

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

- (i) * Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- * Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- (c) *e-mail ID of the company
- (d) *Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Indian Non-Government company
- (v) Whether company is having share capital Yes No
- (vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PLC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K1	Banking activities by Central, Commercial and Saving banks	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	UJJIVAN FINANCIAL SERVICES	L65999KA2004PLC035329	Holding	83.32

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL**(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	2,300,000,000	1,728,314,205	1,728,314,205	1,728,314,205
Total amount of equity shares (in Rupees)	23,000,000,000	17,283,142,050	17,283,142,050	17,283,142,050

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	2,300,000,000	1,728,314,205	1,728,314,205	1,728,314,205
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	23,000,000,000	17,283,142,050	17,283,142,050	17,283,142,050

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	200,000,000	200,000,000	200,000,000	200,000,000
Total amount of preference shares (in rupees)	2,000,000,000	2,000,000,000	2,000,000,000	2,000,000,000

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	200,000,000	200,000,000	200,000,000	200,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	2,000,000,000	2,000,000,000	2,000,000,000	2,000,000,000

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	420	1,728,313,7	1728314205	17,283,142,	17,283,142	

Increase during the year	150	0	150	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	
x. Others, specify	150	0	150			
Rematerialization of Shares						
Decrease during the year	0	150	150	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	0	150	150	0	0	
Rematerialization of Shares						
At the end of the year	570	1,728,313,6	1728314205	17,283,142,	17,283,142	
Preference shares						
At the beginning of the year	0	200,000,000	200000000	2,000,000,0	2,000,000,0	
		+		+	+	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	200,000,000	200000000	2,000,000,0	2,000,000,0	

ISIN of the equity shares of the company

INE551W01018

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>		
Date of registration of transfer (Date Month Year)	<input type="text"/>		
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>

Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>			
Type of transfer		<input type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred		<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)		<input type="text"/>	
Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

(iv) * Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

31,260,735,251.63

(ii) Net worth of the Company

28,026,339,256.09

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0

3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	1,440,036,800	83.32	200,000,000	100
10.	Others	0	0	0	0
	Total	1,440,036,800	83.32	200,000,000	100

Total number of shareholders (promoters)

1

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	253,911,405	14.69	0	0
	(ii) Non-resident Indian (NRI)	11,273,994	0.65	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	2,504,002	0.14	0	0
7.	Mutual funds	17,874	0	0	0

8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	5,672,914	0.33	0	0
10.	Others AIF, QIB, Trusts & CM	14,897,216	0.86	0	0
	Total	288,277,405	16.67	0	0

Total number of shareholders (other than promoters)

270,299

**Total number of shareholders (Promoters+Public/
Other than promoters)**

270,300

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

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Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
SPDR S&P EMERGING I +				606,785	
PREMIER INVESTMENT +				1,251,001	
BNP PARIBAS ARBITRA +				5,528	
BNP PARIBAS ARBITRA +				36,569	
THE EMERGING MARK +				206,412	
EMERGING MARKETS C +				65,480	
EMERGING MARKETS C +				134,536	
EMERGING MARKETS S +				94,572	
COPTHALL MAURITIUS +				570	
ERISKA INVESTMENT F +				24,000	
EMERGING MARKETS I +				78,549	

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	1	1
Members (other than promoters)	186,743	270,299
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL






(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	1	10	1	6	0.01	0.2
(i) Non-Independent	1	3	1	2	0.01	0.2
(ii) Independent	0	7	0	4	0	0
C. Nominee Directors representing	0	1	0	2	0	0
(i) Banks & FIs	0	1	0	1	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	1	0	0
Total	1	11	1	8	0.01	0.2

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

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(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
BANAVAR ANANTHAR 	02101808	Director	0	
ITTIRA DAVIS POONO 	06442816	Managing Director	200,000	
SAMIT KUMAR GHOSH 	00185369	Director	3,547,143	
RAVICHANDRAN VENI 	02064557	Director	0	
RAJESH KUMAR JOGI 	03341036	Director	0	
SUDHA SURESH	06480567	Director	0	
RAJNI ANIL MISHRA	08386001	Director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
RAGHUNATH NARASIMHAIYER	09428287	Nominee director	0	
SATYAKI RASTOGI	02189494	Nominee director	0	
Durga Ramesh Murthy Iyer	ADXPM2951N	CFO	0	
Chanchal Kumar	ALJPK1011B	Company Secretary	14,252	04/04/2022

(ii) Particulars of change in director(s) and Key managerial personnel during the year

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Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Mahadev Lakshminaray	05003710	Director	18/06/2021	Cessation
Ittira Davis	06442816	Director	23/07/2021	Cessation
Nandlal Sarda	00147782	Director	26/07/2021	Retirement
Mona Kachhwaha	01856801	Director	10/08/2021	Cessation
Harish Devarajan	00080245	Director	12/08/2021	Cessation
Banavar Anantharamaia	02101808	Additional director	20/08/2021	Appointment
Banavar Anantharamaia	02101808	Director	27/09/2021	Change in Designation
Ravichandran Venkatar	02064557	Additional director	20/08/2021	Appointment
Ravichandran Venkatar	02064557	Director	27/09/2021	Change in Designation
Samit Kumar Ghosh	00185369	Additional director	20/08/2021	Appointment
Samit Kumar Ghosh	00185369	Director	27/09/2021	Change in Designation
Sudha Suresh	06480567	Director	20/08/2021	Appointment
Sudha Suresh	06480567	Director	27/09/2021	Change in Designation
Rajesh Kumar Jogi	03341036	Additional director	25/08/2021	Change in Designation
Rajesh Kumar Jogi	03341036	Director	27/09/2021	Change in Designation
Umesh Bellur	08626165	Additional director	27/09/2021	Retirement
Nitin Chugh	01884659	Managing Director	30/09/2021	Cessation
Umang Bedi	02432920	Director	01/10/2021	Cessation
P N Raghunath	09428287	Nominee director	29/11/2021	Appointment
Chitra K Alai	03138604	Nominee director	22/12/2021	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
AGM	27/09/2021	186,743	73	83.37

B. BOARD MEETINGS

*Number of meetings held

11

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	18/05/2021	12	12	100
2	06/08/2021	9	8	88.89
3	19/08/2021	7	5	71.43
4	25/08/2021	11	9	81.82
5	30/10/2021	8	8	100
6	08/11/2021	8	7	87.5
7	24/11/2021	8	7	87.5
8	06/12/2021	9	8	88.89
9	12/01/2022	9	8	88.89
10	05/02/2022	9	9	100
11	29/03/2022	9	8	88.89

C. COMMITTEE MEETINGS

Number of meetings held

65

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	28/04/2021	4	4	100
2	Audit Committee	18/05/2021	4	4	100
3	Audit Committee	01/07/2021	4	4	100
4	Audit Committee	06/08/2021	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
5	Audit Committee	30/10/2021	4	4	100
6	Audit Committee	08/11/2021	4	4	100
7	Audit Committee	03/01/2022	5	5	100
8	Audit Committee	05/02/2022	4	4	100
9	Audit Committee	25/02/2022	4	4	100
10	Audit Committee	29/03/2022	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	02/09/2022
								(Y/N/NA)
1	BANAVAR AN	8	8	100	25	25	100	Yes
2	ITTIRA DAVIS	3	3	100	14	14	100	Yes
3	SAMIT KUMA	8	8	100	23	23	100	Yes
4	RAVICHANDE	8	8	100	29	29	100	Yes
5	RAJESH KUM	11	11	100	41	41	100	Yes
6	SUDHA SURE	8	8	100	25	25	100	Yes
7	RAJNI ANIL M	11	11	100	37	37	100	Yes
8	RAGHUNATH	4	4	100	4	4	100	Yes
9	SATYAKI RAS	3	2	66.67	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Nitin Chugh	Managing Director	6,974,400	0	0	4,306,485	11,280,885
2	Ittira Davis	Managing Director	2,938,137	0	0	6,344	2,944,481
	Total		9,912,537	0	0	4,312,829	14,225,366

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Upma Goel	CFO	6,974,400			0	6,974,400
2	Durga Ramesh Mur	CFO	2,938,137			0	2,938,137
3	Chanchal Kumar	Company Secretary	5,358,943			0	5,358,943
	Total		15,271,480			0	15,271,480

Number of other directors whose remuneration details to be entered

16

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ittira Davis	Additional Director	0	0	0	525,000	525,000
2	Mona Kachhwaha	Non-Executive, Independent Director	0	0	0	650,000	650,000
3	Rajesh Kumar Jogi	Independent Director	0	0	0	2,975,000	2,975,000
4	Chitra K Alai	Nominee Director	0	0	0	425,000	425,000
5	Nandlal Sarda	Independent Director	0	0	0	675,000	675,000
6	Prabal Kumar Sen	Independent Director	0	0	0	1,400,000	1,400,000
7	Mahadev Lakshmin	Independent Director	0	0	0	375,000	375,000
8	Umang Bedi	Independent Director	0	0	0	700,000	700,000
9	Rajni Mishra	Independent Director	0	0	0	2,725,000	2,725,000
10	Umesh Bellur	Additional Director	0	0	0	350,000	350,000
11	Harish Devarajan	Additional Director	0	0	0	375,000	375,000
12	Samit Ghosh	Non-Executive, Independent Director	0	0	0	1,750,000	1,750,000
13	Sudha Suresh	Non-Executive, Independent Director	0	0	0	1,850,000	1,850,000
14	Banavar Anantharam	Independent Director	0	0	0	1,950,000	1,950,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
15	Ravichandran Venk	Independent Dir	0	0	0	2,150,000	2,150,000
16	Satyaki Rastogi	Nominee Directo	0	0	0	150,000	150,000
	Total		0	0	0	19,025,000	19,025,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Kunjithapadham Jayachandran

Whether associate or fellow

Associate Fellow

Certificate of practice number

4031

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

List of attachments

List of Preference Shareholder.pdf
Changes in Board and KMP during the FY
List of Board Committee Meetings held dur

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

