. 1,944/- as agreed in the Contract. That Mr. Maneet Kumar (Collection Cluster manager) harassed, humiliated, forcibly supervised & directed. Mr. Maneet Kumar promoted and transferred to different location but sat in Rupnagar Branch. On 18.10.2021, Mr. Maneet Kumar forcibly filed resignation from applicant's mobile phone, in a meeting, without his consent or knowledge. Also Last 2 month's salary has been deducted. Rs 1800/- per month deducted as PF but only Rs. 1740/- was redeemable. Not compensated for overtime working hours. Working hours exceeded but not duly compensated. Forced to work on week offs but no attendance marked for the same. Matter is listed on 25.04.2023.
						A Writ Petition filed against our Bank where petitioner has disputed the creation of mortgage on the ground that petitioner is illiterate and his son in connivance with bank officials have allegedly forged the signatures of the petitioner and created mortgage in favour of bank, the High Court has

For Ujjivan Small Finance Bank Limited


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74	CC/493/2020	Consumer	Consumer Forum, Delhi	15-Dec-20	Shalini VS. USFB	stayed bank's action till next date of hearing. Matter is listed on 24.08.2023. The Home loan borrower (deceased) availed a home loan facility of Rs. 1800000/- which was duly insured by ABSLI. Unfortunately, the borrower died in the year 2019 and the complainant/nominee alleges that she has not yet received the death claim settlement money. Matter is listed on 08.05.2023.
75	CC/449/2022	Consumer	District Consumer Forum, Narnaul	31-Aug-22	Sushila VS. ICICI & Ors.	The complainant availed a health policy alleging to cover herself as well as his husband. His husband was admitted in the hospital for treatment. They submitted the requisite documents for availment of the insurance policy but their claim has not been processed till date. Matter is listed on 10.04.2023.
76	2271/2022	Civil	Civil Court, Prayagraj	29-Aug-22	Ghanshyam & Ors. VS. USFB & Ors.	The plaintiffs approached the Defendant Bank for availing loan facility against a property vide loan application number 23730000014 dated 10.06.2021 and after completion of all internal assessments and formalities, a loan of Rs. 12,00,000/- was sanctioned to the plaintiffs by the defendant vide sanction letter dated 29.06.2021. Alleges that the rate of interest which was decided for the loan account of the plaintiffs was 10.50% per annum and is being charged at the rate of 14.75%. Further alleges that plaintiff has not paid any EMI after July. Also, contends loan amount sanctioned was Rs. 12,00,000/- but only Rs. 11,00,000/- was disbursed. Matter is listed on 11.04.2023.
77	EA 35/2022 in Complaint No. 253/2020	Consumer	DCDRF, Yamuna Nagar	01-Aug-22	Devi Dayal vs USFB	Complainant availed a loan Rs. 7,00,000/- against property from USFB and alleges that the complainant has paid EMI for 13 months by issuing a DD of Rs. 6,83,146/- to clear the entire loan amount but the loan amount has only reduced by Rs. 30,558/-. Thereby the complainant requested for issuance of no dues certificate and provide the documents of the property mortgaged with USFB but the same was not provided. Hence, the aggrieved customer filed the complaint and the same was allowed. Hence, the applicant has filed this execution application for the compliance of order. Matter is listed on 04.05.2023.
78	CS(CO MM) 867/2022&I.A. 21142/2022(O-XI R-5)	Civil	High Court, Delhi	13-Dec-22	DS Intellectual Properties LLP & Anr. v. Ashutosh Kumar Singh & Ors.	Present case is filed by Dharampal Satyapal group (Rajnigandha) for infringement of copyright, trademark etc., wherein USFB is Defendant No. 4, with whom Defendant Nos. 1 and 2 hold an account. The said account is allegedly held in the name of "Dharampal Satyapal Group" wherein transfer of

For Ujjivan Small Finance Bank Limited


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79	APP No. 76 of 2022	Civil	PLA, Pali	23-Dec-22	Jyoti Bhati Vs. USFB	amounts by unwarly customers is taking place in the name of the Plaintiffs. We have been impleaded as a party to freeze the a/c no.3315120020000419 and further reveal details of bank account(s) owned by Defendant Nos. 1 and 2 or any other third person in whose name, USFB account is registered. Matter is listed on 06.04.2023. The complainant's father Late Shri Sugna Ram availed a housing loan of Rs. 3,50,000/- bearing account number 223521017000027 and the loan was duly insured by ABSLI bearing Policy no. A15744274. The borrower died on 17.11.2021 and the loan was duly paid by the insurance company but till date the original title documents of property that was mortgaged with USFB has not been released and rather the applicants are being threatened to sue. Matter is listed on 04.04.2023.
80	APP No.11 OF 2023	Civil	PLA, Kaithal	02-Jan-23	Gurdash Singh Vs USFB	The complainant alleges that he availed a loan facility from the respondent bank and that USFB pasted a notice on the residential house of the complainant on 06.2.2022 whereas issued a notice on 17.7.2021. The complainant further alleges that the loan was settled via a one-time settlement on 19-12-2021 and a no due certificate was issued to the borrower on 06.1.2022. Despite this, the complainant has not yet released the original title deeds of the mortgaged property. Matter is listed on 18.04.2023.
81	CC/383/2022	Consumer	DCCDRF, Sawai Madhopur	22-Dec-22	Lalita Bairwa Vs USFB	The complainant has filed this case against repudiation of her insurance claim by the insurance company Cholamandalam. Ujjivan Small Finance Bank has been made a party as she availed a vehicle loan facility on 04.03.2022 from us to purchase a hero splendor plus. Her vehicle was stolen on 12.03.2022 and she has filed an FIR regarding that as well. Matter is listed on 26.04.2023.
82	App no. 01/2023	Civil	Additional District Judge, Khachroud , Ujjain	03-Jan-23	Radheshyam Vs. USFB	This is an application preferred by brother/brother in-law of the deceased customers i.e. husband & wife. The applicant alleges that the deceased customer Sayar Bai availed group loan facility of Rs. 50,000/- and the same was duly insured and under the policy cover, the deceased husband & wife were eligible for Rs. 57,780/- each and that before the maturity of the said policy, the husband died on 04.03.2021 and the wife died on 16.05.2021. Due to the untimely death of the husband and wife, the applicant has applied before the court to be the nominee to receive the amount in the said policy so that the

For Ujjivan Small Finance Bank Limited


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83	1184/2022	Civil	Civil Court, Indore	03-Feb-22	Sachin Nale & Anr. Vs. USFB & Anr.	<p>amount can be used for the benefit of the deceased's children as both the minor children are staying with the applicant. Matter is listed on 19.04.2023.</p> <p>The plaintiffs have filed this civil suit for repudiation of life insurance availed along with secured business loan of Rs. 38.50.000/-, in the name of M/s Tulsi Steel, availed by his deceased father Late Raju Nale, The plaintiff alleges that his father availed the insurance by paying a one-time premium of Rs. 69,916.77/- but still the insurance claim has not been settled. He further alleges that he sent a notice on 30.04.2022 to settle the dues of USFB from the insurance amount, on which the defendant replied vide Reply dtd 06.06.2022 that the insurance could not be processed as the borrower was required to undergo medical test for which he did not appear. Matter is listed on 26.04.2023.</p>
84	CMA 149/2022	Civil	Civil Judge, Jodhpur	08-Sep-22	Sarla Jayantilal Jain & Ors. Vs. Rajasthan Marudhard Gramin Bank & Ors.	<p>A succession petition has been filed by the legal heirs of Smt. Sushila Devi who died on 10.05.2021 and Shri Chainsukh who died on 23.05.2021. The plaintiff alleges that their deceased parents availed two FDs bearing FD no. 2232110060050844 and 2232110140050845. The instant suit has been filed to declare the plaintiffs first class legal heir of the deceased. Matter is listed on 26.04.2023.</p>
85	App no. 31/2023	Civil	PLA, Ajmer	10-Feb-23	Punamchand Lohar Vs. USFB	<p>The applicant alleges that he availed a housing loan facility from USFB on 30.01.2017 and alleges that he has been regularly paying EMIs in his Canara Bank, Agra Gate - Balaji Mandir, Ajmer Branch, from where USFB has been deducting EMIs through ECS. The same was also paid on 12.12.2022 and on 12.01.2023. His main grievance is that despite payment of regular EMIs, the respondent issued a notice dtd 26.12.2022 under section 13(4) to take symbolic possession and the notice also mentions a demand notice dtd 18.06.2021 issued with regard to the same. The applicant further alleges that he has also filed a complaint in consumer forum against the respondent bank for availing LOD and FCL in order to transfer the loan facility from USFB to Canara. However, the respondent has not filed any reply in response to the same and hence, the instant applicant is the first litigation been filed. Matter is listed on 18.04.2023.</p>

For Ujjivan Small Finance Bank Limited


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86	CS/193/2023	Civil	Civil Court, Fatehabad	26-Feb-23	Pali Ram Vs. USFB & Ors.	The plaintiff is father of one of our ex-employee i.e Sunil Bishnoi. His son, now deceased, was working with R1 & R2 as Relationship Manager in Housing Department. His main grievance is with regards to release of FFS and claims that USFB is required to first clear the education loan that his son availed from SBI and thereafter, the proceeds shall not be credited in the name of the deceased's wife as she has murdered him, in respect of which, the plaintiff has also filed an FIR at PS, Kaithal. He further asserts that all the emoluments should be released in favour of the mother of the deceased. Matter is listed on 06.04.2023.
87	CS/397/2023	Civil	Civil Court, Chandigarh	03-Mar-23	Gurbinder Makkar & Anr. Vs Bajjit Kaur & Ors	The suit has been filed for declaration for Class - 1 legal heirs of Late Bhagat Singh Makkar, in the capacity of being his wife, daughter & son and to declare that they are entitled to inherit his assets. Apparently, a death certificate of the deceased is also under challenge vide which D1 is also claiming to be the legally wedded wife of the deceased. Several other identity proofs are also under challenge vis-a-vis passport, voter-id card as the same also mentions D1 as wife of the deceased. USFB has been made defendant no. 12 and the relief prayed is to not release the proceeds of the account maintained with USFB in favour of the plaintiff and proforma defendants only. Matter is listed on 17.04.2023.
88	O.S.No. 360/2018	Civil	Prl. Civil Judge, Davanagere	24-Jul-18	GN Ramesh & Anr. Vs Ujivan Small Finance Bank and 10 others	Customer has filed the present suit for permanent injunction restraining the defendants from disturbing the peaceful enjoyment of the schedule property in which he lives. The customer had availed a loan for Rs. 5,00,000/- via loan Account No. 113280500000004. Matter is listed on 08.06.2023.
89	O.S.No. 435/2018	Civil	Civil Judge and JMFC, KR Pet	01-Aug-18	KP Sharmila and others Vs UFBS and others	Plaintiffs who are the legal heirs of the brother of our landlord filed suit to declare that they are having right on the suit schedule property in which our bank is a Lessee (KR Pet branch) and wanted a mandatory injunction against our bank to vacate the property. The Plaintiffs claim right on the by challenging a settlement deed happened between our land lord and his brother. Our land lord is arrayed as first defendant. Matter is listed on 03.04.2023.
90	I.P.No.0 1/2019 For Ujivan Small Finance Bank Limited	Civil	PSJ, Cuddalore	04-Feb-19	G.Karthikeyan Vs USFB and 15 others	Insolvency petition filed by customer to declare him as Insolvent. USFB is fifth respondent in the case. Loan amount availed by the customer is Rs. 2,00,000/- . Loan ID – 119780400000028; Date of Disbursement – 07/03/2017; Amount


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						Disbursed – 200000; Amount Outstanding as on date Rs.168330 – Written-off (Not in overdue); Nature of the Loan – Unsecured Business loan (UBL). Matter is listed on 05.04.2023.
91	I.P.No.4 4/2019 New No. I.P.No.6 2/2019	Civil	Illrd Addl. Sub Court, Madurai	18- Apr-19	P. Chandran Vs USFB and 9 others	Insolvency petition filed by customer to declare him as Insolvent. USFB is Seventh respondent in the case. Loan amount availed by the customer is Rs. 3,00,000/-. The current amount outstanding is Rs. 196470. This loan account i.e 1660220010000002, is still active. Matter is listed on 26.04.2023.
92	I.P.No.6 /2019	Civil	Addl. District and Sessions Court, Theni (FTC)	19- Mar- 19	P.Chinnasam y/C. Selvi Vs USFB and 34 others	Insolvency Petition filed by Customer Selvi along with her husband to declare them as Insolvent. USFB is 3rd Respondent. Loan amount is Rs. 35,000/-. Amount o/s as on 17.03.2020 is Rs. 27,574. Matter is listed on 04.07.2023.
93	I.P.No.5 7/2019	Civil	In the court of Subordinat e Judge Karur	06- Jun- 19	Pachaiammal Vs. USFB and 10 others	Insolvency Petition filed by Customer Pachaiammal to declare her as an Insolvent. USFB is 7th Respondent. Loan amount is Rs. 35,000/-. The current amount outstanding is Rs. 13362. This loan account i.e 118373600000657, has been written off. Matter is listed on 13.04.2023.
94	IP No.7/20 19	Civil	Sub Court, Lalgudi	04- Dec- 19	Sharmila Vs USFB and 11 others	Insolvency Petition filed by GL Customer Sharmila begum to declare her as insolvent. USFB is the 7th Respondent. The current amount outstanding is Rs. 14,063. This loan account i.e 167581000000039, is still active. Matter is listed on 17.04.2023.
95	IP No.60/2 018	Civil	Principal Sub Court, Madurai	03- Dec- 18	G Balakumar v. USFB and 14 others	Insolvency Petition filed by Customer G Balakumar to declare him as insolvent. USFB is the 10th Respondent. The current amount outstanding is Rs. 3,57,281. This loan account i.e 166080500000021, is still active. Matter is listed on 21.04.2023.
96	OS.441 8 of 2004	Civil	PRL. City Civil and Sessions Judge, Bengaluru	24- Jun- 04	Sri Narayana v Sri Leela Murthy and others	Title Suit filed by Sri Narayana, who states that he entered into an agreement to sell the suit schedule property with Defendant No. 2. Subsequently Defendant No. 2 illegally sold the Southern portion of the suit schedule property to Defendant No. 4. Defendant No.4 in turn mortgaged this portion of the property with USFB. Thus USFB is impleaded as necessary party to this suit in order to adjudicate and settle the matter. Matter is listed on 11.04.2023.
97	IC 12/2019	Civil	The Court of the II Addl. District Judge at	17- Dec- 19	Sreenivasa R v. Ujjivan Small Finance	Insolvency Case filed by our Customer Sreenivasa to declare him as an insolvent. USFB is the 1st Respondent. The Petitioner/Debtor has availed a loan of Rs. 5,00,000/- (Rupees Five Lakhs

For Ujjivan Small Finance Bank Limited at


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98	O.S No.28/2020	Civil	Principal Civil Judge, Davanagere	09-Jan-20	R.T. Veeresh and 3 Ors. V. Ujjivan Finance and 30 Others.	Only) from our Bank on 16.05.2017. Account is still active. Matter is listed on 03.04.2023. The Customer along with his family members had filed a suit seeking injunction against the creditors from disturbing them either in his work place or at home. No injunction has been granted by the court. USFB is the 24th Respondent in the case. Matter is listed on 20.04.2023.
99	IP No.5/2019	Civil	In the court of Subordinate Judge Bhavani	09-Oct-19	T Ponnusamy v. USFB and nine others	The Petitioner states that he had started a garments business under the name of Sri Mahalakshmi Garments. But sustained huge losses and is unable to repay the loan. The petitioner alleges that he only has Rs. 500 and prays to be declared an insolvent. Our Bank is the 5th Respondent. The customer has A/c No.118175300000586 and the loan availed is Rs. 150000. The current outstanding as on 17.03.2020 is Rs. 77,339/-. The account is still active. Matter is listed on 20.06.2023.
100	IP No.5/2020	Civil	Principal District Court, Theni	29-Jan-20	S. Vijayalakshmi and Subburaj v. USFB and 8 Ors.	The Petitioners state that they are unable to repay the dues owed to their creditors and request the court to adjudge them as Insolvents. The customer has A/c No. 119574200000381 and the loan availed is Rs. 1,50,000. The loan was provided for Business purposes. The current outstanding as on 30.03.2020 is Rs. 1,18,706/-. The account is still active. Matter is listed on 04.07.2023.
101	OS 93/2020	Civil	District Munsifs Court, Thiruvaiyaru	08-Oct-20	Arulmeri and 4 Ors. V. USFB & 4 Ors.	USFB is the second Defendant. This is a suit filed by the mother and 4 brothers of USFB's deceased customer SURIYAMOORTHY NITHIYANANTHAM (SB RD 1627110010050371; 1627140010000177, 1627140010001076), alleging that his wife Akilamary is not the rightful nominee and the mother and the brothers have a share in the assets of the deceased. There is no prayer against USFB and mere Injunction is being sought against the wife from inheriting the assets of the deceased customer. Matter is listed on 07.06.2023.
102	OS 20/2020	Civil	In the court of the Senior Civil Judge and JMFC, at Kollegal	22-Sep-20	Shri G Suresh Kumar v. Krishnamurthi USFB (Kollegal Branch), USFB East Regional Office	This is a suit filed by the brother of our customer Mr. Krishnamurthi alleging that the scheduled property mortgaged with USFB belongs to both the Plaintiff and the 1st Defendant jointly. The plaintiff contents that defendant No.1 colluded with our Bank and mortgaged the scheduled property without the former's consent. The plaintiff has requested for an expert temporary Injunction against

For Ujjivan Small Finance Bank Limited


Authorized Signatory

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103	OS 3327 of 2020	Civil	In the court of the City Civil Judge at Chennai	16-Oct-20	B. Jayaprakash v. USFB	USFB and its agents, preventing them from interfering in any manner or mode with the scheduled property. Matter is listed on 21.04.2023. Mere Summons received to appear in court on the 24th of February, 2021. This is a suit filed by a customer of USFB who had obtained a personal loan via loan ID 168122022000116. The above-mentioned account is currently active and the o/s amount is Rs.5,01,460/-. Plaintiff copy received on 24.02.2021 wherein the customer alleges harassment on behalf of USFB and additionally claims that the latter is imposing exorbitant interest and charges. In light of these allegations, the customer Mr. Jayakumar who is the Plaintiff herein has requested the court to grant a permanent injunction against USFB and its officials from disturbing the peaceful possession of the Plaintiff's Residence. Matter is listed on 18.04.2023.
104	OS 17 of 2021	Civil	Principal District Munsif Court, Salem	21-Dec-20	E Rajiv Gandhi v. The Branch Manager, USFB	The plaintiff alleged in the plaint that he had obtained a loan amount of Rs. 3 Lakhs vide loan account no. 1172220010000085 by hypothecating machinery. Due to corona, he was unable to run his business and more than 10 EMIs were not paid. Hence filed the present suit for permanent injunction restraining USFB or its officials from dispossessing the suit machine from the suit property except under due process of law. Matter is listed on 10.04.2023.
105	O.S.No. 19 of 2021	Civil	Pri.Sr.Civil Judge & JMFC, Jamkhandi	28-Jan-21	Sneha and 3 others Vs USFB and 7 Others	Plaintiffs are the daughters and wife of the first defendant. USFB is the 8th Defendant. The suit is for partition and declaration to declare the sale of properties by D1. This will not bind on the plaintiffs share and to declare the loan availed from USFB by D1 is not for the benefit of the joint family and not binding on the plaintiffs share. The plaintiffs alleges that the properties purchased in the name of D1 was through joint family earnings and as such the same to be considered as ancestral joint family properties even though the title is in the name of D1. D1 got illegitimate intimacy with other women, he ignored to take care of the plaintiffs. The loan availed by D1 from USFB is without being any necessity and the funds were utilised by D1 for his immoral personal voices. Thus, the suit has been filed. Matter is listed on 25.05.2023.
106	IP No. 10 of 2020	Civil	Before the Sub Court, Rasipuram	19-Nov-20	Priya and Another v. Branch Manager,	Insolvency petition filed by customer Priya to declare herself as Insolvent. USFB is the 8th Respondent. Awaiting

For Ujivan Small Finance Bank Limited



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107	WP No. 6103 of 2021	Civil	Before the High Court of Karnataka	22-Mar-21	USFB, Rasipuram and Ors. Sri Shankar D v. USFB & another.	loan account details from the Branch. Matter is listed on 21.04.2023. Writ petition filed by our customer Mr. Shankar alleging unauthorised freezing of his account and reversal of funds amounting to Rs. 8 Crores from his account without any intimation. An amount of Rs. 8.5 crores was credited to his account on clearance of the cheque presented by him by KVB. Since the said transfer was made erroneously by KVB, USFB lien marked the funds upon intimation from KVB. Rs. 50 lakhs were transferred from USFB to IDFC by the customer and the said account was also frozen. Hence the customer filed the Writ petition seeking redeposit of Rs. 8 crores by USFB and for allowing operation of the account in IDFC Bank. Matter is yet to be listed.
108	OS No. 213/2021	Civil	District Munsif Judge At Tiruchirappalli	07-Apr-21	Girija v. USFB & Others	Only summons received, plaint copy yet to be obtained. Matter is listed on 03.04.2023.
109	OS No. 505/2021	Civil	Principal Sr Civil Judge and CJM, Tumkur	01-Sep-21	Paravathamm a V. USFB & 9 others	Family dispute between plaintiff and defendant No. 1 to 7 wherein plaintiff is claiming partition and separate possession including her share in the award amount received from the land acquisition proceedings. USFB is Defendant No. 10 and added as a formal party without any claim or prayer. it is alleged that D5 is holding the account with our bank where the award amount has been deposited. Matter is listed on 11.04.2023.
110	O.S.No. 288/2021	Civil	Munsiff Court, Kochi	23-Dec-21	Safiya Vs USFB	The petitioner had availed a IL loan of Rs. 28,000/- and Rs. 30,000/- and failed to repay EMI and seeking Injunction for USFB officials to not disturb her. Account No. 119473600013517 and Account no. 119481400001214. Matter is listed on 10.04.2023.
111	WP (C) 5959/2022	Civil	High Court of Kerala	17-Feb-22	Shafeeq O Muhammedkuttty Vs USFB	The Petitioner availed HL of Rs. 12.40 lakhs under loan account no.1193210170000001 and defaulted in repayment. His account turned NPA and SARFAESI was initiated, and symbolic possession of the property was taken. Challenging the symbolic possession taken he has preferred the Writ Petition seeking to stay the SARFAESI action and seeking permission to repay the overdue amount by way of instalment and to regularize the account. Matter is yet to be listed.

For Ujjivan Small Finance Bank Limited


Authorized Signatory

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112	O.S.No. 81/2012	Civil	Sub-Court, Vaniyambadi	NA	Satheesh kumar Vs USFB and 6 others	The Plaintiff alleges that pending suit for Specific Performance and inspite of Status Quo order pending, the Defendant No.3 has mortgaged the suit schedule property with USFB and filed an impleading application to implead our bank as a necessary party. USFB is arrayed as Respondent 6 & 7. Matter is listed on 24.04.2023.
113	Cr.No. 294/2022	Criminal	Judicial Magistrate - 2, Cuddalore	07-Mar-22	State Vs 1.Durgadevi 2. Senthilkumar 3. Nagaraj	A Criminal case was filed owing to misuse of Summons issued by DLSA, Cuddalore. A2 is an off role collection officer who misused the summons issued for Lok Adalat conducted by bank in which A3 who is a CRO got impleaded alleging that the summons were issued at his direction. A2 and A3 arrested. Matter is under investigation. The matter is still under investigation and trial will commence before the court on filing charge sheet, hence date of listing is not given.
114	Cr. Pet No. 6328/2022	Criminal	High Court of Karnataka, Bangalore	11-Jul-22	Mr. K Naveen Prasad vs. The National Manager, Ujjivan Bank & Other	The petitioner is an accused in the case filed by USFB in CC No. 16556/2020 before the Adl CMM at Bangalore. The petitioner stated that he has been implicated in the crime without any materials ultimately leading to his conviction. Matter is not yet listed.
115	IP No. 02/2022	Civil	Principal District Court, Nagapattinam	24-Jun-22	Mr. Surendar and Nathiya and Mrs. Nathiya vs. Ujjivan Small Finance Bank & Others	The petitioners had availed Home Improvement Loan for Rs.1,00,000/- vide Loan A/c No. 163974700000460. The petitioner defaulted in repayment. The petitioners filed this case to declare them as insolvent. Matter is listed on 06.04.2023.
116	O.S No. 133 / 2022	Civil	Principal District Munsif Court, Rajapalayam	11-Jul-22	Ms. Velankanni vs. Ujjivan Bank and Others	The petitioner had availed a GL vide A/c No. 166974700000025 from Paniyur Branch. The petitioner had alleged that inspite of payment of all the dues, the collection agencies are demanding for payment. Matter is listed on 11.04.2023.
117	IP No. 8/2021	Civil	Principal Sub Court, Dindigul	01-Mar-21	Ms. Dhanalakshmi vs. Ujjivan Bank and Others	The Petitioner had availed Business Loan for Rs. 50,000/- vide A/c No. 160773600002823. The petitioner defaulted in repayment. The petitioner filed this case to declare her as insolvent. Matter is listed on 01.04.2023
118	O.S.No 253/2022	Civil	Sub Court, Mettur	24-Aug-22	Ms. Akila vs Ujjivan Bank and Others	The petitioner filed this case for declaration and permanent injunction on the property mortgaged by the defendants in USFB . Matter is listed on 18.04.2023.
119	WP No. 19978/2022	Civil	High Court of Karnataka, Bangalore	06-Oct-22	Vasantha vs. Ujjivan Bank	The petitioner had availed Business loan vide Loan A/c No. 1122210180000009 from USFB for Rs. 20,00,000/-. The account had become NPA and USFB initiated legal measures under SARFAESI Act, 2002 for recovery of the

For Ujjivan Small Finance Bank Limited



Authorized Signatory

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120	CC.No. 20/2022	Consumer	District Consumer Disputes Redressal Commission, Chamrajnagar	06-Dec-22	Smt Rathnamma vs. HDFC Life Insurance, The Manager, HDFC Bank & The Manager, USFB	loan. USFB had filed Sec.14 application before CJM and obtained the order for taking physical possession of the mortgaged property. The petitioner challenged the Sec.14 order passed by CJM. Matter is not yet listed.
121	CC No. 1404/2022	Criminal	JFCM Court, Kunnamkulam	15-Nov-22	SIP, Kunnankulam vs. Mr. Sebastian and Mr. Praveen	The case has been filed against our two of our employees alleging that they had misbehaved with the customer during OD collection follow up and misbehaving with the customer. Regular bail taken during investigation. Mere summons received from Court u/s 448, 323, 294(B) and 34 of IPC. Matter is listed on 26.06.2023.
122	Sp.Civil suit no. 28/2021	Civil	Civil Judge Senior Division, Bhusawal	12-Jul-21	Sanjay Murlidhar Nemade V/s Rajendra Murlidhar Nemade and 7 others	Upon death of the owner Mr. Murlidhar Laxman Nemade who was the Lessor of our Ujjivan Branch at Bhusawal, dispute arose among the 5 legal heirs of the deceased regarding title rights over the property. We have been made a party no. 6 to the suit wherein it is claimed that USFB should pay the rent to respondent no. 5 being Khamgaon Urban Coop Bank Ltd Bhusawal in whose favour the property is mortgaged pursuant to loan taken by deceased owner/lessor Mr. Murlidhar Laxman Nemade and his son Sanjay Nemade is guarantor. Matter is listed on 22.06.2023.
123	RCS: 1110/2018	Civil	Civil Judge Senior division, Nagpur	14-Nov-19	Suresh Dhanchand vaswani V/S Dr. Rajendra Dhanchand vaswani	There is a civil suit between Suresh Vaswani & Rajendra Waswani bearing number 1110/2018 where were not added as a party but the disputed premises pertaining to the suit is in our occupation. Upon the application of the plaintiff a notice was issued to USFB for addition as party on an earlier date. But formally as we were not served with the notice, we were not yet added formally as party in the civil suit. As we were the interested party, we appeared in the matter on 14/11/2019 and filed vakalatnama in the civil suit. Matter is listed on 27.04.2023.

For Ujjivan Small Finance Bank Limited


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124	comp. No. 7/2022	Consumer	Aurangabad Consumer forum	01-Jan-22	Pandurang Uddhav Karpe Vs USFB	customer is alleging that he has not received interest benefit rebate under PMAY scheme from USFB. We are continuously following with credit team on this and the team who is handling this issue but there is no revert, hence, we are not able to revert on the issue. Matter is listed on 26.04.2023.
125	CC/22/371	Consumer	District Consumer Dispute Redressal Commission, Jalgaon	19-May-22	Sunil Vasant Songire - V/s-Ujivan Small Finance Bank	Complainant is the borrower of USFB he has requested for Statement of account and LOD in the month of July 2021 for the purpose of Balance Transfer in other bank but as contended there is no reply to his request from USFB. Then again he has requested for the said documents in the month of Sep-2021 but again there is no reply to him. Please note that "the original documents in this case are lost by the storage service provider Writer". Complainant approached consumer forum against USFB on the ground of deficiency in services and claimed amount of Rs. 27,431/- towards additional interest paid by the borrower with USFB, Rs. 1,00,000/- towards mental, physical & monetary loss & Rs. 50,000/- towards expenses of caption case. Total Claim amount Rs. 1,77,431/- . Matter is listed on 02.05.2023
126	IA No. 2 of 2023 in Unnumbered OS	Civil	PDJ, Namakkal	27-Feb-23	Mr. S Ashok Kumar vs. Ujivan Small Finance Bank	The case is filed against the landlord of our Rasipuram branch premises with regard to a dispute in the property. USFB has been arrayed as a party in the suit since USFB is currently in possession of the property. The petitioner has filed a condonation of delay in the IA. Matter is listed on 11.04.2023.
127	IP No. 6/2022	Civil	Sub Court, Rasipuram	31-Oct-22	Mr. Senthil and Ms. Suchithra vs. USFB and Others	The customer has availed a Group loan from USFB. The customer has filed this case to declare them as insolvent. Matter is listed on 23.06.2023.
128	E.A. No. 26/2023 in CC No. 140/2022	Consumer	District Consumer Disputes Redressal Commission, Krishnagiri	29-Nov-22	Mr. K Annadurai vs. USFB and Others	The complainant is a customer of Indian Overseas Bank. The complainant has stated that he tried to withdraw Rs. 10,000/- from USFB's ATM in Krishnagiri on 29/09/2022. The complainant alleged that the amount was debited from his account but the cash was not dispensed. USFB was set ex-parte and the forum has directed USFB pay Rs. 25,000/- to the complainant. Appeal is preferred before the State commission TN and the same is pending for admission on 10-05-2023, in the meantime complainant has filed an execution Application which is listed for hearing on 22-05-2023.
129	CC/23/12	Consumer	District Consumer Dispute Redressal Commission, Rasipuram	20-Jan-23	Anantram Yadavray	That the borrower has demanded the entire statement of loan account and details of the amount paid by him towards the loan, it is contended that his

For Ujivan Small Finance Bank Limited


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130	App no. 91/2021	Labour	Commission, Nagpur	22-Feb-23	Dhore-V/s-USFB Employee Deepika saxena	requirement is not fulfilled by USFB and it is also contended that the ROI for the loan account was agreed of 4% only but USFB has applied a higher ROI. Matter is listed on 12.04.2023. The matter has been referred by DLC Aligarh to the Labour Court and now the claimant, who was an employee of USFB is claiming for reinstatement alleging laid off illegally and no back-wages. Matter is listed on 14.08.2023.
131	WP 5772/2023	Civil	High Court of Karnataka, Bangalore	13-Mar-23	M/s SRK Enterprise	The petitioner has availed a Business Loan from USFB for Rs.75,00,000/-. The petitioner has defaulted in repayment of the loan and USFB had initiated SARFAESI proceedings against the customer for recovery of the loan. USFB has taken symbolic possession of the mortgaged property on 24/02/2023. The petitioner has challenged the possession notice dated 24/02/2023 issued by USFB. It is a writ petition filed before the High Court, wherein next date of hearing will not be provided, USFB's advocate will be getting the intimation from court by way of cause list one day before the case getting listed before the court.
132	PY/BO M/COM P/1560 716/2021-22	Civil	Regional Provident Fund Commissioner (RPFC)	10-Mar-21	Enforcement Officer-EPFO	Determination of Dues under Section 7A of Employees Provident Fund and Miscellaneous Provision Act 1952 wherein, Vide order dated 09-08-2021 Bank was directed to remit EPF contribution on basic wages which is subterfuge as allowance for the period from February 2017 to March 2019 for an amount of Rs. 22,17,40,185/-. Against the said order, an appeal before the CGIT (Central Government Industrial Tribunal) in Appeal No. 43 of 2021 is filed. In view of the no sitting of Presiding Officer before CGIT, Bank filed a Writ Petition challenging the validity of the order passed by EPF Commissioner and the Hon'ble High court of Karnataka in Writ Petition No. 16635 of 2021 was disposed off wherein, the order of EPF commissioner is stayed till the finality of the Appeal pending before CGIT. Now the matter before CGIT is listed on 09-May-2023 for hearing.
133	CC 06/2023	Consumer	DCCDRF Bhojpur, Aarah	14-Jan-23	Anand Kumar	This consumer complaint is filed against USFB alleging deficiency of service by not disbursing the same amount of loan that was sanctioned. The complainant further alleges that he was asked to pay Rs. 70,000/- as one time settlement but even after paying that amount, his loan was not closed and NOC was not issued. He sent a legal notice in that regard but while replying to the same, Bank asked him to pay more amount towards interest and other charges for loan closure

For Ujivan Small Finance Bank Limited



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134	CC 36/2023	Consumer	DICDRF Bhojpur, Aarah	20-Feb-23	Navin Kumar Keshari	without which, the NOC shall not be issued. Next date is awaited as case is yet to be listed. The complainant availed a loan from USFB for purchasing a vehicle. He found some defects in the vehicle which could not be rectified by the vehicle manufacturer. He filed the instant complaint against the vehicle manufacturer for deficiency in service. USFB is also made a party as the loan was disbursed by USFB. Next hearing date is on 16-05-2023 for filing Written Submission/Statement.
135	SA /194/2023	SARFAE SI Appeal	The Debt Recovery Tribunal - III, Kolkata	24-Feb-23	Abdul Sardar	Borrower, Abdul Sardar filed the instant application under Section 17 of SARFAESI Act seeking dismissal and for setting aside the SARFAESI proceedings initiated by USFB under section 13(2) & 13(4) of the SARFAESI Act. The borrower has challenged that the action of USFB is not in consonance with the SARFAESI Act and hence, the same may be set aside. Next date is not fixed.
136	SA No. 127 of 2023	SARFAE SI Appeal	The Debt Recovery Tribunal - II, Kolkata	23-Mar-23	Subal Das	Borrower, Subal Das filed the instant application under Section 17 of SARFAESI Act seeking dismissal and for setting aside the SARFAESI proceedings initiated by USFB under section 13(2) & 13(4) of the SARFAESI Act. The borrower has challenged that the action of USFB is not in consonance with the SARFAESI Act and hence, the same may be set aside. Next date is not fixed.
137	NA	Income Tax	CIT(A)	Form 35 filed on July 2, 2021 for AY 2018-19 (FY 2017-18)	Ujivan Small Finance Bank	USFB has filed an application under Section 154 seeking rectification against the error in Order computation sheet in respect of MAT computation and also for disallowance for delay in deposit of employee PF contribution. Pending disposal of the same, an appeal has been preferred by USFB with CIT (appeals). Accordingly, stay petition and response to Penalty proceedings has also been duly filed in July 2021. The matter is sub-judice and pending disposal with the Income Tax Department.
138	NA	Income Tax	CIT(A)	Form 35 filed on October 11, 2022 for AY 2020-21 (FY	Ujivan Small Finance Bank	The Scrutiny Assessment under section 143(3) was completed on 21.09.2022 and a demand of Rs 15.99 crore has been raised by the Tax Authority. The AO has made addition of Education Cess 4.65 crore and 80JJA of Rs 35.94 crore (out of which Rs. 28.31 crore mistakenly added and balance Rs. 7.63 crore was accepted by USFB at

For Ujivan Small Finance Bank Limited



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139	ST/206 92/2018 -SM vide Diary No 208562 018 arising out of Order No 146- 2018- CT dated 19.02.2 018	Service tax	CESTAT, Bangalore	May 14, 2018	Ujjivan Financial Services Limited (or Ujjivan Small Finance Bank Limited post business transfer as on February 1, 2017)	assessment stage). Further the disallowances of Rs. 0.04 crore U/s 36(1)(va) was accepted at Revised return stage. Additional claims made by USFB u/s 36(1)(vii) and 36(1)(viii) were not considered by AO. USFB had thus filed an appeal before Commissioner (Appeals) for the same Meanwhile USFB has discharged the tax liability/provided for on the accepted positions (highlighted in bold above). Commissioner Appeals vide order dated February 19, 2018 had upheld OIO dated 30.11.2015 wrt income from Securitisation Transaction by HoldCo by treating the same as a taxable service and not part of negative list. The matter is pending adjudication.
140	SA No. 03/2020	SARFAE SI Appeal	The Debt Recovery Tribunal - I, Kolkata	01- Feb- 20	RITA PAUL	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/ setting aside/ quashing of the demand notice dated 11.09.2019 issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice dated 21.11.2019 issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed SEL Loan of Rs. 20,00,000 from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. (The recoverable dues as per the Demand Notice is Rs. 20,95,959.49/-) Next date on 03-04-2023
141	SA No. 317/202 0 DIARY NO. 913 /2020	SARFAE SI Appeal	The Debt Recovery Tribunal - III, Kolkata	27- Nov- 20	Soumen chakraborty Proprietor M/S - AG constructions	This is an Application filed by the developer namely Soumen chakraborty Proprietor M/S - AG constructions against the schedule property under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the physical possession made by USFB under Section 13 (4) of SARFAESI Act. Mr. Uttam kumar chatterjee and others has availed SEL Loan of Rs. 42 lacs (approx) from USFB by mortgaging the schedule property, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. After taking physical

For Ujjivan Small Finance Bank Limited


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142.	SA No. 159 / 2021	SARFAE SI Appeal	The Debt Recovery Tribunal - III, Kolkata	20-Apr-21	Prakash Mondal & ors V/s Ujjivan Small Finance Bank Ltd.	possession of the schedule property, we received the details of the case which has been filed against USFB by the developer of the building and making the loan applicant Uttam Kumar Chatterjee and others as parties to the suit. The case is yet to be listed for hearing. This is an Application filed by Prakash Mondal & ors against the schedule property under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the physical possession made by USFB under Section 13 (4) of SARFAESI Act. Prakash Mondal & ors has availed MSE Loan of Rs. 3 lacs (approx) from USFB by mortgaging the schedule property, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. After taking symbolic possession of the schedule property, Prakash Mondal & ors filed the instant application against USFB. Next date on 28-07-2023.
143.	SA No. 257 / 2022	SARFAE SI Appeal	The Debt Recovery Tribunal - III, Kolkata	15-Mar-22	Pradip Das & ors V/s Ujjivan Small Finance Bank Ltd.	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the possession notice dated 19.02.2022 issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured Loan of Rs. 10,00,000 from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. (The recoverable dues as per the Demand Notice is Rs. 11,92,482.63/-). Next date on 11-09-2023.
144.	SA No. 305 / 2022	SARFAE SI Appeal	The Debt Recovery Tribunal - III, Kolkata	22-Mar-22	Sahanur Molla & Anr V/s Ujjivan Small Finance Bank Ltd.	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice dated 5.10.2021 issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice dated 18.02.2022 issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed Secured Loan of Rs. 15,00,000 from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. (The recoverable dues as per the Demand Notice is Rs. 19,62,533.37/-) Next date on 26-09-2023.
145.	SA No. 281/2022	SARFAE SI Appeal	The Debt Recovery Tribunal - III, Kolkata	18-Mar-22	Manik Majumder & ors V/s Ujjivan Small Finance Bank Limited	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice dated 24.9.2021 issued by USFB under Section 13 (2) of SARFAESI Act and the possession

For Ujjivan Small Finance Bank Limited



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146.	SA No. 289/2022	SARFAE SI Appeal	The Debt Recovery Tribunal - III, Kolkata	30-Mar-22	Finance Bank Ltd. Md Rahaman Mondal V/s Ujjivan Small Finance Bank Ltd.	notice dated 17.02.2022 issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed SEL Loan of Rs. 8,00,000 from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. (The recoverable dues as per the Demand Notice is Rs. 9,73,904.02/-) Next Date on 03-10-2023.
147.	SA No. 188/2022	SARFAE SI Appeal	The Debt Recovery Tribunal - II, Kolkata	02-Apr-22	SK Hayder Ali V/s Ujjivan Small Finance Bank Ltd.	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice dated 27.9.2021 issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice dated 19.02.2022 issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed SEL Loan of Rs. 11,00,000 from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. (The recoverable dues as per the Demand Notice is Rs. 1501575.45/-), Next date on 24-07-2023.
148.	SA No. 201/2021	SARFAE SI Appeal	The Debt Recovery Tribunal - II, Kolkata	04-Apr-22	Kitabul Molla V/s Ujjivan Small Finance Bank Ltd.	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice dated 15.6.2021 issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice dated 25.1.2022 issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. (The recoverable dues as per the Demand Notice is Rs. 1738234/-), Next date on 20-04-2023.

For Ujjivan Small Finance Bank Limited


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149.	SA No. 218 / 2022	SARFAE SI Appeal	The Debt Recovery Tribunal - II, Kolkata	04-Apr-22	Tarini Prasad Sharma Bannerjee V/s Ujjivan Small Finance Bank Ltd.	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice dated 7.7.2021 issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice dated 10.2.2022 issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed Secured Loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. (The recoverable dues as per the Demand Notice is Rs. 24,58,974.18/-), Next date on 21-06-2023.
150.	SA No. 36/2022	SARFAE SI Appeal	The Debt Recovery Tribunal, Ranchi	08-Mar-22	Shabnam Praveen & ors V/s Ujjivan Small Finance Bank Ltd.	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice dated 20.7.2021 issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice dated 19.02.2022 issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed SEL Loan of Rs. 15,00,000 from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. (The recoverable dues as per the Demand Notice is Rs. 1979067.94/-), Next date on 11-04-2023.
151.	SA No. 208/ 2022	SARFAE SI Appeal	The Debt Recovery Tribunal - II, Kolkata	04-Apr-22	Arijit Khanra V/s Ujjivan Small Finance Bank Ltd.	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice dated 2.07.2021 issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice dated 7.02.2022 issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. (The recoverable dues as per the Demand Notice is Rs. 754868.06/-), Next date on 02-05-2023.
152.	SA No. 387/ 2022	SARFAE SI Appeal	The Debt Recovery Tribunal - III, Kolkata	10-May-22	Ajay Mistri & Anr V/s Ujjivan Small Finance Bank Ltd.	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice dated 17.11.2021 issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice dated 8.04.2022 issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has

For Ujjivan Small Finance Bank Limited


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153.	SA No. 366/2022	SARFAE SI Appeal	The Debt Recovery Tribunal - III, Kolkata	07-May-22	Mafidul Mandal V/s Ujjivan Small Finance Bank Ltd.	become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. (The recoverable dues as per the Demand Notice is Rs. 1025496/-), Next date on 08-01-2024. This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice dated 22.07.2021 issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice dated 3.3.2022 issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. (The recoverable dues as per the Demand Notice is Rs. 1032272.06/-), Next date on 23-06-2023.
154.	SA No. 346/2022	SARFAE SI Appeal	The Debt Recovery Tribunal - III, Kolkata	12-Apr-22	Arafat Hasan V/s Ujjivan Small Finance Bank Ltd.	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice dated 15.6.2021 issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice dated 25.1.2022 issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. (The recoverable dues as per the Demand Notice is Rs. 1738234/-), Next date on 30-08-2023.
155.	SA 371 / 2022	SARFAE SI Appeal	The Debt Recovery Tribunal - II, Kolkata	23-Jun-22	Sri Anisur Rahman vs. Ujjivan Small Finance Bank Ltd	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice dated 20.11.2021 issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice dated 24.05.2022 issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. (The recoverable dues as per the Demand Notice is Rs. 1289315/-), Next date on 03-05-2023.
156.	SA 357 / 2022	SARFAE SI Appeal	The Debt Recovery Tribunal - III, Kolkata	09-Jun-22	Rana Talukdar vs. Ujjivan Small Finance Bank Limited	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice dated 15.07.2021 issued by USFB under Section 13 (2) of

For Ujjivan Small Finance Bank Limited

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157.	SA/255/2019	SARFAE SI Appeal	Debt recovery Tribuna - III Kolkata	25- Apr-19	Finance Bank Ltd MRS LILA DEBI PRASAD vs. Ujivan Small Finance Bank Ltd	SARFAESI Act and the possession notice dated 08.03.2022 issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act. (The recoverable dues as per the Demand Notice is Rs.572276.13/-), Next date on 28-11-2023. This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 13-06-2023.
158.	SA/89/2022	SARFAE SI Appeal	Debt recovery Tribuna - III Kolkata	27- Jan- 22	SRI SUDARSHAN BASAK AND ANR vs. Ujivan Small Finance Bank Ltd	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 09-05-2023.
159.	SA/308/2022	SARFAE SI Appeal	Debt recovery Tribuna - III Kolkata	01- Apr-22	MOHAMMAD ALI SARDAR AND ORS vs. Ujivan Small Finance Bank Ltd	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 06-10-2023.
160.	SA/363/2022	SARFAE SI Appeal	Debt recovery Tribuna - III Kolkata	01- Apr-22	SOMA DAS AND ANR vs. Ujivan Small Finance Bank Ltd	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The
					For Ujivan Small Finance Bank Limited	


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161.	SA/316/2022	SARFAE SI Appeal	Debt recovery Tribuna - III Kolkata	31-Mar-22	KALLOL BHOWMICK AND ANR vs. Ujjivan Small Finance Bank Ltd	Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 12-01-2024. This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 06-10-2023.
162.	SA/251/2022	SARFAE SI Appeal	Debt recovery Tribuna - III Kolkata	14-Mar-22	MR PRADIP DAS AND ANR vs. Ujjivan Small Finance Bank Ltd	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 21-08-2023.
163.	SA/296/2022	SARFAE SI Appeal	Debt recovery Tribuna - III Kolkata	29-Mar-22	SHRI ARINDAM ROY vs. Ujjivan Small Finance Bank Ltd	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 04-10-2023.
164.	SA/332/2022	SARFAE SI Appeal	Debt recovery Tribuna - III Kolkata	07-Apr-22	MD MAZAHAR ALI AND ANR vs. Ujjivan Small Finance Bank Ltd	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 04-10-2023.

For Ujjivan Small Finance Bank Limited


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S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Plaintiff/Petitioner/Complainant/Applicant	Brief Description/ Facts of the Case/status
165.	SA/471/2022	SARFAE SI Appeal	Debt recovery Tribuna - III Kolkata	03-Jun-22	SRI KHOKON MIDDE AND ORS vs. Ujjivan Small Finance Bank Ltd	provisions of SARFAESI Act., Next date on 11-12-2023. This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 13-02-2024.
166.	DIARY NO 296/2022	SARFAE SI Appeal	Debt recovery Tribuna - II Kolkata	28-Mar-22	BASISTHA BANERJEE vs. Ujjivan Small Finance Bank Ltd	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 02-06-2023.
167.	SA/124/2022	SARFAE SI Appeal	Debt recovery Tribuna - Patna	25-Apr-22	Shakil Ahmad vs. Ujjivan small finance bank ltd	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 17-04-2023.
168.	SA/16/2022	SARFAE SI Appeal	Debt recovery Tribunal Siliguri	25-Jan-22	ANUP SARKAR vs. Ujjivan Small Finance Bank Ltd	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 20-06-2023.
169.	DIARY NO For Ujjivan Small Finance Bank Limited	SARFAE SI Appeal	Debt recovery Tribuna - Patna	13-Apr-22	MR BABULI MOHARANA vs. Ujjivan	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing

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S. No.	Case Number	Category of Case	Court/ Tribunal/ Forum	Date of Case Filing	Name of Plaintiff/ Petitioner/ Complainant/ Applicant	Brief Description/ Facts of the Case/ status
	365/2022		Tribunal cuttack		Small Finance Bank Ltd	the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 04-05-2023.
170.	SA/61/2022	SARFAE SI Appeal	Debt recovery Tribunal cuttack	18-Apr-22	BISHNU PRIYA PANDA vs. Ujjivan Small Finance Bank Ltd	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 24-08-2023.
171.	780/2022	SARFAE SI Appeal	Debt recovery Tribunal cuttack	14-Sep-22	Manaswini Pradhan vs. Ujjivan Small Finance Bank Ltd	This is an Application filed under Section 17 of SARFAESI Act, seeking dismissal/recall/setting aside/quashing the demand notice issued by USFB under Section 13 (2) of SARFAESI Act and the possession notice issued by the Authorised Officer of USFB under Section 13 (4) of SARFAESI Act. The Applicant/Petitioner has availed a secured loan from USFB, which has become Non Performing Asset (NPA) and USFB initiated action under the provisions of SARFAESI Act., Next date on 02-05-2023.
172.	Suit No. 2345/2022	Civil Suit/SARFAESI	Civil Court Bhubaneswar, Khorda	12-Oct-22	Satya Ranjan Mishra vs. Ujjivan small finance bank ltd	Borrower Satya Ranjan Mishra has been served with a demand notice u/s 13(2) of SARFAESI Act and later on, symbolic possession was taken u/s 13(4). The borrower has filed this suit demanding stay of the SARFAESI proceedings initiated by Bank. Next date on 04-04-2023.
173.	568 OF 2022	SARFAE SI Appeal	Debt recovery Tribunal - II Kolkata	16-Nov-22	Raghunath Bala VS. USFB	Applicant filed the instant application under Section 17 of SARFAESI Act seeking dismissal and for setting aside the Sarfaesi proceedings initiated by USFB under section 13(2) & 13(4) of the Act over the mortgage property of the applicant. Next date on 18-07-2023.
174.	SA 14 OF 2023	SARFAE SI Appeal	Debt recovery Tribunal - II Kolkata	06-Jan-23	Pritam Maity & Ors vs. Ujjivan Small finance Bank	Borrower Pritam Maity filed the instant application under Section 17 of SARFAESI Act seeking dismissal and setting aside sarfaesi proceedings initiated by USFB under section 13(2) & 13(4) of the sarfaesi act over the

For Ujjivan Small Finance Bank Limited



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S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Plaintiff/Petitioner/Complainant/Applicant	Brief Description/ Facts of the Case/status
175.	SA 933 OF 2022	SARFAE SI Appeal	Debt recovery Tribunal - III Kolkata	16-Dec-22	NUR Enterprise vs. Ujjivan Small finance Bank	mortgage property of the applicant . Next date on 23-05-2023 Borrower NUR Enterprise filed the instant application under Section 17 of SARFAESI Act seeking dismissal and for setting aside sarfaesi proceedings initiated by USFB under section 13(2) & 13(4) of the sarfaesi act over the mortgage property of the applicant. The case is yet to be listed.
176.	SA 785 OF 2022	SARFAE SI Appeal	Debt recovery Tribunal - III Kolkata	30-Sep-22	Lili Feed Centre vs. Ujjivan Small finance Bank	Borrower Lili Feed Centre filed the instant application under Section 17 of SARFAESI Act seeking dismissal and for setting aside sarfaesi proceedings initiated by USFB under section 13(2) & 13(4) of the Act. Next date on 23-06-2023
177.	SA /4/2023	SARFAE SI Appeal	Debt recovery Tribunal - Patna	29-Dec-22	Vijay kumar singh vs. Ujjivan Small finance Bank	Borrower Vijay kumar singh filed the instant application under Section 17 of SARFAESI Act seeking dismissal and for setting aside the sarfaesi proceedings initiated by bank under section 13(2) & 13(4) of the Act over the mortgage property of the applicant. Next date on 03-05-2023
178.	SA 96 of 2023	SARFAE SI Appeal	The Debt Recovery Tribunal - III, Kolkata	20-Jan-23	Md. Abdul Sahid Molla & Anr vs. Prop: Fast Drug House vs. Ujjivan Small finance Bank	This Sarfaesi Appeal is filed against the Sarfaesi proceedings initiated by USFB for execution of security interest in the mortgaged assets of the Applicant. The instant appeal is filed for dismissal and for setting aside the sarfaesi proceedings initiated by USFB under section 13(2) & 13(4) of the Act. Next date awaited as case is not listed.
179.	SA /39/2023	SARFAE SI Appeal	The Debt Recovery Tribunal - II, Kolkata	01-Feb-23	Saidul Khan vs. Ujjivan Small finance Bank	This Sarfaesi Appeal is filed against the Sarfaesi proceedings initiated by Bank for execution of security interest in the mortgaged assets of the Applicant. The instant appeal is filed for dismissal and for setting aside the sarfaesi proceedings initiated by USFB under section 13(2) & 13(4) of the Act. Next date awaited as case is not listed.
180.	Diary No 172/2023	SARFAE SI Appeal	The Debt Recovery Tribunal - III, Kolkata	14-Feb-23	Md. SAKIL AHAMED vs. Ujjivan Small finance Bank	This Sarfaesi Appeal is filed against the Sarfaesi proceedings initiated by Bank for execution of security interest in the mortgaged assets of the Applicant. The instant appeal is filed for dismissal and for setting aside the Sarfaesi proceedings initiated by USFB under section 13(2) & 13(4) of the Act. Next date awaited as case is not listed.
181.	S.A No. 1001 of 2002	SARFAE SI Appeal	The Debt Recovery Tribunal - III, Kolkata	23-Dec-22	Sri Sharabindu Sanpui & anr. vs. Ujjivan Small finance Bank	This Sarfaesi Appeal is filed against the Sarfaesi proceedings initiated by Bank for execution of security interest in the mortgaged assets of the Applicant. The instant appeal is filed for dismissal and for setting aside the Sarfaesi proceedings initiated by USFB under

For Ujjivan Small Finance Bank Limited


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S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Plaintiff/Petitioner/Complainant/Applicant	Brief Description/ Facts of the Case/status
182.	CS 705/2020	Civil Suit/SAR FAESI	Civil Judge (West) Jaipur II	24-Aug-20	Dr. Arti	<p>section 13(2) & 13(4) of the Act. Next date awaited as case is not listed.</p> <p>This civil suit is filed by a third party. The Plaintiff has alleged that she purchased the property with address as Flat No. G-06, Shri Shyam Sarovar Second, Aggarwalo Ka Mohalla, Near Pani Tanki, Pankha, Jhotwar, Jaipur having built up area of 779.71 Sq Ft from Mr. Himmat Singh (Respondent No.1). The plaintiff has alleged that Respondent No. 1 has sold the property to her mentioning that the said property is free from all types of loan/mortgage and thus she has purchased the property for an amount of Rs.25,00,000/-(already paid) on 16.03.2020 and possession over the same was also taken. It has also been mentioned in the plaint that the sale deed has not been executed / registered till date. Plaintiff has alleged that respondents are having hand in glove and they all have done the act of forgery with her. The Plaintiff came to know about the claim of Ujjivan Bank when possession notice was pasted on her property at the time of taking possession. Next date on 18-04-2023</p>
183.	SA No. 172/2021	SARFAE SI Appeal	DRT - 1, Delhi	07-Apr-21	Mohd. Rashid	<p>This Sarfaesi Appeal is filed against the Sarfaesi proceedings initiated by Bank for execution of security interest in the mortgaged assets of the Applicant. The instant appeal is filed for dismissal and for setting aside the sarfaesi proceedings initiated by USFB under section 13(2) & 13(4) of the Act. Next date on 29-04-23.</p>
184.	CS No. 824/2020	Civil Suit/SAR FAESI	Civil Judge Junior division, Kaithal, Haryana	16-Jul-20	Rohtash	<p>This is a suit for permanent Injunction filed to restrain the defendant Ujjivan Bank from taking forceful possession over the property secured with it. Plaintiff had taken MSE Secured loan from Ujjivan for Rs.25,00,000/- on 18.06.2018. It is alleged that since then he is continuously paying his EMI but Ujjivan issued possession notice against the plaintiff and demanded Rs.26,70,970/- on 07.07.2020 & Ujjivan has taken possession over the property. Thus, the plaintiff filed a suit for permanent injunction., Next date on 06-04-2023.</p>
185.	CS/472/2022	Civil Suit/ SARFAE SI	Civil Courts, Faridabad	14-Feb-21	Dhruv Kumar v USFB	<p>This civil suit is filed against the Sarfaesi proceedings initiated by Bank for enforcing security interest in the mortgaged assets of the borrower. Complainant took Housing Loan from Bank for an amount of Rs. 18 Lac in the month of June 2019 and is alleging that he has paid approx 6 Lac to USFB till date. The complainant is seeking some</p>

For Ujjivan Small Finance Bank Limited


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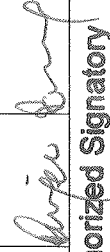
S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Petitioner/Complainant/Applicant	Brief Description/ Facts of the Case/status
186.	SA/69/2022	SARFAE SI Appeal	DRT- Jaipur	22-Feb-22	Pradeep Kumar Saini v USFB	time to repay the loan. Next date on 18-04-2023. This Sarfaesi Appeal is filed against the Sarfaesi proceedings initiated by Bank for execution of security interest in the mortgaged assets of the Applicant. The instant appeal is filed for dismissal and for setting aside the sarfaesi proceedings initiated by USFB under section 13(2) & 13(4) of the Act. It is contended that repayment was continued till February 2020, but, due to covid19, he could not pay the dues. Now the applicant is seeking some time for repayment and prayed for interim relief. Next date on 31-03-2023.
187.	CS/501/2022	Civil Suit/SAR FAESI	Civil Court, Panipat	21-Mar-22	Jogender Singh v USFB	This is a suit for permanent injunction to the ongoing SARFAESI activities of USFB due to miscalculation in interest , Next date on 07-09-2023
188.	CS/14/2021	Civil Suit / SARFAE SI	District and Sessions Judge, North East, KKD	23-Feb-22	Indu Gupta v Mamta Devi	The case belongs to the property no.D-1008 area 75 sq meter, bearing Khasra no.564, situated village Ghonda, Gujran Khadar Abadi,D-23/1 A , Bhajanpura ilaqa Shahdara Delhi -110053. The plaintiff is claiming that Smt Mamta Devi has taken loan against this property and mortgaged with USFB. Plaintiff claims that prior to taking loan she has entered into an agreement to Sale with Smt Indu Gupta. No Specific account details of the loan of USFB has mentioned only saying that plaintiff listen from some neighbours. USFB has been made Party in O1 R10CPC on 28/03/2022, Next date on 12-05-2023.
189.	SA 187/2022	SARFAE SI Appeal	DRT, Allahabad	21-Mar-22	Wali Akhtar Vs. USFB & Anr.	The applicants have challenged the notice under Section 13(4) Dated 04.03.2022. That USFB has obtained the order from District Magistrate, Firozabad on 04.08.2022 for taking the physical possession of the property in question. The applicant contends that ex-parte order has been passed by the DM and in pursuance of the same, the DM has issued notice for taking physical possession of the property on 29.10.2022 but the same was not taken on request of the applicants. Further contents that the district magistrate has threatened the applicants that they will take the physical possession of the property any time. Hence, the applicants have prayed that the respondent bank and the District Magistrate be restricted from taking physical possession of the property., Next date on 19-04-2023.

For Ujivan Small Finance Bank Limited


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190.	SA 696/2022	SARFAE SI Appeal	DRT, Jaipur	09-Nov-22	Shyam Lal Sharma Vs. USFB	The applicants have filed the present SA on the premise that despite submission of regular EMIs i.e. Rs. 63,000/- & 37,000/- on 28.04.2022 and further an amount of Rs. 40,000/- was submitted by the applicant on 22.08.2022; further undertaking to deposit an amount equal to remaining 3 EMIs within 3 months, USFB is still proceeding further to take possession of the property, Next date on 24-04-2023.
191.	SA/84/2023	SARFAE SI Appeal	DRT, Jaipur	30-Jan-23	Raju Ujjivan Small Finance Bank Vs.	The present Securitisation Application has been preferred by a housing loan customer to restrain the respondent from proceeding further recovery proceeding since after the issuance of 13(2) notice, the applicant has made a payment of Rs. 1,06,592/- and has further submitted that he is willing to pay Rs. 50,000/-, Next date on 06-04-2023.
192.	CS/224/2023	Civil Suit/SAR FAESI	Civil Court, Ambala	13-Feb-23	Usha Rani Vs USFB & Anr.	The complainant alleges to be the registered owner of the mortgaged property vide Sale deed no. 1125 dtd 29.07.2011 duly registered at Sub registrar - Barara. She alleges that the title deed is currently in possession with Central Bank of India as the same has been mortgaged against a loan facility of Rs. 15 Lacs. She alleges that currently she is living with her family in the said property and has availed no loan from R1 Ujjivan. She further contends that on 09.2.23 to her surprise, R1 pasted possession notice on the mortgaged property and on enquiry, she was informed that the house has been mortgaged to ujjivan by D2, Next date on 10-04-2023
193.	SA 98/2023	SARFAE SI Appeal	DRT, Jaipur	31-Jan-23	Govindam Industries Vs USFB	The applicant has approached the DRT and filed the instant Sarfaesi Appeal against the measures taken by Bank for enforcing the security interest in the mortgaged assets of the Applicant alleging that he has not received any notice under Section 13(2) or notice under Section 13(4) or received copy of DM order and directly taken possession of the property illegally., Next date on 27-04-2023.
194.	SA/194/2023	SARFAE SI Appeal	DRT, Jaipur	14-Mar-23	Shravan Singh Rawat Vs. USFB	The applicant alleges that he availed a home loan facility of Rs. 4 Lacs, for a term of 10 years, to be repaid @15.75% p.a. and deposited his title deeds of the property with R1. He claims that due to covid-19 impacting his financial implications, he could not maintain the account properly and taking advantage of the same, R1 in the most illegal manner classified the account of the applicant as NPA on 29.07.2021 and thereby, R1 claims to have served 13(2) which was not in receipt of the applicant.

For Ujjivan Small Finance Bank Limited



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195.	SA/26/2023	SARFAE SI Appeal	DRT, Delhi -	11-Jan-23	Suresh Rawal Vs. USFB	Thereafter, proceeded with 13(4) without adhering to the publication and affixation requirement. In consequence, the DM, Ajmer has passed an impugned order dtd 01.9.22, of which the applicant was not aware and only came to know about the same on 14.11.22 when R1 illegally tried to take possession., Next date on 06-04-2023. The applicant has availed loan for a sum of Rs. 20 Lacs @ 16% p.a. in the month of November 2019 which has to be paid in 120 instalments of Rs 33,503/- each month and the loan amount was later restructured to Rs 23.90 lacs in the month of October 2020. He claims that he was regular in making the repayments and after making of 8 EMIs till March, 2020 the applicant in order to clear the alleged dues of the Respondent Bank prior to tenure approached and requested to enhance the amount of EMI and reduce the tenure. But thereafter due to COVID, the EMI could not deposit in regular course. Meanwhile, Ujjivan has illegally classified his account as NPA as the officials have eye over the property and has illegally issued notice under Section 13(2) and Section 13(4) of SARFAESI Act, 2002, Next date on 04-07-2023
196.	SA.../2023	SARFAE SI Appeal	DRT-1, New Delhi	01-Mar-23	Chander Mohan Vs USFB & Ors	The applicant claims that he has purchased the property situated at plot bearing no. E-73A, Village Matiala, Delhi State Colony, Uttam Nagar, having freehold rights over the land through agreement to sell & purchase, Affidavit, possession letter etc., and is an absolute owner of the said property till date. That he was having peaceful possession over the property until when on 11.02.2023, Ujjivan along with court receiver visited the property and started to take possession over the same. When the applicant enquired, it was informed to him that Ujjivan has sanctioned a home loan facility of Rs. 20 Lacs in favour of R2 & R3 and has mortgaged the alleged property. The applicant challenges the mortgage on the property., Next date is not available.
197.	CS/447/2023	Civil Suit/SAR FAESI	Civil Courts, Kaithal	17-Mar-23	Narender Singh Vs. USFB	The plaintiff alleges that he is the loanee of the Defendants and the brother of the plaintiff is the owner of the suit property. He asserts that he availed a loan facility of Rs. 6,50,000/- on 31.08.2019 vide loan account no. 2289210080000038, payable @ 19% p.a. He claims that he paid Rs. 6,09,000/- out of Rs 6,50,000/- and that several times he visited the defendants to know the status of his loan account and ROI but there was no

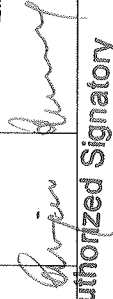
For Ujjivan Small Finance Bank Limited



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S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Plaintiff/ Petitioner/ Complainant/ Applicant	Brief Description/ Facts of the Case/ status
						satisfactory response. The defendants served a possession notice on 11.11.2022, after which he approached the defendants to regularise the account and he was offered the proposal that if he pays Rs. 99,495/-, his account will be regularised. In compliance, plaintiff made the requisite payment on 29.12.2022 and also made subsequent payments of Rs. 14,044/- on 27.01.2023 and Rs. 14,045/- on 27.02.2023. The plaintiff asserts that he was shocked to discover the Order dtd 21.01.2023 that was pasted on his property declaring the date of physical possession as 23.03.2023. However the same was deferred by locality's obstruction., Next date on 11-04-23.
198.	SA 1/2023	SARFAE SI Appeal	DRT, Jaipur	29-Dec-22	Koushal Exports Vs USFB	Copy of petition (SA) is yet to be received., Next date on 13-04-23.
199.	S.A.No. 202/2022	SARFAE SI Appeal	DRT-I, Bangalore	08-Apr-22	Zafrulla and Another vs. The Authorized Officer, Ujjivan Small Finance Bank	Mortgagor filed SARFAESI Appeal challenging the SARFAESI action taken by bank and claims to stay the Possession notice dated 20.09.2021 and all further proceedings pursuant thereto., Next date on 07-06-23.
200.	SA No. 947/2022	SARFAE SI Appeal	DRT, Coimbatore	07-Oct-22	Mr. S Vesudevan vs. Ujjivan Bank	The applicant had availed Business loan vide Loan A/c Nos. 1169230040000003 & 1169218860000014 from USFB for Rs. 18,00,000/-. The account had become NPA and USFB initiated legal measures under SARFAESI Act, 2002. USFB took symbolic possession (S.13/4) of the mortgaged property on 16/09/2022. The applicant challenged the 13(4) Notice and filed this case., Next date on 09-05-23.
201.	SA No. 495/2022	SARFAE SI Appeal	DRT-II, Bangalore	02-Dec-22	Raju vs. Ujjivan Bank	The applicant had availed Business Loan vide Loan A/c No. 1114210080000024 from USFB for Rs. 10,00,000/-. The account had become NPA and USFB initiated legal measures under SARFAESI Act, 2002 for recovery of the loan. USFB filed Sec.14 application before CJM and obtained the order for taking physical possession of the mortgaged property. The applicant challenged the Sec.14 order passed by CJM., Next date on 09-06-23
202.	OS No. 342/2022	Original Suit/SAR FAESI	Addl. Civil Judge and JMFC, Ramanagara	03-Dec-22	Ramagopal R vs. The Manager, USFB	The petitioner had availed Business Loan vide Loan A/c No. 1114210080000013 for Rs.9,00,000/-.. The loan account had become Non-Performing Asset and USFB initiated SARFAESI proceedings for recovery of the loan. USFB had filed Sec.14 application before CJM and the order for physical possession was received on 12/08/2022. The petitioner filed this suit

For Ujjivan Small Finance Bank Limited


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S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Plaintiff/ Petitioner/ Complainant/ Applicant	Brief Description/ Facts of the Case/ status
203.	Ind.OP No. 479/2022 in O.S.No/2022	Original Suit/SAR FAESI	Principal District andd Session Court, Tiruchirappalli	18-Nov-22	Muthulakshmi vs. The Manager, USFB & HDFC Life	to restrain USFB from taking possession of the property., Next date on 06-06-23 The petitioner's husband Late Mr. Sekar Thangavel availed Business loan from USFB for Rs.15,00,000/-. Subsequently, the loan account had become NPA. The borrower has taken insurance for the loan availed from HDFC life. The borrower committed suicide on 31/01/2022. The petitioner has alleged that her husband committed suicide due to continuous harassment by USFB officials. The petitioner has stated the insurance claim was also not settled by HDFC life and USFB is not returning the title deeds of the property mortgaged for the loan. The petitioner has claimed for compensation of Rs.30,00,000/- from both Bank and HDFC life and also for the title deeds of the property from USFB. She has filed an application to declare her as Indigent person and to waive payment of Court Fee. Summons received in IA., Next date on 12-04-23
204.	SA/804/2022	SARFAE SI Appeal	DRT-I, Bangalore	29-Nov-22	Mr. Anil Kumar K A vs. Ujivan Small Finance Bank	The customer Mr. Anil Kumar has availed Housing Loan from USFB vide A/c No. 1659210040000006 and 1659210070000002. The customer has defaulted in the repayment of the loan and USFB had initiated SARFAESI proceedings against the customer for recovery of the loan. USFB had obtained order for physical possession from CJM Court, Mandya on 01/09/2022 for taking physical possession of the mortgaged property. The customer has filed this appeal against the order passed by the CJM, Next date on 13-7-23
205.	SA/847/2022	SARFAE SI Appeal	DRT-I, Bangalore	28-Dec-22	Mrs. Muniyamma vs. Ujivan Small Finance Bank	Customer of Housing Loan filed this Sarfaesi Appeal against the measures taken by Bank for enforcing its security interest in accordance to SARFAESI Act. USFB had obtained order for physical possession from CMM Court, Bengaluru City on 16/11/2022 for taking physical possession of the mortgaged property. The customer has filed this appeal against the order passed by the CMM., Next date on 19-06-23
206.	CS CCC No. 118/2022	Civil Suit/SAR FAESI	City Civil and sessions Court (1), Ahmedabad	01-Feb-22	Nilaben Ajitkumar Bhavsar - Heirs of deceased Rasiklal shankarlal Bhavsar Vs. Ujivan Small finance Bank	This case is filed by a third party who happens to be a tenant in the mortgaged asset of USFB. She filed this suit for restricting USFB from taking possession under the Sarfaesi Act. She is claiming a protection for her property in the mortgaged asset of USFB whenever Bank takes the possession for enforcing its security interest. Next date on 5-5-23

For Ujivan Small Finance Bank Limited



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S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Plaintiff/Petitioner/Complainant/Applicant	Brief Description/ Facts of the Case/status
207.	SA No. 283/2022	SARFAE SI Appeal	Debt Recovery Tribunal, Aurangabad	19-Jul-22	Manoj Bhaskar Patil Versus Ujivan Small Finance Bank Limited	Aggrieved by the measures taken by USFB for recovery of the loan borrower has filed SA before DRT, in this case we have obtained DM order U/s. 14 of SARFAESI Act. On 29/09/2022. Next date on 07-07-23.
208.	Diary No. 680/2022	SARFAE SI Appeal	DRT-Ahmedabad-1	07-Jul-22	Caprt Globle Housing Finance Ltd - V/s- USFB & MR HITESHKUM AR CHANDUBHA T DARJI,MRS ANJANABEN HITESHKUM AR DARJI	This case was found on the DRT website but, summon is yet to be received. Next date is not available.
209.	WP/13172/2022	Writ Petition	Aurangabad Bench, Bombay High Court	16-Dec-22	SWAPNIL BALU AHIRKAR-V/s- USFB & DM Jalgaon	Filed a writ for issue a writ of certiorari and Madamus against the DM Jalgaon for quashing and setting aside the order passed u/s. 14 of the SARFAESI Act, and call for the records from DM Jalgaon pertaining to an order passed in caption case. The customer is also asking for loan restructure and rescheduling of his EMI towards the loan. He is asking for putting a stay on the Section 14 Order till the final disposal of the instant Writ. Next date of hearing 07-07-23.
210.	SA No. 24/2023	SARFAE SI Appeal	DRT-Ahmedabad-1	31-Dec-22	RAMESHCHA NDRA LAXMAN DASH& others -V/s- USFB	Sarfaesi Appeal filed against the recovery measures taken by Bank in accordance to Sarfaesi Act. The order for taking physical possession has been passed by DM and the instant appeal is against this order. Next date on 22-06-23
211.	Diary No. 124/2023 (Other case diary No. 145/2023 & Other Case No. MA/4/2023)	SARFAE SI Appeal	DRT-Ahmedabad-1	17-Feb-23	AAKASH HASMUKH MODI	The summons copy is yet to be received by Bank but, this case is found on DRT website so included in our MIS. Next date on 04-05-23.
212.	RCS No. 51/2023	Civil Suit/SARFAESI	5th Jt Civil Judge Jr. Division, Kolhapur	05-Jan-23	Liavati Judomal Kukreja and others	The instant suit is filed by the borrower of MSE Loan against the demand notice issued by Bank in accordance to Sarfaesi Act. The borrower is challenging the Rate of Interest charged by USFB. He filed this suit for injunction, asking for reduction of ROI and for restructuring of the loan. Next date on 24-04-23.

For Ujivan Small Finance Bank Limited

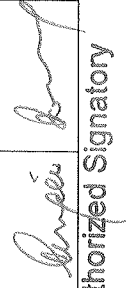
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S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Plaintiff/Petitioner/Complainant/Applicant	Brief Description/ Facts of the Case/status
213.	SA Diary No. 370/2023	SARFAE SI Appeal	DRT-Pune	19-Feb-23	Sachin Dada Padale -V/s- USFB	This Sarfaesi Appeal is filed against the Section 14 Order passed by District Magistrate. Since a date was fixed for taking physical possession of the mortgaged assets, the borrower has approached DRT and filed this case for setting aside the order. Next date on 11-05-23.
<i>Note: Apart from above, rectification application under section 154 has been made with AO for mistakes apparent on record in assessment orders in AY 2017-18 and AY 2020-21 which are pending disposal with Income Tax Department.</i>						

B. Against the Promoter of the Transferee Company (i.e. against Transferor Company - Ujjivan Financial Services Limited ("UFSL"))

S. No.	Case Number	Category of Case	Court/Tribuna l/ Forum	Date of Case Filing	Name of Plaintiff/Petitioner/ Complainant/ Applicant	Brief Description/ Facts of the Case/ status
1	Criminal Application (p) 518/13 P S Case No.49/2012	Criminal	Sub Judge 5th, Patna City Court	05-Sep-12	Manish Rajan VS Ramani Pandey & 3 Others	A cash misappropriation for Rs. 29, 86, 884/- was committed by ex-employees of UFSL (1) Cashier Manish Ranjan, (2) CRO Alok Kumar and (3) CRO Dina Kumar at our Patna city branch. Bank lodged a FIR before Chowk PS being No. 12/12 dated 1.03.2012 (under Sections 467/468/120B/406/420 of IPC) through the then CRM Ramani Pandey against the aforementioned accused. However, as a counter allegation, the accused Cashier Manish ranjan filed the instant complaint petition vide Criminal Application (p) 518/13 arising out of P.S. Case No.49/2012 against the employees 1) Ramani Pandey, 2) Vibhas Chandra, 3) Avinash Choudhury and 4) Sritanshu Anshuman (all employees of UFSL) with false allegations of misappropriating funds of Ujjivan Financial Services Limited. Matter is listed on 13.05.2023.
2	Case Number: GR/164/2017(Case No: 09/2017 of Sadar PS)	Criminal	SDJM, Sambal pur	24-Jan-17	Mrs. Tapaswini Chhuria V/s Kainta Matari & others (Employee of Ujjivan Financial Services Limited, viz., Mr. Kampil Beshera alias Kapil is one amongst Accused)	This Criminal Complaint was filed by our loan applicant Mrs. Tapaswini Chhuria against one Kainta Matari, her son Jay Krushna Matari and employees of Ujjivan financial services Limited. It was alleged that one Kainta Matari, her son Jay Krushna Matari in connivance with employees of UFSL sanctioned loans by obtaining her KYC documents. She has alleged that the loan amount of Rs. 15,000/- was misappropriated by the said accused. It was also alleged that Kainta Matari who was initially paying the loan instalment amount to UFSL stopped payment of EMI later and couldn't be traceable. USFB is wrongly pressurising her to pay the loan amount since sanctioned in her name. On enquiry of the matter, it was found out that the loan was properly sanctioned and in order to

For Ujjivan Small Finance Bank Limited



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3	Case Number: GR/192/2017 (Case No: 10/2017 of Sadar PS)	Criminal	SDJM, Sambalpur	24-Jan-17	Jagynasini Kubar Vs Jayakrishna & 7 others including Employees of Ujjivan Financial Services Limited)	This Criminal Complaint was filed by loan applicant Jagynasini Kubar against employees of UFSL alleging that a loan of Rs. 30,000/- was sanctioned by utilizing her KYC documents fraudulently. Matter is listed on 12.12.2023.
4	ID Case No. 35/2017	Labour	Industrial Tribunal, Bhubaneswar	07-May-18	Mr. Bhagaban Mallick Vs Management of Ujjivan Financial Services Limited	In the instant case Mr. Bhagaban Mallick, who was working as Cashier at Choudwar branch of UFSL, was terminated from employment for violation of Standard Operating Procedure of UFSL i.e for misappropriation of cash. The Appeal preferred by Mr. Mallick before appellate authority of bank was also dismissed thereby upholding the punishment of dismissal. Against which Mr. Bhagaban Mallick approached Asst. Labour Officer (ALO), Choudwar with a prayer for final settlement of benefits together with compensation amount of Rs. 1,99,900/-. Since no conciliation materialized, the matter was referred by learned ALO to Hon'ble Industrial Tribunal at Bhubaneswar as per the Process. Matter is listed on 21.04.2023.
5	CC No. 500653/2015 (FIR No. 975/14 of North Paravoor Police Station)	Criminal	Judicial First Class Magistrate Court, North Paravoor	17-May-14	Nazeema Vs Ujjivan staff (Accused: Anish T. K, Sanish K & Shriji) Ujjivan Financial Services Limited	Nazeema was a customer who availed Loan of Rs. 1 Lakh from UFSL. She has filed police Complaint with the SP of Ernakulam Rural District alleging excessive charge of interest, house trespass and criminal intimidation by identifiable persons employed by UFSL. The complaint was forwarded to the North Paravoor Police Station, on which They have registered the FIR and Charge sheet filed before Court as against our employees. Matter is listed on 03.05.2023.
6	No. 960/2013	Other	Inspecting Assistant Commissioner, Mattencherry	21-May-13	Inspecting Assistant Commissioner, Mattencherry v/s UFSL	Inspecting Assistant Commissioner, Mattencherry had issued notice against UFSL imposing penalty of Rs. 25,000/- for not obtaining license under Kerala Money Lenders Act. Appeal against the demand notice was filed by UFSL before the Deputy Commissioner, Mattencherry, however, the same was rejected. Thereafter, Revision Petition was filed before the Commissioner of Commercial Taxes (CCT). When the said Revision Petition was pending for consideration by the CCT, recovery proceedings were initiated by the office of Inspecting Assistant Commissioner, Mattencherry, for recovery of Penalty. Therefore, UFSL had filed a Petition for interim stay before CCT. UFSL filed Writ Petition (No.24315/13) before the Hon'ble High Court of Kerala against the recovery proceedings while the Revision

For Ujjivan Small Finance Bank Limited



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						<p>Petition is pending before the CCT, seeking relief of set aside the demand recovery notice and for the direction to CCT for disposal of revision Petition. The Hon'ble High Court directed the CCT to consider the Revision Petition and Interlocutory Application within one month by giving notice to UFSL.</p> <p>The CCT heard the Revision Petition filed by the UFSL as per the direction of the Hon'ble High Court. The CCT while dealing with the Petition observed that the Kerala NBFC Welfare Association (UFSL is also a member) filed SLP in Hon'ble Supreme Court on behalf of all NBFCs and the case is numbered as Civil Appeal No: 5111/2012 and same is pending. Since several SLPs filed by different NBFCs are pending before the Supreme Court, the CCT stayed the Demand Notice till the disposal of SLP by the SC. Matter is not yet listed. Awaiting final orders from the Authority.</p>
7	PIL No. 5640/2017	Criminal	Bombay High Court	24-Feb-17	Shri. Shivaji Anappa Kate & 2 others V/s The State of Maharashtra & 9 others	<p>PIL was filed by the Petitioners against State of Maharashtra, the District Collector (Kolhapur), the SP (Kolhapur), RBI and other 6 Micro Finance Institutions including UFSL, alleging that the Respondent Micro Finance Institutions are engaged in illegal finance business against the guidelines of RBI. These financial institutions have adopted illegal method for recovery of dues from the customers. Petitioners also alleged that their immediate relatives have committed suicide because of harassment at the instances of agents /servants of respondent Micro Financing Institutions. As per the Petition, the individuals stated to have committed suicide, are the customers of Equitas Housing Finance and SKS Finance, respondent No. 5 & 6 respectively. UFSL is the 8th Respondent in the matter. Matter is listed on 19.09.2022.</p>
8	NA	Income Tax	CIT (A)	Form 35 filed on January 17, 2020 wrt AY 2017-18 (F.Y. 2016-17)	Ujjivan Financial Services Limited	<p>An appeal with CIT(A) was filed against demand of Rs. 1.18 crore u/s 14A as there was no exempt income or dividend income received during the F.Y. 2016-17 (AY 2017-18). Along with the appeal ₹ 23.65 lakhs was paid under protest and a stay application was filed for balance demand. Further an appeal to keep penalty proceedings in abeyance till the disposal of the aforesaid appeal was also filed. There are various case laws which bears a similar instance and ruled in favour of the companies by the ITAT/ High Court/ Supreme Court of India.</p> <p>In February 2021, we received a notice u/s 250 to submit the grounds of appeal which was submitted. Post COVID-19, face-to-face assessment has moved to faceless scheme (August 2020) and there has been no development on the same till date.</p>

For Ujjivan Small Finance Bank Limited

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C. Against the Directors of the Transferee Company

S. No.	Case Number	Category of Case	Court/Tribunal/Forum	Date of Case Filing	Name of Plaintiff/ Petitioner/ Complainant/ Applicant	Brief Description/ Facts of the Case/ status

Nil

For Ujivan Small Finance Bank Limited


Authorized Signatory

Mr. Sanjeev Barnwal
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