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### General information about company

Scrip code	542904
NSE Symbol	UJJIVANSFB
MSEI Symbol	NA
ISIN	INE551W01018
Name of the entity	UJJIVAN SMALL FINANCE BANK LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Half Yearly
Date of Report	30-09-2022
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities

Enter the quarter ended date only

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Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of roles on composition of board of directors explanatory																									
Add Notes																									
Whether the listed entity has a Regular Chairperson																									
Whether Chairperson related to Founder																									
Disqualification of Directors under section 164 of the Companies Act, 2013																									
Sr	Title (Mr/ Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? (Under Reg. 27(1A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of the appointment	Date of cessation	Tenure of director (in months)	No. of Directorship in listed entities including this listed entity (Under Regulation 17A(1) of Listing Regulations)	No. of Independent Directorship in listed entities including this listed entity (Under Regulation 17A(2) of Listing Regulations)	Number of membership in Audit/ Stakeholder committees including this listed entity (Under Regulation 20(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committees held in listed entities including this listed entity (Under Regulation 20(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
Add		Delete																							
1	Mr	Manoj Kumar Singh Prasad		02101808	Non Executive - Independent Director	Chairperson		06-08-1953	No				Active	NA		20-08-2021	20-08-2021		13.00	1	1	3	1		
2	Mr	Nitin Datta		06442816	Executive Director		CEO AND	06-03-1955	No				Active	NA		16-05-2022	16-05-2022		0.00	1	0	1	0		
3	Ms	Rishi Mishra		03386001	Non Executive - Independent Director			21-01-1957	No				Active	NA		16-12-2020	16-12-2020		20.00	1	1	1	0		
4	Mr	Rajesh Kumar Jang		03341026	Non Executive - Independent Director			04-01-1958	No				Active	NA		25-08-2021	25-08-2021		10.00	1	1	1	1		
5	Mr	Ravishanker Mishra		00045572	Non Executive - Independent Director			22-03-1962	No				Active	NA		20-08-2021	20-08-2021		10.00	1	1	2	0		
6	Ms	Shilpa Senani		06480567	Non Executive - Independent Director			21-12-1963	No				Active	NA		01-06-2022	01-06-2022		0.00	1	1	2	1		
7	Mr	Sanku Kumar Choudh		05051269	Non Executive - Not Independent Director			05-12-1949	No				Active	NA		20-08-2021	20-08-2021		10.00	2	0	2	0		
8	Mr	P.N. Bhatnagar		05428247	Non Executive - Executive Director			16-06-1964	No				Active	NA		20-11-2021	20-11-2021		10.00	1	0	1	0		
9	Mr	Satish Prasad		02188464	Non Executive - Independent Director			06-09-1968	No				Active	NA		22-12-2021	22-12-2021		0.00	1	0	1	0		
10	Ms	Arshi Ramachandran		05193188	Non Executive - Independent Director			28-04-1955	No				Active	NA		01-07-2022	01-07-2022		1.00	0	0	2	2		

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## Annexure 1

## II. Composition of Committees

Disclosure of notes on composition of committees explanatory

[Add Notes](#)

For this quarter kindly note the following points:

1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
2. Date of Appointment can be any day upto September 30, 2022.
3. Date of Cessation must be for the current quarter only, i.e. July 1,2022 to September 30,2022

**Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically**

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Chairperson	27-03-2021		3
2	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
3	08386001	Rajni Mishra	Non-Executive - Independent Director	Member	19-05-2021		
4	09428287	P N Raghunath	Non-Executive - Nominee Director	Member	29-11-2021		
5	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	01-04-2022		
6							
7							
8							
9							
10							

**Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically**

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00118188	Anita Ramachandran	Non-Executive - Independent Director	Chairperson	01-07-2022		
2	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
3	02101808	Banavar Anatharamaiah Prabhakar	Non-Executive - Independent Director	Member	26-08-2021		
4	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	13-08-2021		4
5	00185369	Samit Kumar Ghosh	Non-Executive - Non Independent Director	Member	26-08-2021		
6							
7							
8							
9							
10							

**Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically**

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06480567	Sudha Suresh	Non-Executive - Independent Director	Chairperson	26-08-2021		11
2	02101808	Banavar Anatharamaiah Prabhakar	Non-Executive - Independent Director	Member	01-07-2022		
3	00185369	Samit Kumar Ghosh	Non-Executive - Non Independent Director	Member	26-08-2021		

4	06442816	Ittira Davis	Executive Director	Member	28-01-2022		
5	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
6							
7							
8							
9							
10							

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08386001	Rajni Mishra	Non-Executive - Independent Director	Chairperson	25-02-2021		
2	02101808	Banavar Anatharamaiah Prabhakar	Non-Executive - Independent Director	Member	26-08-2021		
3	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	27-03-2021		6
4	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	26-08-2021		7
5	06442816	Ittira Davis	Executive Director	Member	28-01-2022		
6	00185369	Samit Kumar Ghosh	Non-Executive - Non Independent Director	Member	12-05-2022		
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06480567	Sudha Suresh	Non-Executive - Independent Director	Chairperson	26-08-2021		8
2	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	26-08-2021		
3	06442816	Ittira Davis	Executive Director	Member	28-01-2022		
4	08386001	Rajni Mishra	Non-Executive - Independent Director	Member	01-07-2022		
5	00118188	Anita Ramachandran	Non-Executive - Independent Director	Member	01-07-2022		
6	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021	01-07-2022	
7							
8							
9							
10							

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						

2						
3						
4						
5						
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## Annexure 1

## III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
	<a href="#">Add</a> <a href="#">Delete</a>						
1	12-05-2022			Yes	9	9	5
2	08-06-2022	26		Yes	9	7	5
3	26-07-2022	47		Yes	10	10	6
4	02-09-2022	37		Yes	10	10	6
5	15-09-2022	12		Yes	10	8	5

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\* to be filled in only for the current quarter meetings

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## Annexure 1

## IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory							Add Notes			
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors (All directors including Independent director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
Add Delete										
1	Audit Committee	05-05-2022				Yes	5	5	4	0
2	Audit Committee	12-05-2022	6			Yes	5	5	4	0
3	Audit Committee	20-07-2022	68			Yes	5	5	4	0
4	Audit Committee	26-07-2022	5			Yes	5	5	4	0
5	Audit Committee	19-08-2022	23			Yes	5	5	4	0
6	Risk Management Committee	22-04-2022				Yes	5	4	3	0
7	Risk Management Committee	21-07-2022	89			Yes	6	6	4	0
8	Nomination and remuneration committee	09-05-2022				Yes	4	4	3	0
9	Nomination and remuneration committee	08-06-2022	29			Yes	4	4	3	0
10	Nomination and remuneration committee	02-09-2022	85			Yes	5	5	4	0
11	Corporate Social Responsibility Committee	28-04-2022				Yes	4	4	3	0

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\* to be filled in only for the current quarter meetings

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Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			<a href="#">Add Notes</a>
Disclosure of notes of material transaction with related party			<a href="#">Add Notes</a>

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Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d. Risk management committee (applicable to the top 1000 listed entities)</b>	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>	<a href="#">Add Notes</a>

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<b>Sr</b>	<b>Subject</b>	<b>Compliance status</b>
1	Name of signatory	Sanjeev Barnwal
2	Designation	Company Secretary and Compliance Officer

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Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
<b>Any other information to be provided</b>				<a href="#">Add Notes</a>

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1	Name of signatory	Sanjeev Barnwal
2	Designation	Company Secretary and Compliance Officer

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Additional Half yearly Disclosure			
Applicability of disclosure	Not Applicable		
Reason for Non Applicability	<a href="#">Add Notes</a>		
<b>I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below</b>		<b>The Figure should be mentioned in Actual INR only</b>	
<b>(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to</b>			
<b>Entity</b>	<b>Aggregate amount advanced during six months</b>	<b>Balance outstanding at the end of six months</b>	
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
<b>(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By</b>			
<b>Entity</b>	<b>Type (guarantee, comfort letter etc.)</b>	<b>Aggregate amount of issuance during six months</b>	<b>Balance outstanding at the end of six months(taking into account any invocation)</b>
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
<b>(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by</b>			
<b>Entity</b>	<b>Type of security (cash, shares etc.)</b>	<b>Aggregate value of security provided during six months</b>	<b>Balance outstanding at the end of six months</b>
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
<b>(D) Additional Information</b>			<a href="#">Add Notes</a>
<b>II. Affirmations</b>			
<b>Affirmations</b>		<b>Compliance Status</b>	<b>Company Remarks</b>
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to			<a href="#">Add Notes</a>
Name			
Designation			
Place			
Date			

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### Signatory Details

Name of signatory	Sanjeev Barnwal
Designation of person	Company Secretary and Compliance Officer
Place	Bengaluru
Date	12-10-2022

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