SHAREHOLDING PATTERN AS ON JUNE 30, 2020

- 1. Name of Listed Entity: Ujjivan Small Finance Bank Limited
- 2. Scrip Code/Name of Scrip/Class of Security: UJJIVANSFB
- 3. Share Holding Pattern Filed under: Regulation 31b of SEBI (LODR) Regulations, 2015
- 4. **Share Holding Pattern as on:** 30-Jun-2020
- 5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Sr. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Categ o ry (I)	Category of sharehold	Nos. of share	No. of fully paid up	No. of Partly paid-	No. of shar	Total nos. share	Shar ehold ing	Number of Voting Rights held in each class of securities	No. of Shares Underlyi	Sharehol ding , as a %	Number of Locked in shares	Number of Shares	Number of equity shares
	er (II)	(III)	shares	equity	Depos itory	held -	(calcul ated as	(IX)	Outstandin g	of converti ble		otherwise	in
			held	shares	Recei pts	,	per SCRR,		convertible	securities (as a	(XII)	encumbere d	demateriali z
			(IV)	held	(VI)	(IV)+(V) +	1957)		securities	percenta ge of		(XIII)	ed form
				(V)		(VI)	(VIII)		(including	diluted share			<u>(XIV)</u>
							As a (A+B	No of Voting Rights Tot al	Warrants) (X)	capita	No. (a) As a %	No As a % of	
							(A+B +C2)	Clas s eg: X Cla To as a % of (A+B)		(XI)= (VII)+(X) As a %	of tot	(a total Shar	

									eg: y	al	+ C)		(A+B+C 2)		al Sha r es hel d (b)		e s held (b)	
A	Promoter & Promoter Group		144003680 0			14400368 00		1440036800		0368 00		0		1440036800		0		1440036800
В	Public		288186369	0	0	28818636 9	16.68	288186369		2881 8636 9	16.68	0	16.68	85483667	29.66			288186349
С	Non Promoter- Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	159710	172822316 9	0		17282231 69	100	1728223169		1728 2231 69		0	100	1525520467	88.27	0	0	1728223149

 $Table\ II\ -\ Statement\ showing\ shareholding\ pattern\ of\ the\ Promoter\ and\ Promoter\ Group$

Category &	No.	No. of	P	N	Total	Share	Number of Voting Rights held in	n	No.	Shareholdin	Number of	Nur	nber of	Number of
of the	shar eh	fully	pa id-	s h	nos.	ding %	each class of securities		Shar es	g , as a %	Locked in	Sh	ares	equity
Shareholders	olde	paid	e	uñ	shares	calcul	(IX)		Under	assuming	shares		lged or	shares held
(1)	(III)	ир		De	held	d as			Outsta	full	(XII)		erwise	in
		Equity	held	Re cei pt s	(VII =	SCRR,			conver tible	conversion		encu d	ımbere	demateriali z
		Share	(V)	(VI)	IV+V+VI)	1957			securi ties	of		(X	(III)	ed form
		s held					No of Voting Rights	Total as a %	(inclu ding	convertible	No. As a (a)	No	As a % of	(XIV)
		(IV)				As a % of		of Total Voting rights	Warr ants)	securities (of tot	(a	total shar	
						(A+B+		rights	(X)	as a	al		e s	
						(VIII	Class X Cl Tot al			percentage of diluted	Sha		held	
						,	a			share	r es		(b)	
							S c		•	capital)	hel			
							Ÿ			(XI) = (VII)+(X) as	d			

	ı		1					ı		1			0/- of	1	<i>a</i> >			
													% of A+B+C2	1	(b)			
	Indian	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Individuals/Hind u undivided Family	6	6	0	0	6	0	6	0	6	0	0	0	6	100	0	0	6
	SAMIT GHOSH	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	CAROL KRIPANAYANA FURTADO	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	ITTIRA DAVIS	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	G PREMKUMAR	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	RAJAT KUMAR SINGH	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	SUDHA SURESH	1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
b	Central Government/ State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Financial Institutions/ Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)	1	144003679 4	0	0	144003679 4	83.32	1440036794	0	1440036794	83.32	0	83.32	144003 6794	100	0	0	1440036794
	Bodies Corporate	1	144003679 4	0	0	144003679 4	83.32	1440036794	0	1440036794	83.32	0	83.32	144003 6794	100	0	0	1440036794
	UJJIVAN FINANCIAL SERVICES LIMITED	1	144003679 4	0	0	144003679 4	83.32	1440036794	0	1440036794	83.32	0	83.32	144003 6794	100	0	0	1440036794
	Sub-Total (A)(1)	7	144003680 0	0	0	144003680 0	83.32	1440036800	0	1440036800	83.32	0	83.32	144003 6800	100	0	0	1440036800
	Foreign	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Individuals (Non- Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	7	144003680	0	0	144003680	83.32	1440036800	0	1440036800	83.32	0	83.32	144003	100	0	0	1440036800

Shareholding of	0		0				6800		
Promoter and									
Promoter Group									
(A)=									
(A)(1)+(A)(2)									

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	Nos. of sha reh old er (III)	No. of fully paid up equity share s held (IV)	Par tly pai d- up equ ity sha res hel d (V)	Nos. of share s unde rlyin g Depo sitory Recei pts (VI)	Total nos. shares held <u>VII =</u> <u>IV+V+V</u> I	Shar ehol ding % calcu late d as per SCR R, 195 7 As a % of (A+B +C2) VIII	Numb held No of Vo Class X	l in 'e sec	Tot al	Total as a % of Total Votin g right s	No. of Shares Underly ing Outstan ding convert ible securiti es (includi ng Warran ts) (X)	Total sharehold in g, as a % assuming full conversio n of convertib le securities (as a percenta ge of diluted share capital) (XI)	Loc	of ked n ires	Sh ple	aber of ares or As a % of total shar e s held (Not appli c able) (b)	Number of equity shares held in demateria liz ed form (XIV)
1	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Mutual Funds/	5	4008955	0	0	4008955	0.23	4008955	0	4008955	0.23	0	0.23	0	0			4008955
b	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
С	Alternate Investment Funds	18	57186829	0	0	57186829	3.31	57186829	0	5718682 9	3.31	0	3.31	49285 716	86.18			57186829
d	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors	17	88758538	0	0	88758538	5.14	88758538	0	8875853 8	5.14	0	5.14	0	0			88758538
	GOVERNMENT OF SINGAPORE	1	24978990	0	0	24978990	1.45	24978990	0	2497899 0	1.45	0	1.45	0	0			24978990
f	Financial Institutions/Banks	1	825522	0	0	825522	0.05	825522	0	825522	0.05	0	0.05	0	0			825522
g	Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
h	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)	3	10876381	0	0	10876381	0.63	10876381	0	1087638	0.63	0	0.63	0	0			10876381

										1						
	Other	3	10876381	0	0	10876381	0.63	10876381	0	1087638 1	0.63	0	0.63	0	0	10876381
	Sub-Total (B)(1)	44	161656225	0	0	161656225	9.35	16165622 5	0	1616562 25	9.35	0	9.35	49285 716	30.49	161656225
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -	15792 1	108381712	0	0	108381712	6.27	10838171 2		1083817 12	6.27	0	6.27	34146 722		108381692
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	15762 3	71139520	0	0	71139520	4.12	71139520		7113952 0	4.12	0	4.12	92299 06		71139500
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	298	37242192	0	0	37242192	2.15	37242192	0	3724219 2	2.15	0	2.15	24916 816	66.9	37242192
b	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
С	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
е	Any Other (specify)	1738	18148432	0	0	18148432	1.05	18148432	0	1814843 2	1.05	0	1.05	20512 29	11.3	18148432
	Bodies Corporate	308	9185872	0	0	9185872	0.53	9185872	0	9185872	0.53	0	0.53	57142 8	6.22	9185872
	Clearing Members	156	1264192	0	0	1264192	0.07	1264192	0	1264192	0.07	0	0.07	0	0	1264192
	Non-Resident Indian (NRI)	1270	5796622	0	0	5796622	0.34	5796622		5796622	0.34	0	0.34	14798 01	25.53	5796622
	Trusts	4	1901746	0	0	1901746	0.11	1901746	0	1901746	0.11	0	0.11	0	0	1901746
	Sub-Total (B)(3)	15965 9	126530144	0	0	126530144	7.32	12653014 4		1265301 44	7.32	0	7.32	36197 951	28.61	126530124
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	15970 3	288186369	0	0	288186369	16.68	28818636 9	0	2881863 69	16.68	0	16.68		29.66	288186349

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category &	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Numb	er of Vo	ting R	Rights	No. of	Total		ıber of		mber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ich class	of sec	curities	Shares	shareholdi		ked in	Sł	nares	Equity
	Shareholders		older	paid	equity	underlyin	held	calculate		(L	X)		Underlying	g, as a %		ares	ple	dged or	shares held
	(1)		(III)	ир	shares	Depositor	(VII =	d as per					Outstandin		(X	II)		ierwise	<u>In</u>
				equity	held	Receipts	<u>IV+V+VI</u>	SCRR,					convertible					ımbere	demateriali
				share	(V)	(VI)	Ţ	1957	M C	17-4		m . 1	securities	conversion	N/-	4		XIII)	ed form
				s held				As a % of	NO OF	Voting		Total	(including		No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2) (VIII)	Right	S		as a % of	Warrants)	convertible securities (%	(N	% of	(XIV) (Not Applicable)
								(VIII)				Total	(X)	as a		of	o t	total	Applicable
									Clas	Clas s Y	To	Votina				tot	ар	shar	
									s X	SY	t a	Voting rights		percentage of diluted		al	pli	e s	
											al	3		share		_	•		
														capital) (XI)		Sha	са	held	
														(XI)		r es	bl	(Not	
1	Custodian/DR		0	0	0	0	0		0	0	0	0	0		0	0			0
	Holder																		
2	Employee Benefit		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
_			U	U	U	U	U	U	U	U	U	U	U	U	U	U			U
	Trust (under SEBI																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	2014)		_	_															_
	Total Non-		0	0	0	0	0		0	0	0	0	0		0	0			0
	Promoter- Non																		
	Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a	Nationali ty	whether aired	t or indirect*	right of the SBO in			Date of creation_/
		foreign national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	acquisition of significant beneficial interest

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along	g with details such as number of shareholders, outstanding shares held in
demat/unclaimed suspense acco	ount, voting rights which are frozen etc.
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain u	nclaimed may be given here along with details such as number of shareholders, outstanding shares held in
	demat/unclaimed suspense account, voting rights which are frozen etc.
o, of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %

For UJJIVAN SMALL FINANCE BANK LIMITED

No.

Sd/-Chanchal Kumar Company Secretary and Compliance Officer