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### General information about company

Scrip code	542904
NSE Symbol	UJJIVANSFB
MSEI Symbol	NA
ISIN	INE551W01018
Name of the entity	UJJIVAN SMALL FINANCE BANK LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Yearly
Date of Report	31-03-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities

Enter the quarter ended date only

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Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of facts on composition of board of directors (mandatory)		Add Note																								
Whether the listed entity has Regular Chairperson				Yes																						
Whether Chairperson is related to MD or CEO				No																						
				Disqualification of Directors under section 161 of the Companies Act, 2013																						
Sr	Title (Mr / Ms)	Name of the Director	PAN	DOB	Category 1 of director	Category 2 of director	Category 3 of director	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? (Refer Reg. 173A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Listing Regulations 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Listing Regulations 17A of Listing Regulations)	Number of memberships in Audit Committee including this listed entity (Refer Listing Regulations 24C of Listing Regulations)	No of posts of Chairperson in Audit Committee including this listed entity (Refer Listing Regulations 24C of Listing Regulations)	Notes for not providing PAN	Notes for not providing DOB	
Add	Delete																									
1	Mr	Bhaskar Anubharamanath Parthasarathy		03101808	Non-Executive - Independent Director	Chairperson		04.08.1953	No				Active	No		20.08.2021	20.08.2021		18.00	1	1	1	1	0		
2	Mr	Hitesh Doshi		04451916	Executive Director			04.03.1955	No				Active	No		16.01.2023	16.01.2023		15.00	1	0	1	1	0		
3	Mr	Rajiv Mishra		08880051	Non-Executive - Independent Director			23.01.1987	No				Active	No		16.12.2020	16.12.2020		20.00	1	1	1	1	0		
4	Mr	Rajesh Kumar Singh		03941036	Non-Executive - Independent Director			04.01.1968	No				Active	No		25.08.2021	25.08.2021		19.00	1	1	1	1	1		
5	Mr	Ravindranath Venkatarangam		09060517	Non-Executive - Independent Director			22.01.1992	No				Active	No		20.08.2021	20.08.2021		19.00	1	1	1	2	0		
6	Mr	Sudha Suresh		04680517	Non-Executive - Independent Director			21.12.1989	No				Active	No		16.08.2022	16.08.2022		17.00	1	1	1	2	1		
7	Mr	Senthil Kumar Ghosh		00181310	Non-Executive - Non-Independent Director			01.12.1969	No				Active	No		20.08.2021	20.08.2021		19.00	2	0	2	2	0		
8	Mr	P.N.Radhakrishn		09842017	Non-Executive - Executive Director			14.06.1984	No				Active	No		20.11.2021	20.11.2021		18.00	1	0	1	1	0		
9	Mr	Satyajit Bhatnagar		02180919	Non-Executive - Executive Director			06.05.1988	No				Active	No		22.12.2021	22.12.2021		16.00	1	0	0	0	0		
10	Mr	Arda Ramachandran		03118118	Non-Executive - Independent Director			28.04.1975	No				Active	No		01.07.2022	01.07.2022		9.00	1	1	1	1	0		

Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Add Notes

For this quarter kindly note the following points:

1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
2. Date of Appointment can be any day upto September 30, 2022.
3. Date of Cessation must be for the current quarter only, i.e. July 1, 2022 to September 30, 2022

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Audit Committee Details							
Sr	DIN Number	Name of Committee members	Whether the Audit Committee has a Regular Chairperson		Yes		
			Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Chairperson	27-03-2021		2
2	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
3	08386001	Rajni Mishra	Non-Executive - Independent Director	Member	19-05-2021		
4	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	01-04-2022		
5	09428287	P.N Raghunath	Non-Executive - Nominee Director	Member	29-11-2021		
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Nomination and remuneration committee							
Sr	DIN Number	Name of Committee members	Whether the Nomination and remuneration committee has a Regular Chairperson		Yes		
			Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00118188	Anita Ramachandran	Non-Executive - Independent Director	Chairperson	01-07-2022		
2	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
3	02101808	Banavar Anantharamalah Prabhakar	Non-Executive - Independent Director	Member	26-08-2021		
4	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	13-08-2021		6
5	00185369	Samit Kumar Ghosh	Non-Executive - Non Independent Director	Member	26-08-2021		
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Stakeholders Relationship Committee							
Sr	DIN Number	Name of Committee members	Whether the Stakeholders Relationship Committee has a Regular Chairperson		Yes		
			Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06480567	Sudha Suresh	Non-Executive - Independent Director	Chairperson	26-08-2021		4
2	02101808	Banavar Anantharamalah Prabhakar	Non-Executive - Independent Director	Member	01-07-2022		
3	00185369	Samit Kumar Ghosh	Non-Executive - Non Independent Director	Member	26-08-2021		
4	06442816	Ititra Davis	Executive Director	Member	28-01-2022		
5	02064557	Ravichandran Venkataraman	Non-Executive - Independent Director	Member	26-08-2021		
6							
7							
8							
9							
10							

Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Whether the Risk Management Committee has a Regular Chairperson		Yes		
			Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08386001	Rajni Mishra	Non-Executive - Independent Director	Chairperson	25-02-2021		
2	02101808	Banavar Anantharamalah Prabhakar	Non-Executive - Independent Director	Member	26-08-2021		
3	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	27-03-2021		10
4	06480567	Sudha Suresh	Non-Executive - Independent Director	Member	26-08-2021		8
5	06442816	Ititra Davis	Executive Director	Member	28-01-2022		
6	00185369	Samit Kumar Ghosh	Non-Executive - Non Independent Director	Member	12-05-2022		
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Corporate Social Responsibility Committee							
Sr	DIN Number	Name of Committee members	Whether the Corporate Social Responsibility Committee has a Regular Chairperson		Yes		
			Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06480567	Sudha Suresh	Non-Executive - Independent Director	Chairperson	26-08-2021		9
2	03341036	Rajesh Kumar Jogi	Non-Executive - Independent Director	Member	26-08-2021		11
3	06442816	Ititra Davis	Executive Director	Member	28-01-2022		
4	08386001	Rajni Mishra	Non-Executive - Independent Director	Member	01-07-2022		
5	00118188	Anita Ramachandran	Non-Executive - Independent Director	Member	01-07-2022		
6							
7							
8							
9							
10							

Other Committee

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

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Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	14-10-2022			Yes	10	9	6
2	07-11-2022	23		Yes	10	10	6
3	02-02-2023	86		Yes	10	10	6
4	21-02-2023	18		Yes	10	9	6

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## Annexure 1

## IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory							Add Notes			
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
Add Delete										
1	Audit Committee	14-10-2022				Yes	5	4	4	0
2	Audit Committee	20-10-2022	5			Yes	5	4	4	0
3	Audit Committee	07-11-2022	17			Yes	5	5	5	0
4	Audit Committee	01-02-2023	85			Yes	5	5	5	0
5	Risk Management Committee	19-10-2022				Yes	6	6	4	0
6	Risk Management Committee	01-02-2023	104			Yes	6	6	4	0
7	Risk Management Committee	20-02-2023	18			Yes	6	6	4	0
8	Nomination and remuneration committee	18-11-2022				Yes	5	5	4	0
9	Nomination and remuneration committee	17-01-2023	59			Yes	5	5	4	0
10	Stakeholders Relationship Committee	20-02-2023	33			Yes	5	5	4	0
11	Corporate Social Responsibility Committee	14-03-2023	21			Yes	5	5	3	0
12	Committee	29-03-2023	14			Yes	5	5	4	0

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Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			<a href="#">Add Notes</a>
Disclosure of notes of material transaction with related party			<a href="#">Add Notes</a>

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## Annexure II

## Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

## I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.ujjivansfb.in
2	Terms and conditions of appointment of independent directors	Yes		www.ujjivansfb.in/corporate-governance-policies
3	Composition of various committees of board of directors	Yes		www.ujjivansfb.in/corporate-governance-policies/10/Composition_of_Board_Committees_July_01
4	Code of conduct of board of directors and senior management personnel	Yes		www.ujjivansfb.in/corporate-governance-policies
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.ujjivansfb.in/corporate-governance-policies
6	Criteria of making payments to non-executive directors	Yes		www.ujjivansfb.in/corporate-governance-policies
7	Policy on dealing with related party transactions	Yes		www.ujjivansfb.in/corporate-governance-policies
8	Policy for determining 'material' subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	Yes		www.ujjivansfb.in/corporate-governance-policies
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		https://www.ujjivansfb.in/investor-contacts
11	email address for grievance redressal and other relevant details	Yes		https://www.ujjivansfb.in/investor-contacts
12	Financial results	Yes		https://www.ujjivansfb.in/financial-results
13	Shareholding pattern	Yes		https://www.ujjivansfb.in/stock-information
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes		https://www.ujjivansfb.in/presentation-concall-transcript
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		www.ujjivansfb.in/corporate-governance-policies/exchanges
18	Credit rating or revision in credit rating obtained	Yes		www.ujjivansfb.in/corporate-governance-policies/10/Credit_Rating.pdf
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.ujjivansfb.in
21	Materiality Policy as per Regulation 30	Yes		www.ujjivansfb.in/corporate-governance-policies
22	Dividend Distribution policy as per Regulation 43A (as applicable)	Yes		www.ujjivansfb.in/corporate-governance-policies
23	It is certified that these contents on the website of the listed entity are correct	Yes		www.ujjivansfb.in
24	Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]		<a href="#">Add Notes</a>	

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## Annexure 1

## VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d. Risk management committee (applicable to the top 1000 listed entities)</b>	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>	<a href="#">Add Notes</a>

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## Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Sanjeev Barnwal
2	Designation	Company Secretary and Compliance Officer



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## Annexure II

## II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
23	Meeting of Risk Management Committee	21(3A)	Yes	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	NA	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	Yes	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
Any other information to be provided				<a href="#">Add Notes</a>

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## Annexure II

1	Name of signatory	Sanjeev Barnwal
2	Designation	Company Secretary and Compliance Officer

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### Annexure II

#### III. Affirmations

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
Any other information to be provided		<a href="#">Add Notes</a>

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### Annexure II

1	Name of signatory	Sanjeev Barnwal
2	Designation	Company Secretary and Compliance Officer

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Additional Half yearly Disclosure			
Applicability of disclosure	Not Applicable		
Reason for Non Applicability	<a href="#">Add Notes</a>		
<b>I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below</b>		<b>The Figure should be mentioned in Actual INR only</b>	
<b>(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to</b>			
<b>Entity</b>	<b>Aggregate amount advanced during six months</b>	<b>Balance outstanding at the end of six months</b>	
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
<b>(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By</b>			
<b>Entity</b>	<b>Type (guarantee, comfort letter etc.)</b>	<b>Aggregate amount of issuance during six months</b>	<b>Balance outstanding at the end of six months(taking into account any invocation)</b>
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
<b>(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by</b>			
<b>Entity</b>	<b>Type of security (cash, shares etc.)</b>	<b>Aggregate value of security provided during six months</b>	<b>Balance outstanding at the end of six months</b>
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
<b>(D) Additional Information</b>			<a href="#">Add Notes</a>
<b>II. Affirmations</b>			
<b>Affirmations</b>		<b>Compliance Status</b>	<b>Company Remarks</b>
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to			<a href="#">Add Notes</a>
Name			
Designation			
Place			
Date			

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### Signatory Details

Name of signatory	Sanjeev Barnwal
Designation of person	Company Secretary and Compliance Officer
Place	Bengaluru
Date	15-04-2023

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