Share Holding Pattern as on 10-Dec-2019

1. Name of Listed Entity: Ujjivan Small Finance Bank Limited

2. Scrip Code/Name of Scrip/Class of Security: UJJIVANSFB

3. Share Holding Pattern Filed under: 31a

4. Share Holding Pattern as on: 10-Dec-2019

5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Cate go ry (1)	Categor y of sharehol der (II)	Nos. of shar (III)	No. of fully paid up shares held (IV)	No. of Partl equity shares held (V)	No. of shares underlyi Deposito Receipts (VI)	Tota l nos. held (VII) = (IV)+(V (VI)	Sharehold ing as a % of total (calculated per SCRR, 1957) (VIII)		,	X)	ting in Of	No. of Shares Underlyi Outstandi convertibl securities (includin	percentage diluted	Num r o Lock	f ked	Sh oti enc	imbe r of ares <u>herwis</u> umber XIII)	Number of equity in demateria ed form
							As a % of (A+B+C2)	Cla s s eg: X	Oof Voting Cla s s eg: y	T o t a l	Tot al as a % of (A+ B+ C)	Warrant (X)	(A+B+C2)	No (a)	As a % of tot al Sh ar es hel	N o. (a)	As a % of tota l Sha re s held (b)	(XIV)
A	Promoter & Promoter Group	7	14400368 00	0	0	1440036 800		1440 0368 00	0	144 003 680 0	83.32	0	83.32	144003 6800	100	0	0	144003680 0
В	Public	95108	28818636 9	0		2881863 69		2881 8636 9	0	288 186 369	16.68	0	16.68	167578 261	58.15			288186369
С	Non Promoter- Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	70	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	95115	17282231 69	0	0	1728223 169		1728 2231 69		172 822 316 9	100	0	100	160761 5061	93.02	0	0	172822316 9

 $Table\ II\ -\ Statement\ showing\ shareholding\ pattern\ of\ the\ Promoter\ and\ Promoter\ Group$

	Category &	PAN	No. of	No. of	Partly	Nos. of	Total	Shareho	Num	her of V	otin	a Riahts	No. of	Sharehold	Nur	nher	Nu	mber	Number
	Category & of the	(11)	şhare	fully	paid-up	sharés	nos.	ding %	e	ach clas	ss of s	securities	Shares	g, as a %	Lôc	ked in	S	hares	eauitv
	Shareholder		older (III)	paid up	equity shares	underlyi	shares held	calculat		(1	X)		Underlyin Outstandi		sh	ares II)	ple	edged herwis	shares in
	(1)		(111)	eguit	held	Deposito Receipts	(VII =	d as per SCRR, 1957					convertibl	conversio	(Δ	11)	enc	umber	demateria
				share	(V)	(VI)	<u> </u>	1957	Mag	- I/o tiro	~	Total	securities	of of	A.	1 40 0		XIII)	ed form
				s held (IV)			I)	As a %	Righ	ts	j	Total as a	(includin Warrant		O.	As a %	N O.	As a % of	(XIV)
				()				As a % (A+B+C				as a % of Total	(X)	as a	Га		0. (a	_	
								(VIII)	Clas	Clas	T	Total Votin		percentag of diluted	,	of tot)	tota 1	
									S X	S Y	o t	right		share	1	al		shar	
											ą	right S		<u> </u>	-	Sha			
											1	3		(XI) = (VII)+(X) % of A+B+C2				e s held	
														% of		r			
														AIDICZ		es		(b)	
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals/Hin		6	6	0	0	6	0	6	0	6	0	0	0	6	100	0	0	6
	du undivided																		
	Family																		
		AAKPG	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
		9508M																	
		AADPF	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	KRIPANAYANA	4769J																	
	FURTADO																		
		AKAP	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
		D7270																	
		K																	
	G PREMKUMAR		1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
		8419B																	
	RAJAT KUMAR		1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
		3978H																	
	SUDHA SURESH		1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
		6095G																	
b	Central		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Government/																		
	State																		
	Government(s)																		
С	Financial		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Institutions/																		
	Banks																		
d	Any Other		1	14400	0	0	1440036	83.32	14400	0	144	83.32	0	83.32	1440	100	0	0	144003679

	(specify)			36794			794		36794		003 679 4				0367 94				4
	Bodies Corporate		1	14400 36794	0	0	1440036 794	83.32	14400 36794	0	144 003 679 4	83.32	0		1440 0367 94	100	0	0	144003679 4
	UJJIVAN FINANCIAL SERVICES LIMITED	AAACU 7330C	1	14400 36794	0	0	1440036 794	83.32	14400 36794	0	144 003 679 4	83.32	0		1440 0367 94	100	0	0	144003679 4
	Sub-Total (A)(1)		7	14400 36800	0	0	1440036 800	83.32	14400 36800	0	144 003 680 0	83.32	0		1440 0368 00	100	0	0	144003680 0
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
С	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		7	14400 36800	0	0	1440036 800	83.32	14400 36800	0	144 003 680 0	83.32	0		1440 0368 00	100	0	0	144003680

 $Table\ III-Statement\ showing\ shareholding\ pattern\ of\ the\ Public\ shareholder$

	Category & Name of the Shareholder S (I)	PA N (II	Nos. of shar eh older (III)	No. of fully paid up equi ty shar e s held (IV)	Partl y paid- up equit y share s held (V)	Nos. of shares underly ing Deposit ory Receipt s (VI)	Tota I nos. shar es held VII = IV+V+VI	Shareh ol ding % calcul ate d as per SCRR, 1957 As a % of (A+B+C 2) VIII		umber ghts he ass of s (I Voting ts Clas s Y		ting each ities Total as a % of Total Votin g right s	No. of Shares Underlyi ng Outstand ing converti ble securitie s (includin g Warrant s) (X)	Total sharehol din g, as a % assumin g full conversi on of converti ble securitie s (as a percenta ge of diluted share capital) (XI)	Loc i	mbe of of ked n tres II) As a % of tot al Sha r es hel d (b)	Sh	mber of ares dged As a % of tota l shar e s held (Not appl ic able) (b)	Number of equity shares held in demateri aliz ed form (XIV)
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Mutual Funds/		12	34443 989	0	0	3444398 9	1.99	34443 989		344 439 89	1.99	0	1.99	2871 7794	83.38			34443989
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
С	Alternate Investment Funds		30	59315 380	0	0	5931538 0	3.43	59315 380		593 153 80	3.43	0	3.43	5506 2916	92.83			59315380
d	Foreign Venture Capital Investors		0	0	0	0		0	0	0		0	0	0		0			0
e	Foreign Portfolio Investors		36	55717 449	0	0	5571744 9		55717 449		557 174 49	3.22	0	3.22	4151 9200	74.52			55717449
f	Financial Institutions/ Banks		23	14410 085	0	0	1441008 5	0.83	14410 085		144 100 85	0.83	0	0.83	0	0			14410085
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
h	Provident		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

	Funds/ Pension Funds																
i	Any Other (specify)	13	87375 61	0	0	8737561	0.51	87375 61	0	873 756	0.51	0	0.51	6080 400	69.59	873	7561
	Other	13	87375 61	0	0	8737561	0.51	87375 61	0	873 756	0.51	0	0.51	6080 400	69.59	873	7561
	Sub-Total (B)(1)	114	17262 4464	0	0	1726244 64	9.99	17262 4464	0	172 624 464	9.99	0	9.99	1313 8031 0	76.11	172	624464
2	Central Government/ State Government(s) / President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub-Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
3	(B)(2) Non-	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	institutions																
a	Individuals -	94546	93052 189	0	0	9305218 9	5.38	93052 189	0	930 521 89	5.38	0	5.38	3414 6722	36.7	930	52189
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	94199	50850 079	0	0	5085007 9	2.94	50850 079	0		2.94	0	2.94	9286 944	18.26	508	350079
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	347	42202 110	0	0	4220211 0	2.44	42202 110	0	422 021 10	2.44	0	2.44	2485 9778	58.91	422	02110
b	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c	Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
d	Trusts Overseas	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
<u> </u>	Depositories									Ľ							

	(holding DRs) (balancing figure)															
e	Any Other (specify)	448	22509 716	0	0	2250971 6	1.3	22509 716	0	225 097 16	1.3	0	1.3	2051 229	9.11	22509716
	Bodies Corporate	176	18630 276	0	0	1863027 6	1.08	18630 276	0	186 302 76	1.08	0	1.08	5714 28	3.07	18630276
	Non-Resident Indian (NRI)	267	16147 99	0	0	1614799	0.09	16147 99	0	161 479 9	0.09	0	0.09	1479 801	91.64	1614799
	Trusts	5	22646 41	0	0	2264641	0.13	22646 41	0	226 464 1	0.13	0	0.13	0	0	2264641
	Sub-Total (B)(3)	94994	11556 1905	0	0	1155619 05	6.69	11556 1905	0	115 561 905	6.69	0	6.69	3619 7951	31.32	115561905
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	95108	28818 6369	0	0	2881863 69	16.68	28818 6369	0	288 186 369	16.68	0	16.68	1675 7826 1	58.15	288186369

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & of the Shareholder (I)	(II)	No. of share older (III)	No. of fully paid up equit share s held (IV)	paid-up equity shares held (V)	sharés	Total shares held VII = IV+V+V I)	- calculat		(I Votina	x) 7	Total as a % of Total Votin g right s	Underlyin Outstandi convertibl securities (includin Warrant (X)	assuming full	Loc sh (X No.	As a As a of tot al Sha r es hel	SI ple oth enci	mber dares dged erwis umber (III) As a % of tota l shar e s held (Not appl	Number equity shares in demateria ed form (XIV) (Not Applicabl e)
1	Custodian/DR Holder		0	0	0	0	0		0	0	0	0	0		0	0			0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0		0	0	0	0	0		0	0			0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a	Nationalit v	Details of holdi direct or indire		iht of the SBO in the i	reporting compo	iny, whether	Date of creation /
		foreign national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	acquisition of significant beneficial interest

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here al	ong with details such as number of shareholders, outstanding shares								
held in demat/unclaimed suspense a	account, voting rights which are frozen etc.								
No. of shareholders	· · · · · · · · · · · · · · · · · · ·								

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given h	ere along with details such as number of shareholders, outstanding								
shares held in demat/unclaimed susp	shares held in demat/unclaimed suspense account, voting rights which are frozen etc.								
No. of shareholders	No of share held								
5	2035								

Table III- Person in Concert

	Details of the shareh	holders acting as persons in C	oncert including their Shareho	lding
Name of shareholder		Name of PAC	No. of share	Holding %

For Ujjivan Small Finance Bank Limited

Chanchal Kumar Company Secretary and Compliance Officer

Date: Dec 10, 2019