

USFB/CS/SE/2021-22/82

Date: January 07, 2022

To,

National Stock Exchange of India Limited

Listing Department
Exchange Plaza, C-1, Block G, Bandra Kurla Complex,
Bandra (E)
Mumbai – 400 051

BSE Limited

Listing Compliance
P.J. Tower,
Dalal Street, Fort,
Mumbai – 400 001

Symbol: UJJIVANSFB

Scrip Code: 542904

Dear Sir/Madam,

Sub: Intimation under Regulation 30 and 44 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulations”)- Voting Results along with the Report of the Scrutinizer

Further to our letter bearing reference no. **USFB/CS/SE/2021-22/75** dated December 07, 2021 whereby we had submitted the Postal Ballot Notice dated December 06, 2021, we hereby inform you that the E-voting was open from December 08, 2021, 09:00 A.M. (IST) to January 06, 2022, 05:00 P.M. (IST).

Pursuant to captioned Regulations, we hereby enclose the following:

1. Report of the Scrutinizer dated January 06, 2022
2. Voting Results pursuant to Regulation 44 of the SEBI Listing Regulations in prescribed format

Further, we hereby inform you that all the Resolutions mentioned in the aforesaid Notice have been passed with requisite majority.

The said Result and Report are also available on the website of the Bank i.e. www.ujjivansfb.in

We request you to take note of the above.

Thanking You,

Yours faithfully,

For UJJIVAN SMALL FINANCE BANK LIMITED

Chanchal Kumar

Company Secretary & Compliance Officer



CS S KANNAN
Complete Compliance



SCRUTINIZER'S REPORT

(Pursuant to section 110 of the Companies Act, 2013 and
Rule 22 of the Companies (Management and Administration) Rules, 2014.)

To,

The Chairman / Authorized Person
Ujjivan Small Finance Bank Limited
Grape Garden, No. 27, 3rd "A" Cross,
18th Main, 6th Block,
Koramangala,
Bengaluru - 560 095.

Dear Sir,

Sub: Scrutinizer's Report - Postal Ballot Notice dated 6th December 2021

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Dear Sirs,

As you are aware, the Board of Directors of the Bank had appointed me as a Scrutinizer on 6th December, 2021, for conducting the Postal Ballot e-voting process in a fair and transparent manner pursuant to the Companies Act, 2013 (the Act) read with Rule 20 & 21 of the Companies (Management and Administration) Rules, 2014 (Amendment Rules, 2015) and pursuant to Regulation 44 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Listing Regulations).

The Management of the Bank is responsible for ensuring compliance with the requirements of the Act, Listing Regulations and Rules relating to voting through electronic means on the resolution contained in the Postal Ballot Notice dated 6th December, 2021.

My responsibility as a Scrutinizer for the e-voting process is restricted to make a Scrutinizer Report of the votes cast "in favour" or "against" the resolution based on the reports generated from the e-voting system provided by the KFin Technologies Private Limited, the authorised service provider, for extending the facility of electronic voting to the Members of the Bank.

S KANNAN AND ASSOCIATES

Company Secretaries

FCS 6261, PCS No. 13016, Firm No. S2017KR473100.

13, Ground Floor, 1st Main, Venkateswara Lay Out, Attiguppe, Vijayanagara, Bengaluru - 560040

Email: kannans@kannancs.in, Mobile: 98450 58441, www.kannancs.in

WE ARE REGISTERED MSME VIDE NO. KR03D0161932



Accordingly, I submit my report as under:

1. In terms of Section 110 of the companies Act, 2013, read with Rule 22 of the Companies (Management and Administration) Rules, 2014, the Bank has issued a Postal Ballot Notice dated 6th December, 2021 for passing the resolution mentioned in the said notice to its Members.
2. On 7th December, 2021 the Bank had circulated the postal ballot notice through email to its Members whose name(s) appeared in the Register of Members / list of beneficiaries as on 3rd December, 2021 and whose E-mail addresses were registered with the Bank's RTA/Depositories(Cut-Off date).
3. The Public advertisement with respect to despatch of Notice of Postal Ballot was published on 8th December 2021 in all India editions of Financial Express (English daily) and "Hosadigantha" (Kannada daily).
4. The Members were informed vide the Postal Ballot notice that they were required to give their assent or dissent for the proposal through e-voting facility which was kept open from 9.00 a.m. Wednesday, 8th December, 2021 and closed at 5.00 p.m. on Thursday, 6th January, 2022 (both days inclusive).
5. Pursuant to the provisions of SEBI (LODR) Regulations, 2015 and the provisions of section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 (including any amendments thereto), the Bank had provided electronic voting facility (e-voting) to the Members of the Bank and engaged KFin Technologies Private Limited for providing e-voting platform. Email communication in respect of e-voting for postal ballot of the Bank was sent to the email addresses of demat shareholders which were registered with the Depositories and to physical shareholders whose email were registered with KFin Technologies Private limited (being the Registrar and Share Transfer agent of the Bank) . The members holding shares either in physical form or in dematerialized form, as on the cut-off date i.e., Friday, 3rd December, 2021 were eligible to vote.

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6. Particulars of all the Members who have voted through e-voting facility provided by the Bank are separately maintained.
7. I have considered all the electronic votes recorded from 9.00 a.m. Wednesday, 8th December, 2021 and closed at 5.00 p.m. on Thursday, 6th January, 2022, being the last date and time fixed by the Bank.
8. A summary of the electronic voting received for the Ordinary and Special resolutions given in the Notice referred to above seeking Members' approval is as under:

Res. No.	Resolution	In favour			Against			Result
		No of Persons voted	No. of Votes	%	No of Persons voted	No Votes	%	
1	To approve the appointment of Mr. Ittira Davis (DIN: 06442816) as a Director (Executive) of the Bank.	633	46,62,61,583	99.64	91	1666004	0.36	Passed with requisite majority
2	To approve the appointment and remuneration of mr. Ittira Davis (DIN: 06442816) as the Managing Director and Chief Executive Officer of the Bank	613	46,62,43,587	99.64	111	1687098	0.36	Passed with requisite majority
3	To approve the payment of performance cash bonus to Mr. Nitin Chugh (DIN: 01884659) for the financial year 2019-20.	471	45,24,60,891	96.72	246	15357343	3.28	Passed with requisite majority

Note: Ujjivan Financial Services Limited ("UFSL or Promoter") holds 83.32% of the total equity capital of the Bank. However, its voting rights are restricted to 26% of the total equity share capital of the Bank in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of shareholding/voting rights of UFSL has not been considered in accordance with Section referred to above. Hence, out of 144,00,36,794 equity shares held by the Promoters, only 44,93,61,693 equity shares forming 26% of the total equity share capital of the Bank is considered for the purpose of eligible voting rights of the Promoters.

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9. List of members who participated in the e-voting is shared with the Company Secretary of the Bank, by way of email.

I write to state that the ordinary and special resolutions set out in the Notice for Postal Ballot dated 6th December, 2021 is approved by Members of the Bank with requisite majority. Accordingly, you may declare the results of the Postal Ballot as per law.

Yours truly,
For S Kannan & Associates



S Kannan
Company Secretary
FCS 6261, PCS 13016
UDIN: F006261C002080291

Place: Bengaluru
Date: 6th January, 2022

S KANNAN AND ASSOCIATES

Company Secretaries

FCS 6261, PCS No. 13016, Firm No. S2017KR473100.

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WE ARE REGISTERED MSME VIDE NO. KR03D0161932

Company Name	UJJIVAN SMALL FINANCE BANK LIMITED
Date of the AGM/EGM	Postal Ballot Notice dated December 06, 2021
Total number of shareholders on record date	263740
No. of shareholders present in the meeting either in person or	
Promoters and Promoter Group:	Not Applicable
Public:	Not Applicable
No. of Shareholders attended the meeting through Video	
Promoters and Promoter Group:	Not Applicable
Public:	Not Applicable

Resolution required: (Ordinary/ Special)	ORDINARY - To approve the appointment of Mr. Ittira Davis (DIN:06442816) as a Director (Executive) of the Bank.							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	1,44,00,36,794	1440036794	100.0000	1440036794	0	100.0000	0.0000
	Less: Not considered as per Banking Regulation Act, 1949*		99,06,75,101	-	99,06,75,101	0	0.0000	0.0000
	Votes Considered		44,93,61,693	-	44,93,61,693	0	0.0000	0.0000
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total				44,93,61,693	100.0000	44,93,61,693	0
Public- Institutions	E-Voting	1,50,92,660	1,14,34,763	75.7637	99,90,399	14,44,364	87.3686	12.6313
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
Total			1,14,34,763	75.7637	99,90,399	14,44,364	87.3686	12.6313
Public- Non Institutions	E-Voting	27,31,84,751	71,31,131	2.6104	69,09,491	2,21,640	96.8919	3.1080
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000

	Total		71,31,131	2.6104	69,09,491	2,21,640	96.8919	3.108
	Total	1728314205	46,79,27,587	27.0742	46,62,61,583	16,66,004	99.6440	0.3560

*Promoter holds 83.32% of total equity capital of the Bank. However, its voting rights is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

Resolution required: (Ordinary/ Special)	SPECIAL - To approve the appointment and remuneration of Mr. Ittira Davis (DIN:06442816) as the Managing Director and Chief Executive Officer of the Bank.							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	1,44,00,36,794	1440036794	100.0000	1440036794	0	100.0000	0.0000
	Less: Not considered as per Banking Regulation Act, 1949*		99,06,75,101	-	99,06,75,101	0	0.0000	0.0000
	Votes Considered		44,93,61,693	-	449361693	0	0.0000	0.0000
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
Total			44,93,61,693	100.0000	44,93,61,693	0	100.0000	0.0000
Public- Institutions	E-Voting	1,50,92,660	1,14,34,763	75.7637	99,90,399	14,44,364	87.3686	12.6313
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
Total			1,14,34,763	75.7637	99,90,399	14,44,364	87.3686	12.6313
Public- Non Institutions	E-Voting	27,31,84,751	71,34,229	2.6115	68,91,495	2,42,734	96.5976	3.4023
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
Total			71,34,229	2.6115	68,91,495	2,42,734	96.5976	3.4023
Total		1,72,83,14,205	46,79,30,685	27.0744	46,62,43,587	16,87,098	99.6395	0.3605

*Promoter holds 83.32% of total equity capital of the Bank. However, its voting rights is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking

Regulation Act, 1949. Accordingly, excess of 26% of voting rights of Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

Resolution required: (Ordinary/ Special)		ORDINARY - To approve the payment of performance cash bonus to Mr. Nitin Chugh (DIN: 01884659) for the Financial Year 2019-20.						
Whether promoter/ promoter group are interested in the agenda/resolution?		No						
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	1,44,00,36,794	1440036794	100.0000	1,44,00,36,794	0	100.0000	0.0000
	Less: Not considered as per Banking Regulation Act, 1949*		99,06,75,101	-	99,06,75,101	0	0.0000	0.0000
	Votes Considered		44,93,61,693	-	44,93,61,693	0	0.0000	0.0000
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total				44,93,61,693	100.0000	44,93,61,693	0
Public- Institutions	E-Voting	1,50,92,660	1,14,34,763	75.7637	21,49,049	92,85,714	18.7939	81.2060
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
Total			1,14,34,763	75.7637	21,49,049	92,85,714	18.7939	81.2060
Public- Non Institutions	E-Voting	27,31,84,751	70,21,778	2.5703	9,50,149	60,71,629	13.5314	86.4685
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
Total			70,21,778	2.5703	9,50,149	60,71,629	13.5314	86.4685
Total		1,72,83,14,205	46,78,18,234	27.0679	45,24,60,891	1,53,57,343	96.7172	3.2828

*Promoter holds 83.32% of total equity capital of the Bank. However, its voting rights is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.