- 1. Name of Listed Entity: Ujjivan Small Finance Bank Limited
- 2. Scrip Code/Name of Scrip/Class of Security: UJJIVANSFB
- **3.** Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on : 31-Dec-2019
- 5. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked- in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Cate go ry (1)	Categor y of sharého lder (II)	Nos. of shar eh olde rs (III)	No, of fully paid up equity shares held (IV)	No. of Partl y paid- up	No. of shar es unde rlyin g	Total nos. shares -	Sharehol ding as a % of total no. of shares		Num oting held i clas secu	ber o Righ n eac ss of rities	f its ch S	No. of Shares Underl ying	Shareholdi ng , as a % assuming full conversion	Nun r ( Loçi in sha	of ked	1	imbe r of ares edge l or	Numpe r of equity shares held
				equity	Deposi tory	held	(calculate d as		(1	XJ		Outstand ing	of convertible			ot e	herwis	in
				share s	Recei pts	(VII <i>) =</i>	per SCRR,					converti ble	securities ( as a	<u>(X</u>	D	rea		demateri aliz
				held	(VI)	(IV)+(V) +	1957) (VIII)					securitie S (includi	of S			(2	XIII)	ed form
				(V)		(VI)	As a % of (A+B+CZ	NC	o of Votin Right	g ts	Tot al as	Warrant	1 )	N 0. (a	As a %	N 0. (	As a %	<u>(XIV)</u>
							y <sup>n 2</sup> C2	Cla s s eg: X	Cla s s eg: y	T o t a l	a % (A+ B+ C)		(XII)= As a % of (A+B+C2)		of tot al Sh ar es hel d	<i>a</i> )	of tota I Sha re s hel d (b)	
A	Promoter & Promoter Group	7	1440036 800	0	0	14400368 00	83.32	1440 0368 00	0	144 003 680 0	83.32	0	83.32	14400 36800	100	0	0	14400368 00
В	Public	12167 3	2881863 69	0	0	28818636 9	16.68	2881 8636 9	0	288 186 369		0	16.68	16757 8261	58.15			28818636 9
С	Non Promoter- Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

Table I - Summary Statement holding of specified securities

Trusts																	
Total	12168	1728223	0	0	17282231	100	1728	0	172	100	0	100	16076	93.02	0	0	17282231
	0	169			69		2231		822				15061				69
							69		316								
									9								

	<u>Lategory &amp;</u> of the <u>Shareholde</u> (1)	PAN (II)	No. oj share oldei (111)	e fully	sharês held (V)	Nos. of shares underiyi Deposit Receipt (VI)	held	ding çâlcula d as	<u> </u>	iber of ach cla [] [] [] [] [] [] [] [] [] [] [] [] []	<u>ss of</u> XJ	ng Total as a % of Total Votin g right S	No. of Snares Underlyi Qutstand converti securitie (includi Warrant (X)	Sparehol g, as a assumin full conversi of converti securitie as a percenta oI. share capital) [XI] = [VII]+(X) % of A+B+C2	Lốc Sh (X 0. ( a )	nber ked ares IIJ As a % of tot al Sh ar	S ple ot enc	mber hares aged herwis umbe (III) As a % of tota l sha re s	Nymber équity shares in demateri ed form (XIV)
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	<i>es</i> 0	0	<b>hel</b> 0	0
a	Individuals/Hi ndu undivided Family		6	6	0	0	6	0	6	0	6	0	0	0	6	100	0	0	6
	SAMIT GHOSH		1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	CAROL KRIPANAYAN A FURTADO		1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	ITTIRA DAVIS		1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	G PREMKUMAR		1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	RAJAT KUMAR SINGH		1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
	SUDHA SURESH		1	1	0	0	1	0	1	0	1	0	0	0	1	100	0	0	1
b	Central Government/ State Government(s )		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
С	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

d	Any Other (specify)	1	14400 36794	0	0	144003 6794	83.32	14400 36794	0	144 003 679 4		0	83.32	1440 0367 94	100	0	0	14400367 94
	Bodies Corporate	1	14400 36794	0	0	144003 6794	83.32	14400 36794	0	144 003 679 4		0	83.32	1440 0367 94	100	0	0	14400367 94
	UJJIVAN FINANCIAL SERVICES LIMITED	1	14400 36794	0	0	144003 6794	83.32	14400 36794	0	144 003 679 4		0	83.32	1440 0367 94	100	0	0	14400367 94
	Sub-Total (A)(1)	7	1440 0368 00	0	0	144003 6800	83.32	1440 0368 00	0	144 003 680 0		0	83.32	1440 0368 00	100	0	0	14400368 00
2	Foreign	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
с	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	7	1440 0368 00	0	0	144003 6800	83.32	1440 0368 00	0	144 003 680 0		0	83.32	1440 0368 00	100	0	0	14400368 00

	Category & Name of the Shareholde rs (1)	PAN (11)	Nos. of shar eh olde r (III)	No. of full y pai d up equi ty sha re s hel d (IV)	Partl y paid- up equit y shar es held (V)	Nos. of shares underl ying Deposit ory Receipt s (VI)	Tota I nos. shar es held <u>VII</u> = <u>IV+V+V</u> I	Share hol ding % calcul ate d as per SCRR, 1957 As a % of (A+B+ C2) VIII	Num Righ Class (IX) No 0) Righ Cla ss X	votn ts of sec votn ts Cla ss Y		ng ach es Total as a % of Total Votin g right s	No. of Shares Underly ing Outstan ding converti ble securiti es (includi ng Warran ts) (X)	Total shareho ldin g, as a % assumi ng full convers ion of convert ible securiti es (as a percent age of diluted share capital) (XI)	Nurr of r of Loc. in sha (XII N o. ( a )	ked	of Sha plea or oth se enci	nber res dged erwi umb As a % of tota l sha re s hel d (No t app lic abl e) (b)	Number of equity shares held in demater ializ ed form (XIV)
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
а	Mutual Funds/		6	29752 562	0	0	297525 62	1.72	29752 562	0	297 525 62	1.72	0	1.72	2871 7794	96.5 2			29752562
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
С	Alternate Investment Funds		17	55069 599	0	0	550695 99	3.19	55069 599	0	550 695 99	3.19	0	3.19	5506 2916				55069599
d	Foreign Venture		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

Table III - Statement showing shareholding pattern of the Public shareholder

	Capital Investors															
e	Foreign Portfolio Investors	24	82425 932	0	0	824259 32	4.77	82425 932	0	824 259 32	4.77	0	4.77	4151 9200	50.3 7	82425932
	GOVERNMEN T OF SINGAPORE	1	28235 116	0	0	282351 16	1.63	28235 116	0	282 351 16	1.63	0	1.63	1153 0800		28235116
f	Financial Institutions/ Banks	1	12005 2	0	0	120052	0.01	12005 2	0	120 052	0.01	0	0.01	0	0	120052
g	Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
h	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i	Any Other (specify)	4	66775 86	0	0	667758 6	0.39	66775 86	0	667 758 6	0.39	0	0.39	6080 400	91.0 6	6677586
	Other	4	66775 86	0	0	667758 6	0.39	66775 86	0	667 758 6	0.39	0	0.39	6080 400	91.0 6	6677586
	Sub-Total (B)(1)	52	1740 4573 1	0	0	174045 731	10.07	1740 4573 1	0	174 045 731		0	10.07	1313 8031 0	75.4 9	17404573 1
2	Central Government/ State Government(s )/ President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non- institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
а	Individuals -	12035 7	97805 936	0	0	978059 36	5.66	97805 936	0	978 059 36	5.66	0	5.66	3414 6722		97805936
i	Individual	12003	57344	0	0	573449	3.32	57344	0	573	3.32	0	3.32	9253	16.1	57344972

	shareholders holding nominal share capital up to Rs. 2 lakhs.	4	972			72		972		449 72				532	4	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	323	40460 964	0	0	404609 64	2.34	40460 964	0	404 609 64	2.34	0	2.34	2489 3190	61.5 2	40460964
b	NBFCs registered with RBI	2	10465 04	0	0	104650 4	0.06	10465 04	0	104 650 4	0.06	0	0.06	0	0	1046504
С	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)	1262	15288 198	0	0	152881 98	0.88	15288 198	0	152 881 98	0.88	0	0.88	2051 229	13.4 2	15288198
	Bodies Corporate	250	84913 14	0	0	849131 4	0.49	84913 14	0	849 131 4	0.49	0	0.49	5714 28	6.73	8491314
	Clearing Members	285	36670 04	0	0	366700 4	0.21	36670 04	0	366 700 4	0.21	0	0.21	0	0	3667004
	Non-Resident Indian (NRI)	725	31211 00	0	0	312110 0	0.18	31211 00	0	312 110 0	0.18	0	0.18	1479 801	47.4 1	3121100
	Trusts	2	8780	0	0	8780	0	8780	0	878 0	0	0	0	0	0	8780
	Sub-Total (B)(3)	12162 1	2 1141 4063 8	0	0	114140 638	6.6	1141 4063 8	0	114 140 638		0	6.6	3619 7951	31.7 1	11414063 8

Total Public	12167	2881	0	0	288186	16.68	2881	0	288	16.68	0	16.68	1675	58.1		28818636
Shareholding	3	8636			369		8636		186				7826	5		9
(B)=		9					9		369				1			
(B)(1)+(B)(2																
)+(B)(3)																

	<u>Çategory &amp; of the Shareholde (1)</u>	<u>PAN</u> (11)	No. of share older (111)	fûlly	Partly paid- equity shares held (V)	Nos. of shares underlyi Deposit Receipt (VI)	Total share held IVII = IV+V+ VII	Shareh ding calcula d as SCRR, 1957 As a % LA+B+C (VIII)	e	iber of <u>ach cla</u> (1 ts Votin ts Cla ss Y	<u>ss of</u> XJ	ng Total as a Votal Votin g right S	No. of Shares Underlyi Qutstand converti securitie (includi Warrant (X)	full conversi of	ĻÔC Sh	nber ked ares IIJ As a % of tot al Sh	SI ple otl enç IX	mber lares dged lerwis umbe lill As a % of tota l sha	Nymber équity shares in demateri ed form ed form (XIV) (Not Applicab le)
1	Custodian/DR Holder		0	0	0	0	0		0	0	0	0	0		0	0			0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0		0	0	0	0	0		0	0			0

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a	Nationali ty		t or indirect* '	right of the SBO in	1 0	1 57	Date of creation /
		foreign <sup>-</sup> national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	acquisition of significant beneficial interest

Table II- Unclaim Details

Details of Shares which remain unclaimed may be give	en here along with details such as number of shareholders,					
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.						
No. of shareholders	No of share held					

## Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,			
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.			
No. of shareholders	No of share held		
5	2035		

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding				
Name of shareholder	Name of PAC	No. of share	Holding %	

## For UJJIVAN SMALL FINANCE BANK LIMITED

Sd/-Chanchal Kumar Company Secretary and Compliance Officer

Date: January 10, 2020