1. Name of Listed Entity: Ujjivan Small Finance Bank Limited

2. Scrip Code/Name of Scrip/Class of Security: NSE: UJJIVANSFB, BSE: 542904

3. Share Holding Pattern Filed under: 31b

4. **Share Holding Pattern as on**: 31-Mar-2020

5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Catego ry (I)	Category of shareholder (II)	Nos. of shareh olders (III)	No. of fully paid up equity shares	No. of Partly paid-up equity	No. of shares underlyi Depositor	Total nos. shares held	Sharehol ding as a % of total (calculated			g Rights hel f securities	d in	No. of Shares Underlyi Outstandin	Shareholding, as a % assuming full of convertible	Number of in sho		Sho	nber of ares erwise	Number of equity shares held in
		(III)	held (IV)	shares held (V)	Receipts (VI)	(VI <u>I</u> ) = (IV)+(V)+ (VI)	per SCRR, 1957) (VIII)					convertible securities (including		(XI	D.	encu	mbere III)	dematerializ ed form
							As a % oj (A+B+C2 )	Clas s eg: X	Clas s eg:y	Tot al	Tot al as a % of (A+B + C)	Warrants (X)	capital)  (XI)=  As a % of  (A+B+C2)	No. (a)	As a % of total Shar es held (b)	No. (a )	As a % of tot al Sha re s hel d (b)	(XIV)
A	Promoter & Promoter Group	7	14400368 00	0	0	14400368 00	83.32	1440036 800	0	144003 6800	83.32	0	83.32	14400368 00	100	0	0	144003680 0
В	Public	137849	28818636 9	0	0	28818636 9	16.68	2881863 69	0	288186 369	16.68	0	16.68	85483667	29.66			288186349
С	Non Promoter- Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	137856	17282231 69	0	0	17282231 69	100	1728223 169	0	172822 3169	100	0	100	15255204 67	88.27	0	0	172822314 9

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name	PAN	No. of	No. of	Part	Nos. of	Total	Sharehol	Number of Vot	ing Rights	held in		No. of	Sharehol	Number of		Nun	nber of	Number of
	of the	(II)	share		paid-	shares	nos.	ding %	each class				Shares	g , as a	Locked in			ares	equity
	Shareholders		older	_	Equi	underlyi	shares	calculate		(IX	)		Underlyin	assumin	shares			lged or	shares held
	(1)		(III)	up	Shar Held	Deposito Receipt	held (VII =	d as per SCRR,					Outstandi convertibl	full	(XII)			erwise	in dematerializ
				equity Share	(V)	(VI)	(VII = IV+V+VI)	1957					securitie	conversi of			encui (XI	mbere	ed form
				s held	(1)	(11)	14.4.41	1737	No of Voting R	iahts		Total	(includin	converti	No. (a)	As a	No.	Asa	(XIV)
				(IV)				As a % of		·9		as a	Warrant	securitie	()	% of	(a)	%	()
								(A+B+C2)				% of	(X)	as a		total		of	
								(VIII)	Class X	Class	Tot al	Total		percenta		Shar		tot	
										Y		Voting rights		of share		es held		al sh	
												rigites		capital		(b)		ar	
														(XI) =		(2)		e s	
														(VII)+(X)				he	
														% of A+B+C				ld	
														2 A+B+C				(b)	
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals/Hin		6	6	0	0	6	0	6	0	6	0	0	0	6	100	0	0	6
	du undivided																		
	Family																		
	SAMIT GHOSH		1	1	0	0	1	0	1	0	1	0	0	0	1	100	Λ	0	1
			1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	CAROL		1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	KRIPANAYANA																		
	FURTADO																		
	ITTIRA DAVIS		1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	G PREMKUMAR		1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	RAJAT KUMAR		1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	SINGH																		
	SUDHA SURESH		1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
b	Central		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Government																		
											]								
	/ State																		
	Government(																		
											]								
	SJ																		
С	Financial		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Institutions/																		
	Banks																		
<u> </u>	1		l		<u> </u>	<u> </u>		L	<u> </u>	l	L	L	<u> </u>			l		1	

d Any (spe	Other ecify)	1	144003 6794	0	0	1440036 794	83.32	14400367 94	0	144003 6794	83.32	0	83.32	144003 6794	100	0	0	144003679 4
Bod Cor	lies porate	1	144003 6794	0	0	1440036 794	83.32	14400367 94	0	144003 6794	83.32	0	83.32	144003 6794	100	0	0	144003679 4
FIN. SER	VAN ANCIAL RVICES IITED	1	144003 6794	0	0	1440036 794	83.32	14400367 94	0	144003 6794	83.32	0	83.32	144003 6794	100	0	0	144003679 4
Sub (A)(	-Total (1)	7	144003 6800	0	0	1440036 800	83.32	14400368 00	0	144003 6800	83.32	0	83.32	144003 6800	100	0	0	144003680 0
	eign	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(No Res Indi For	ividuals in- ident ividuals/ eign ividuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b Gov	ernment	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c Inst	itutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	eign tfolio estor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Other ecify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub (A)(	-Total (2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
of P and Pro Gro	reholding romoter	7	144003 6800	0	0	1440036 800	83.32	14400368 00	0	144003 6800	83.32	0	83.32	144003 6800	100	0	0	144003680

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII =</u> <u>IV+V+V</u> I	Sharehol ding % calculate d as per SCRR, 1957	e	ach class (	ing Right of securi (IX)	ties	No. of Shares Underlying Outstanding convertible securities (including	Total shareholdin g , as a % assuming full conversion	Lock sho	ber of ced in ares (II)	Sh pled oth encu	nber of ares Iged or erwise mbered XIII)	Number of equity shares held in dematerializ ed form
				s held (IV)				As a % of (A+B+C2) VIII	No of V	class Y	Tot al	Total as a % of Total Voting rights	Warrants) (X)	of convertible securities (as a percentage of diluted share capital) (XI)	No. (a)	As a % of total Shar es held (b)	No. (No t ap pli ca ble ) (a)	As a % of total share s held (Not applic able)	(XIV)
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
а	Mutual Funds/		4	1366 4044	0	0	136640 44	0.79	1366 4044	0	136 640 44	0.79	0	0.79	0	0			13664044
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
С	Alternate Investment Funds		18	5611 9283	0	0	561192 83	3.25	5611 9283	0	561 192 83	3.25	0	3.25	492 857 16	87.8 2			56119283
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors		18	9237 6833	0	0	923768 33	5.35	9237 6833	0	923 768 33	5.35	0	5.35	0	0			92376833
	GOVERNME NT OF SINGAPORE		1	2698 5977	0	0	269859 77	1.56	2698 5977	0	269 859 77	1.56	0	1.56	0	0			26985977
f	Financial Institutions/ Banks		1	4586 39	0	0	458639	0.03	4586 39	0	458 639	0.03	0	0.03	0	0			458639
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

	D 11 .			0	0	0	0					0		0			
h	Provident	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Funds/																
	Pension																
	Funds	-	4.0	005		-	400560	0.60	4005		1.00	0.60	0	0.60			40076004
ĺ	Any Other	3		087	0	0	108763	0.63	1087	0	108	0.63	0	0.63	0	0	10876381
	(specify)		6.5	381			81		6381		763						
											81						
	Other	3		087	0	0	108763	0.63	1087	0	108	0.63	0	0.63	0	0	10876381
			63	381			81		6381		763						
											81						
	Sub-Total	44		734	0	0	173495	10.04	1734	0	173	10.04	0	10.04	492	28.4	17349518
	(B)(1)		95	518			180		9518		495				857	1	0
			_	0					0		180				16		
2	Central	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Government																
	/ State																
	Government(																
	s)/ President																
	of India																
	Sub-Total	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(B)(2)																
3	Non-	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	institutions																
a	Individuals -	136	30 96	658	0	0	965834	5.59	9658	0	965	5.59	0	5.59	341	35.3	96583457
		4	34	477			77		3477		834				467	5	
											77				22		
i	Individual	136	01 60	079	0	0	607921	3.52	6079	0	607	3.52	0	3.52	922	15.1	60792131
	shareholders	6	21	151			51		2151		921				516	7	
	holding										51				1		
	nominal																
	share capital																
	up to Rs. 2																
	lakhs.																
ii	Individual	28	8 35	579	0	0	357913	2.07	3579	0	357	2.07	0	2.07	249	69.6	35791326
	shareholders			326	-	_	26	-	1326	-	913		-	-	215		
	holding										26				61		

	nominal share capital in excess of Rs. 2 lakhs.															
b	NBFCs registered with RBI	2	7702 98	0	0	770298	0.04	7702 98	0	770 298		0	0.04	0	0	770298
С	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)	1499	1733 7414	0	0	173374 14	1	1733 7414	0	173 374 14	1	0	1	205 122 9	11.8	17337414
	Bodies Corporate	299	6019 174	0	0	601917 4	0.35	6019 174	0	601 917 4	0.35	0	0.35	571 428	9.49	6019174
	Clearing Members	141	4748 506	0	0	474850 6	0.27	4748 506	0	474 850 6	0.27	0	0.27	0	0	4748506
	Non- Resident Indian (NRI)	1055	4667 988	0	0	466798 8	0.27	4667 988	0	466 798 8		0	0.27	147 980 1	31.7	4667988
	Trusts	4	1901 746	0	0	190174 6	0.11	1901 746	0	190 174 6		0	0.11	0	0	1901746
	Sub-Total (B)(3)	13780 5	1146 9118 9	0	0	114691 189	6.64	1146 9118 9	0	114 691 189		0	6.64	361 979 51	31.5 6	11469116 9
	Total Public Shareholding (B)=	13784 9	2881 8636 9	0	0	288186 369	16.68	2881 8636 9	0	288 186 369		0	16.68	854 836 67		28818634 9

(B)(1)+(B)(2									
)+(B)(3)									1

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numbe	er of Voting	a Diahta	hold in	No. of	Total	Numl	hanof	Marr	iber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %		ich class of			Shares	shareholdin	Lock			ares	equity
	Shareholders	(11)	older	paid	equity	underlying	held	calculate	Cu		IX)	103	Underlying	g, as a %	sha			ged or	shares held
	(I)		(III)	ир	shares	Depository	(VII =	d as per		(-	,		Outstanding	assuming		(II)		erwise	in
	( )		, ,	equity	held	Receipts	IV+V+VI)	SCRR,					convertible	full	`	,		nbered	dematerializ
				share	(V)	(VI)	1	1957					securities	conversion			C	XIII)	ed form
				s held				As a % of	No of 1	oting Rigi	hts	Total as	(including	of	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2)				a % of	Warrants)	convertible		% of	(No	% of	(Not
								(VIII)				Total	(X)	securities (		total	t	total	Applicable)
									Class	Class	Tot	Voting		as a		Shar	ар	share	
									X	Y	al	rights		percentage		es	pli	s held	
														of diluted		held	са	(Not	
														share			ble	applic	
														capital) (XI)			)	able)	
1	Custodian/D		0	0	0	n	0		0	0	0	0	0	(AI)	0	0			0
1			U	0	٥	٥	U		٥	٥	U	U	O		U	U			O
	R Holder																		
2	Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Benefit Trust																		
	(under SEBI																		
	(Share based																		
	•																		
	Employee																		
	Benefit)																		
	Regulations,																		
	2014)																		
	Total Non-		0	0	0	0	0		0	0	0	0	0		0	0			0
					-	_	-				1	_			1				-
	Promoter-																		
	Non Public																		
	Shareholding																		
	_																		
	(C)=																		
	(C)(1)+(C)(2																		
	(0)(1) (0)(2																		
	)																		
	<u> </u>		•		•	1		1				•							

## Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a	Nationality	Details of holding indirect*	g/ exercise of right (	of the SBO in the report	ing company, whe	ether direct or	Date of creation / acauisition of
		foreign national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant beneficial interest

#### Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along	with details such as number of shareholders, outstanding shares held in					
demat/unclaimed suspense account, voting rights which are frozen etc.						
No. of shareholders	No of share held					

### Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along	g with details such as number of shareholders, outstanding shares held in					
demat/unclaimed suspense account, voting rights which are frozen etc.						
No. of shareholders	No of share held					

#### Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding				
Name of shareholder		Name of PAC	No. of share	Holding %

# For UJJIVAN SMALL FINANCE BANK LIMITED

Sd/-

Chanchal Kumar

Company Secretary and Compliance Officer

**Date:** April 15, 2020