

USFB/CS/SE/2020-21/39

Date: September 03, 2020

**National Stock Exchange of India Limited**  
Listing Department  
Exchange Plaza, C-1, Block G, Bandra Kurla  
Complex, Bandra (E)  
Mumbai – 400 051

**BSE Limited**  
Listing Compliance  
P.J. Tower,  
Dalal Street  
Mumbai – 400 001

Symbol: UJJIVANSFB

Scrip Code: 542904

Dear Sir/Madam,

**Sub: Voting results of the 4<sup>th</sup> Annual General Meeting (“AGM or Meeting”) of Ujjivan Small Finance Bank Limited (“Bank”)**

Pursuant to Regulation 30 and 44 read with Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”), we hereby submit the results of voting casted by the members on resolutions as set out in the Notice of the 4<sup>th</sup> AGM of the Bank held at 03:30 P.M IST along with Consolidated Scrutinizer’s Report and Voting results in the format prescribed under Regulation 44 of the Listing Regulations.

Date of the Meeting	September 02, 2020
Total No. of Members as on Cut-off date i.e August 26, 2020	1,60,699
Numbers of Members attended the Meeting	
a. Promoter	1
b. Public	91

This intimation shall also be available on the website of the Bank at [www.ujjivansfb.in](http://www.ujjivansfb.in).

We request you to take note of the above.

Thanking You,

Yours faithfully,  
For **UJJIVAN SMALL FINANCE BANK LIMITED**

**Chanchal Kumar**  
Company Secretary and Compliance Officer

**Ujjivan Small Finance Bank Ltd.**

**Registered Office:** Plot No. 2364/8, Khampur Raya Village, Shadi Kampur, Main Patel Road, New Delhi-110008.  
Tel: +91 11 30432121, Fax: +91 11 30432111

**Head Office:** Grape Garden, No. 27, 3rd A Cross, 18th Main, Koramangala 6th Block, Bengaluru-560095.  
Tel: +91 80 40712121, Fax: +91 80 4146 8700.

**CIN:** L65110DL2016PLC302481 **W** [www.ujjivansfb.in](http://www.ujjivansfb.in) **E** [ujjivan.blr@ujjivan.com](mailto:ujjivan.blr@ujjivan.com)



**CS S KANNAN**  
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## SCRUTINIZER'S REPORT

(Pursuant to section 108 of the Companies Act, 2013 and Rule 20 of the Companies (Management and Administration) Rules, 2014.

2<sup>nd</sup> September 2020

To,

**The Chairman**  
**Ujjivan Small Finance Bank Limited**  
Plot No. 2364/ 8, Khampur Raya Village,  
ShadiKampur, Main Patel Road,  
New Delhi 110 008

Dear Sir,

**SUB: SCRUTINIZER'S REPORT ON VOTING BY ELECTRONIC MEANS IN RESPECT OF RESOLUTIONS SET FORTH IN AGM NOTICE DATED JULY 31,2020 PASSED IN 4<sup>TH</sup>ANNUAL GENERAL MEETING HELD ON 2<sup>ND</sup>SEPTEMBER2020 THROUGH VIDEO CONFERENCING /OTHER AUDIO VISUAL MEANS**

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I, S Kannan, Practicing Company Secretary, appointed as Scrutinizer by the Board of Directors of **Ujjivan Small Finance Bank Limited ("Bank")** for the purpose of scrutinizing e-voting process (remote e-voting) and electronic voting (e-voting) during the 4<sup>th</sup>Annual General Meeting ("AGM)pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 & 21 of the Companies (Management and Administration) Rules, 2014 (including any statutorymodification(s) or re-enactment thereof for the timebeing in force)and pursuant to Regulation 44 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015(Listing Regulations), in respect of the below mentioned Resolutions proposed at the 4<sup>th</sup>AGM of the Equity Shareholders of the Bank held on **Wednesday, 2<sup>nd</sup> September 2020 at 03:30 P.M.** through Video Conferencing (VC) / Other Audio Visual Means (OAVM) pursuant to of General Circular No.14/2020 dated 8th April, 2020, Circular No.17/2020 dated 13th April, 2020 and Circular No.20/2020 dated 5th May, 2020 issued by the Ministry of Corporate Affairs ("MCA")read with Circular No. SEBI/HO/CFD/ CMD1/ CIR/ P/2020/79 dated May 12, 2020 issued by the Securities and Exchange Board of India ("SEBI") (Collectively referred as "Circulars") hereby submit my report.

### Management Responsibility

The Management of the Bank is responsible to ensure the compliance with the requirements of the Companies Act, 2013, Listing Regulations and Circulars relating to conducting of AGM through VC/OAVM and voting through electronic means on the Resolutions specified in the AGM Notice dated 31<sup>st</sup> July 2020.

### Scrutinizer's Responsibility

My responsibility as a Scrutinizer for the voting process is restricted to make a consolidated Scrutinizer Report on the votes cast "in favour" or "against" the Resolutions based on the reports generated from the e-voting system both through e-voting (remote e-voting) and by electronic voting (e-voting) during the AGM.

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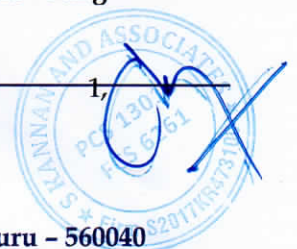
**S KANNAN AND ASSOCIATES**

Company Secretaries

FCS 6261, PCS No. 13016, Firm No.S2017KR473100.

13, Ground Floor, 1<sup>st</sup> Main Road, Venkateshwara Lay Out, Attiguppe, Vijayanagara, Bengaluru - 560040

Email : [cs.skannan@gmail.com](mailto:cs.skannan@gmail.com) / [kannans@kannancs.in](mailto:kannans@kannancs.in), Mobile : 98450 58441, [www.kannancs.in](http://www.kannancs.in)







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1. The e-voting facility both for e-voting prior to the AGM (remote e-voting) and voting at the AGM by electronics means (e-voting) was provided by KFin Technologies Private Limited (KFintech).
2. In terms of, Circulars the Bank had sent the AGM Notice through electronic mode to those Members whose E-mail addresses were registered with the Depositories. Accordingly, the communication of assent or dissent of the Members on the Resolutions stated in the Notice of the 4<sup>th</sup> AGM of the Bank, took place, only through the remote e-voting system and e-voting system during the AGM.
3. The Equity Shareholders holding shares as on 26<sup>th</sup> August 2020, i.e. "cut-off date", were entitled to vote on the Resolutions stated in the Notice of the 4<sup>th</sup> AGM of the Bank.
4. For those Members who had not registered their email Ids with the Depository Participant(s), the Bank had made arrangement to register the email ids, to receive AGM Notice, Annual Report and e-voting user ID and password. For permanent registration, the Members holding shares in physical mode were required to approach KFintech and the Members holding shares in demat mode were required to approach the respective Depository Participant. Alternatively, for temporary registration, all such Members were required to approach the KFintech.
5. The Public advertisement in terms of the General Circular 20/2020 dated 05<sup>th</sup> May 2020 and General Circular 17/2020 dated 13<sup>th</sup> April 2020 issued by MCA with respect to 4<sup>th</sup> AGM was published on 03<sup>rd</sup> August 2020 and 11<sup>th</sup> August 2020 respectively in English in Financial Express and in Hindi in Jansatta.
6. The Members were informed vide the AGM notice dated 31<sup>st</sup> July 2020 that they were required to give their assent for or dissent against the Resolutions stated in the 4<sup>th</sup> AGM Notice, either through remote e-voting facility or through the e-voting facility during the AGM. The remote e-voting was kept open from 9.00 AM on Saturday, 29<sup>th</sup> August 2020 till 5.00 PM on Tuesday, 1<sup>st</sup> September 2020 (both days inclusive).
7. Pursuant to the provisions of Listing Regulations and the provisions of Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 (including any amendments thereto), the Bank has provided electronic voting facility (e-voting) to the Members of the Bank and has engaged the KFintech for providing e-voting platform.
8. Particulars of all the Members who participated in the e-voting are separately maintained by Service Provider of the Bank i.e. KFintech.
9. Names of the Members who had voted by remote e-voting through the facility provided by KFintech had been blocked and only those Members who were present at the AGM through VC/AOVM and who had not casted their votes through the remote e-voting system, were allowed to cast their votes through e-voting system during the AGM.
10. 92 members attended the AGM through VC/AOVM.
11. After declaration of voting by the Chairman, the Members present in the AGM through VC/AOVM voted through e-voting facility provided by Kfintech. The e-voting facility was kept open for 15 Minutes.

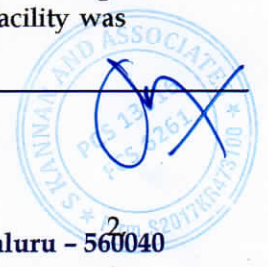
## **S KANNAN AND ASSOCIATES**

Company Secretaries

FCS 6261, PCS No. 13016, Firm No.S2017KR473100.

13, Ground Floor, 1<sup>st</sup> Main Road, Venkateshwara Lay Out, Attiguppe, Vijayanagara, Bengaluru - 560040

Email : [cs.skannan@gmail.com](mailto:cs.skannan@gmail.com) / [kannans@kannans.in](mailto:kannans@kannans.in), Mobile : 98450 58441, [www.kannans.in](http://www.kannans.in)







12. I have considered all electronic votes recorded from 9.00 AM on Saturday, 29<sup>th</sup> August 2020 till 5.00 PM on Tuesday, 1<sup>st</sup> September 2020 (both days inclusive) being the last date and time fixed by the Bank for remote e-voting and all electronic votes casted during the AGM, through the e-voting system.
13. A summary of the electronic voting confirmations (e-votes) received for the Resolutions given in the Notice referred to above, seeking Members' approval as downloaded from the remote e-voting system of KFinTech and votes casted in the AGM through e-voting facility and the total votes cast in favour or against all the Resolutions proposed in the Notice of the AGM are as under:

Res. No.	Resolution	E-VOTING		Result
		For	Against	
1	To receive, consider and adopt the Audited Financial Statements of the Bank for the Financial Year ended March 31, 2020 and the Reports of the Board of Directors and Auditors thereon.	587023376	4652	Passed with requisite majority
2	To Reappoint Mr. Jayanta Kumar Basu (DIN: 01268046), who retires by rotation as a director and, being eligible, seeks reappointment.	579628141	7399752	Passed with requisite majority
3	To confirm and take note of the interim dividend declared and paid @ 5.50% on 20,00,00,000 11% Perpetual Non-Cumulative Preference Shares.	587011234	16844	Passed with requisite majority
4	To ratify Ujjivan Small Finance Bank - Employee Stock Option Plan 2019 ("ESOP 2019").	579633133	7394680	Passed with requisite majority
5	To ratify Ujjivan Small Finance Bank - Employee Stock Purchase Scheme 2019 ("ESPS 2019").	579642168	7385801	Passed with requisite majority
6	To appoint Mr. Umang Bedi (DIN: 02432920) as an Independent Director of the Bank.	587008109	19774	Passed with requisite majority
7	To shift/change of place/location of the Registered Office of the Bank from New Delhi, the National Capital Territory of Delhi to Bengaluru in the state of Karnataka and consequent alteration of Memorandum of Association of the Bank.	587018442	9636	Passed with requisite majority

**Note:**

Ujjivan Financial Services Limited ("Promoter") holds 83.32% of total equity capital of the Bank. However, their voting right is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

**S KANNAN AND ASSOCIATES**

Company Secretaries

FCS 6261, PCS No. 13016, Firm No.S2017KR473100.

13, Ground Floor, 1<sup>st</sup> Main Road, Venkateshwara Lay Out, Attiguppe, Vijayanagara, Bengaluru - 560040

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For your information, I have provided the details of vote casts through remote e-voting and votes casts through e-voting system during the AGM, in **Annexure A**.

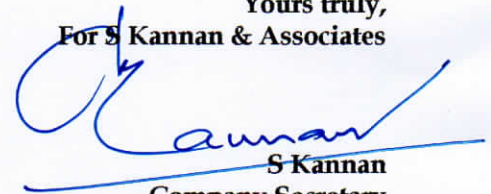
I write to state that all Resolutions set out in the AGM Notice dated 31<sup>st</sup> July 2020 are approved by Members of the Bank with requisite majority. You may accordingly declare the results as per law.

Further I state that:

- a. A list of equity shareholders who have casted their vote through Remote E-voting and E-voting at the AGM is given to the Company Secretary of the Bank.
- b. The electronic data and all other relevant records relating to the e- voting shall remain in our safe custody and shall be handed over to the Company Secretary of the Bank for preserving safely after the Chairman considers, approves and signs the Minutes of the aforesaid Annual General Meeting.

Yours truly,  
For S Kannan & Associates





**S Kannan**  
Company Secretary  
FCS 6261, PCS 13016

UDIN No. F006261B000653490

Encl: Annexure A

Accepted by:

**Chanchal Kumar**  
Company Secretary and Compliance Officer

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**S KANNAN AND ASSOCIATES**

4.

Company Secretaries

FCS 6261, PCS No. 13016, Firm No.S2017KR473100.

13, Ground Floor, 1<sup>st</sup> Main Road, Venkateshwara Lay Out, Attiguppe, Vijayanagara, Bengaluru - 560040

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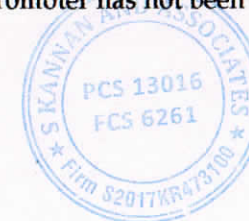


## ANNEXURE - A

## Ujjivan Small Finance Bank Limited - 4th Annual General Meeting held on 2nd September 2020

Res. No.	Resolution	Mode of Voting	No. of Valid Votes	No. of Votes in Favour	% of votes in favour	No. of Votes against	% of votes against	No. of votes invalid	% of invalid votes
1	To receive, consider and adopt the Audited Financial Statements of the Bank for the Financial Year ended March 31, 2020 and the Reports of the Board of Directors and Auditors thereon	Remote e-Voting	586936533	586931881	99.999	4652	0.001	0	0
		E-Voting at AGM	91495	91495	100.000	0	0	0	0
<b>TOTAL</b>			<b>587028028</b>	<b>587023376</b>	<b>99.999</b>	<b>4652</b>	<b>0.001</b>	<b>0</b>	<b>0</b>
2	To Reappoint Mr. Jayanta Kumar Basu (DIN: 01268046), who retires by rotation as a director and, being eligible, seeks reappointment.	Remote e-Voting	586936398	579536646	98.739	7399752	1.261	0	0
		E-Voting at AGM	91495	91495	100.000	0	0	0	0
<b>TOTAL</b>			<b>587027893</b>	<b>579628141</b>	<b>98.739</b>	<b>7399752</b>	<b>1.261</b>	<b>0</b>	<b>0</b>
3	To confirm and take note of the interim dividend declared and paid @ 5.50% on 20,00,00,000 11% Perpetual Non-Cumulative Preference Shares.	Remote e-Voting	586936583	586919939	99.997	16644	0.003	0	0
		E-Voting at AGM	91495	91295	99.781	200	0.219		
<b>TOTAL</b>			<b>587028078</b>	<b>587011234</b>	<b>99.997</b>	<b>16844</b>	<b>0.003</b>	<b>0</b>	<b>0</b>
4	To ratify Ujjivan Small Finance Bank - Employee Stock Option Plan 2019("ESOP 2019").	Remote e-Voting	586936318	579542485	98.740	7393833	1.260	0	0
		E-Voting at AGM	91495	90648	99.074	847	0.926		
<b>TOTAL</b>			<b>587027813</b>	<b>579633133</b>	<b>98.740</b>	<b>7394680</b>	<b>1.260</b>	<b>0</b>	<b>0</b>
5	To ratify Ujjivan Small Finance Bank -Employee Stock Purchase Scheme 2019 ("ESPS 2019").	Remote e-Voting	586936474	579551520	98.742	7384954	1.258	0	0
		E-Voting at AGM	91495	90648	99.074	847	0.926		
<b>TOTAL</b>			<b>587027969</b>	<b>579642168</b>	<b>98.742</b>	<b>7385801</b>	<b>1.258</b>	<b>0</b>	<b>0</b>
6	To appoint Mr. Umang Bedi (DIN: 02432920) as an Independent Director of the Bank.	Remote e-Voting	586936388	586916844	99.997	19544	0.003	0	0
		E-Voting at AGM	91495	91265	99.749	230	0.251		
<b>TOTAL</b>			<b>587027883</b>	<b>587008109</b>	<b>99.997</b>	<b>19774</b>	<b>0.003</b>	<b>0</b>	<b>0</b>
7	To shift/change of place/location of the Registered Office of the Bank from New Delhi, the National Capital Territory of Delhi to Bengaluru in the state of Karnataka and consequent alteration of Memorandum of Association of the Bank.	Remote e-Voting	586936583	586926947	99.998	9636	0.002	0	0
		E-Voting at AGM	91495	91495	100.000	0	0.000	0	0
<b>TOTAL</b>			<b>587028078</b>	<b>587018442</b>	<b>99.998</b>	<b>9636</b>	<b>0.002</b>	<b>0</b>	<b>0</b>

**Note:** Ujjivan Financial Services Limited ("Promoter") holds 83.32% of total equity capital of the Bank. However, its voting rights is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.



For S Kannan & Associates  
S Kannan

UDIN No. F006261B000653490

**E-voting Results of 4th Annual General Meeting**

**Pursuant to Regulation 44 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

	UJJIVAN SMALL FINANCE BANK LIMITED
<b>Date of the AGM</b>	02-09-2020
<b>Total number of shareholders on record date</b>	160699
<b>No. of shareholders present in the meeting either in person or through proxy:</b>	
<b>Promoters and Promoter Group:</b>	Not Applicable
<b>Public:</b>	Not Applicable
<b>No. of Shareholders attended the meeting through Video Conferencing</b>	
<b>Promoters and Promoter Group:</b>	1
<b>Public:</b>	91

<b>Resolution No.</b>	<b>1</b>									
Resolution required: (Ordinary/ Special)	ORDINARY - TO RECEIVE, CONSIDER AND ADOPT THE AUDITED FINANCIAL STATEMENTS OF THE BANK FOR THE FINANCIAL YEAR ENDED MARCH 31, 2020 AND THE REPORTS OF THE BOARD OF DIRECTORS AND AUDITORS THEREON									
Whether promoter/ promoter group are interested in the agenda/resolution?	No									
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]*100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100	Votes Invalid	Votes Abstained
Promoter and Promoter Group	E-Voting	1,44,00,36,794	1,44,00,36,794	100.0000	1,44,00,36,794	0	100.0000	0.0000	0	0
	<b>Less: Not considered as per Banking Regulation Act, 1949*</b>		99,06,98,771	-	99,06,98,770	0				
	<b>Votes Considered</b>		44,93,38,023.00	-	44,93,38,023	0				



	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		44,93,38,023	100.0000	44,93,38,023	0	100.0000	0.0000	0	0
Public- Institutions	E-Voting	16,18,74,843	13,07,99,256	80.8027	13,07,99,256	0	100.0000	0.0000	0	26,057
	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		13,07,99,256	80.8027	13,07,99,256	0	100.0000	0.0000	0	26057
Public- Non Institutions	E-Voting	12,63,11,532	67,99,254	5.3829	67,94,602	4,652	99.9315	0.0684	0	0
	Poll		91,495	0.0724	91,495	0	100.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		68,90,749	5.4553	68,86,097	4,652	99.9325	0.0675	0	0
	Total	1,72,82,23,169	58,70,28,028	33.9671	58,70,23,376	4,652	99.9992	0.0008	0	26057

\*Promoter holds 83.32% of total equity capital of the Bank. However, its voting rights is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

<b>Resolution No.</b>	<b>2</b>									
Resolution required: (Ordinary/ Special)	ORDINARY - TO REAPPOINT MR. JAYANTA KUMAR BASU (DIN:01268046), WHO RETIRES BY ROTATION AS A DIRECTOR AND, BEING ELIGIBLE, SEEKS REAPPOINTMENT									
Whether promoter/ promoter group are interested in the agenda/resolution?	No									
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]*100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100	Votes Invalid	Votes Abstained
Promoter and Promoter Group	E-Voting	1,44,00,36,794	1,44,00,36,794	100.0000	1,44,00,36,794	0	100.0000	0.0000	0	0
	<b>Less: Not considered as per Banking Regulation Act, 1949*</b>		99,06,98,771	-	99,06,98,771	0				
	<b>Votes Considered</b>		44,93,38,023	-	44,93,38,023	0				



	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		44,93,38,023	100.0000	44,93,38,023	0	100.0000	0.0000	0	0
Public- Institutions	E-Voting	16,18,74,843	13,07,99,256	80.8027	12,34,21,392	73,77,864	94.3593	5.6406	0	26,057
	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		13,07,99,256	80.8027	12,34,21,392	73,77,864	94.3594	5.6406	0	26057
Public- Non Institutions	E-Voting	12,63,11,532	67,99,119	5.3828	67,77,231	21,888	99.6780	0.3219	0	185
	Poll		91,495	0.0724	91,495	0	100.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		68,90,614	5.4552	68,68,726	21,888	99.6824	0.3176	0	185
	Total	1,72,82,23,169	58,70,27,893	33.9671	57,96,28,141	73,99,752	98.7395	1.2605	0	26242

\*Promoter holds 83.32% of total equity capital of the Bank. However, its voting rights is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

<b>Resolution No.</b>	<b>3</b>										
Resolution required: (Ordinary/ Special)	ORDINARY - TO CONFIRM AND TAKE NOTE OF THE INTERIM DIVIDEND DECLARED AND PAID @ 5.50 ON 20,00,00,000 11% PERPETUAL NON-CUMULATIVE PREFERENCE SHARES										
Whether promoter/ promoter group are interested in the agenda/resolution?	No										
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]*100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100	Votes Invalid	Votes Abstained	
Promoter and Promoter Group	E-Voting	1,44,00,36,794	1,44,00,36,794	100.0000	1,44,00,36,794	0	100.0000	0.0000	0	0	
	<b>Less: Not considered as per Banking Regulation Act, 1949*</b>		99,06,98,771	-	99,06,98,770	-					
	<b>Votes Considered</b>		44,93,38,023	-	44,93,38,023	-					



	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	<b>Total</b>		<b>44,93,38,023</b>	<b>100.0000</b>	<b>44,93,38,023</b>	<b>0</b>	<b>100.0000</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>
Public- Institutions	E-Voting	16,18,74,843	13,07,99,256	80.8027	13,07,99,256	0	100.0000	0.0000	0	26,057
	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	<b>Total</b>		<b>13,07,99,256</b>	<b>80.8027</b>	<b>13,07,99,256</b>	<b>0</b>	<b>100.0000</b>	<b>0.0000</b>	<b>0</b>	<b>26057</b>
Public- Non Institutions	E-Voting	12,63,11,532	67,99,304	5.3830	67,82,660	16,644	99.7552	0.2447	0	0
	Poll		91,495	0.0724	91,295	200	99.7814	0.2185	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	<b>Total</b>		<b>68,90,799</b>	<b>5.4554</b>	<b>68,73,955</b>	<b>16,844</b>	<b>99.7556</b>	<b>0.2444</b>	<b>0</b>	<b>0</b>
	<b>Total</b>	<b>1,72,82,23,169</b>	<b>58,70,28,078</b>	<b>33.9671</b>	<b>58,70,11,234</b>	<b>16,844</b>	<b>99.9971</b>	<b>0.0029</b>	<b>0</b>	<b>26057</b>

\*Promoter holds 83.32% of total equity capital of the Bank. However, its voting rights is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

<b>Resolution No.</b>	<b>4</b>										
Resolution required: (Ordinary/ Special)	SPECIAL - TO RATIFY UJJIVAN SMALL FINANCE BANK - EMPLOYEE STOCK OPTION PLAN 2019 ("ESOP 2019")										
Whether promoter/ promoter group are interested in the agenda/resolution?	No										
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]*100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100	Votes Invalid	Votes Abstained	
Promoter and Promoter Group	E-Voting	1,44,00,36,794	1,44,00,36,794	100.0000	1,44,00,36,794	0	100.0000	0.0000	0	0	
	<b>Less: Not considered as per Banking Regulation Act, 1949*</b>		99,06,98,771	-	99,06,98,770						
	<b>Votes Considered</b>		44,93,38,023	-	44,93,38,023						



	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		44,93,38,023	100.0000	44,93,38,023	0	100.0000	0.0000	0	0
Public- Institutions	E-Voting	16,18,74,843	13,07,99,256	80.8027	12,34,21,392	73,77,864	94.3593	5.6406	0	26,057
	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		13,07,99,256	80.8027	12,34,21,392	73,77,864	94.3594	5.6406	0	26057
Public- Non Institutions	E-Voting	12,63,11,532	67,99,039	5.3828	67,83,070	15,969	99.7651	0.2348	0	265
	Poll		91,495	0.0724	90,648	847	99.0742	0.9257	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		68,90,534	5.4552	68,73,718	16,816	99.7560	0.2440	0	265
	Total	1,72,82,23,169	58,70,27,813	33.9671	57,96,33,133	73,94,680	98.7403	1.2597	0	26322

\*Promoter holds 83.32% of total equity capital of the Bank. However, its voting rights is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

<b>Resolution No.</b>	<b>5</b>										
Resolution required: (Ordinary/ Special)	SPECIAL - TO RATIFY UJJIVAN SMALL FINANCE BANK - EMPLOYEE STOCK PURCHASE SCHEME 2019 ("ESPS 2019")										
Whether promoter/ promoter group are interested in the agenda/resolution?	No										
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]*100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100	Votes Invalid	Votes Abstained	
Promoter and Promoter Group	E-Voting	1,44,00,36,794	1,44,00,36,794	100.0000	1,44,00,36,794	0	100.0000	0.0000	0	0	
	<b>Less: Not considered as per Banking Regulation Act, 1949*</b>		99,06,98,771	-	99,06,98,770						
	<b>Votes Considered</b>		44,93,38,023	-	44,93,38,023						

	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	<b>Total</b>		<b>44,93,38,023</b>	<b>100.0000</b>	<b>44,93,38,023</b>	<b>0</b>	<b>100.0000</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>
Public- Institutions	E-Voting	16,18,74,843	13,07,99,256	80.8027	12,34,21,392	73,77,864	94.3593	5.6406	0	26,057
	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	<b>Total</b>		<b>13,07,99,256</b>	<b>80.8027</b>	<b>12,34,21,392</b>	<b>73,77,864</b>	<b>94.3594</b>	<b>5.6406</b>	<b>0</b>	<b>26057</b>
Public- Non Institutions	E-Voting	12,63,11,532	67,99,195	5.3829	67,92,105	7,090	99.8957	0.1042	0	109
	Poll		91,495	0.0724	90,648	847	99.0742	0.9257	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	<b>Total</b>		<b>68,90,690</b>	<b>5.4553</b>	<b>68,82,753</b>	<b>7,937</b>	<b>99.8848</b>	<b>0.1152</b>	<b>0</b>	<b>109</b>
	<b>Total</b>	<b>1,72,82,23,169</b>	<b>58,70,27,969</b>	<b>33.9671</b>	<b>57,96,42,168</b>	<b>73,85,801</b>	<b>98.7418</b>	<b>1.2582</b>	<b>0</b>	<b>26166</b>

\*Promoter holds 83.32% of total equity capital of the Bank. However, its voting rights is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

<b>Resolution No.</b>	<b>6</b>										
Resolution required: (Ordinary/ Special)	ORDINARY - TO APPOINT MR. UMANG BEDI (DIN:02432920) AS AN INDEPENDENT DIRECTOR OF THE BANK										
Whether promoter/ promoter group are interested in the agenda/resolution?	No										
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]*100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100	Votes Invalid	Votes Abstained	
Promoter and Promoter Group	E-Voting	1,44,00,36,794	1,44,00,36,794	100.0000	1,44,00,36,794	0	100.0000	0.0000	0	0	
	<b>Less: Not considered as per Banking Regulation Act, 1949*</b>		99,06,98,771	-	99,06,98,770						
	<b>Votes Considered</b>		44,93,38,023	-	44,93,38,023						



	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		44,93,38,023	100.0000	44,93,38,023	0	100.0000	0.0000	0	0
Public- Institutions	E-Voting	16,18,74,843	13,07,99,256	80.8027	13,07,99,256	0	100.0000	0.0000	0	26,057
	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		13,07,99,256	80.8027	13,07,99,256	0	100.0000	0.0000	0	26057
Public- Non Institutions	E-Voting	12,63,11,532	67,99,109	5.3828	67,79,565	19,544	99.7125	0.2874	0	195
	Poll		91,495	0.0724	91,265	230	99.7486	0.2513	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		68,90,604	5.4552	68,70,830	19,774	99.7130	0.2870	0	195
	Total	1,72,82,23,169	58,70,27,883	33.9671	58,70,08,109	19,774	99.9966	0.0034	0	26252

\*Promoter holds 83.32% of total equity capital of the Bank. However, its voting rights is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

<b>Resolution No.</b>	<b>7</b>										
Resolution required: (Ordinary/ Special)	SPECIAL - TO SHIFT/CHANGE OF PLACE/LOCATION OF THE REGISTERED OFFICE OF THE BANK FROM NEW DELHI, THE NATIONAL CAPITAL TERRITORY OF DELHI TO BENGALURU IN THE STATE OF KARNATAKA AND CONSEQUENT ALTERATION OF MEMORANDUM OF ASSOCIATION OF THE BANK										
Whether promoter/ promoter group are interested in the agenda/resolution?	No										
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]*100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100	Votes Invalid	Votes Abstained	
Promoter and Promoter Group	E-Voting	1,44,00,36,794	1,44,00,36,794	100.0000	1,44,00,36,794	0	100.0000	0.0000	0	0	
	<b>Less: Not considered as per Banking Regulation Act, 1949*</b>		99,06,98,771	-	99,06,98,770						
	<b>Votes Considered</b>		44,93,38,023	-	44,93,38,023						

	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		44,93,38,023	100.0000	44,93,38,023	0	100.0000	0.0000	0	0
Public- Institutions	E-Voting	16,18,74,843	13,07,99,256	80.8027	13,07,99,256	0	100.0000	0.0000	0	26,057
	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		13,07,99,256	80.8027	13,07,99,256	0	100.0000	0.0000	0	26057
Public- Non Institutions	E-Voting	12,63,11,532	67,99,304	5.3830	67,89,668	9,636	99.8582	0.1417	0	0
	Poll		91,495	0.0724	91,495	0	100.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		68,90,799	5.4554	68,81,163	9,636	99.8602	0.1398	0	0
	Total	1,72,82,23,169	58,70,28,078	33.9671	58,70,18,442	9,636	99.9984	0.0016	0	26057

\*Promoter holds 83.32% of total equity capital of the Bank. However, its voting rights is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.