

USFB/CS/SE/2022-23/45

Date: September 02, 2022

To,

National Stock Exchange of India Limited

Listing Department
Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra
(E)
Mumbai – 400 051

BSE Limited

Listing Compliance
P.J. Tower,
Dalal Street, Fort,
Mumbai – 400 001

Symbol: UJJIVANSFB

Scrip Code: 542904

Dear Sir/Madam,

Sub: Intimation under Regulation 30 and 44 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulations”)- Voting Results along with the Report of the Scrutinizer

Pursuant to Regulation 30 and 44 read with Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”), we hereby submit the results of the e-voting casted by the members on resolutions as set out in the Notice of the 6th AGM of the Bank held today at 03:00 P.M IST.

Further pursuant to captioned Regulations, we hereby enclose the following:

1. Report of the Scrutinizer dated September 02, 2022
2. Voting Results pursuant to Regulation 44 of the SEBI Listing Regulations in prescribed format

Date of the Meeting	September 02, 2022
Total No. of Members as on Cut-off date	2,69,534
Numbers of Members who attended the Meeting	
Promoter	1
Public	52

Further, we hereby inform you that all the Resolutions mentioned in the aforesaid Notice have been passed with requisite majority.

The said Result and Report shall also be available on the website of the Bank i.e. www.ujjivansfb.in

We request you to take note of the above.

Thanking You,

Yours faithfully,

For UJJIVAN SMALL FINANCE BANK LIMITED

Sanjeev Barnwal

Company Secretary & Head of Regulatory Framework

Encl: as mentioned above



CS S KANNAN
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SCRUTINIZER'S REPORT

(Pursuant to Section 108 of the Companies Act, 2013 and
Rule 20 of the Companies (Management and Administration) Rules, 2014.

2nd September 2022

To,

The Chairman / Authorized Person
Ujjivan Small Finance Bank Limited
Grape Garden, No. 27, 3rd "A" Cross,
18th Main, 6th Block, Koramangala,
Bengaluru - 560 095.

Dear Sir,

Sub: Scrutinizer's Report for 6th Annual General Meeting of the Equity Shareholders of Ujjivan Small Finance Bank Limited, held on Friday, 2nd September 2022, at 03.00 p.m. IST through Video Conferencing / Other Audio-Visual Means.

-:-

I, S Kannan, Practicing Company Secretary, appointed as Scrutinizer by the Board of Directors of Ujjivan Small Finance Bank Limited (the Bank) CIN: L65110KA2016PLC142162, for the purpose of scrutinizing e-voting process (remote e-voting) and electronic voting (e-voting) during the 6th Annual General Meeting (AGM) pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 & 21 of the Companies (Management and Administration) Rules, 2014 (Amendment Rules, 2015) and pursuant to Regulation 44 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Listing Regulations), in respect of the below mentioned Resolutions proposed at the 6th AGM of the Equity Shareholders of the Bank held on Friday, 2nd September 2022 at 3.00 p.m. IST through Video Conferencing (VC) / Other Audio Visual Means (OAVM), hereby submit my report.

The Management of the Bank is responsible for ensuring compliance with the requirements of the Companies Act, 2013, Listing Regulations and Rules and Circulars issued by Ministry of Corporate Affairs (MCA) and Securities and Exchange Board of India (SEBI) relating to conducting of AGM through VC/OAVM and voting through electronic means on the Resolutions specified in the AGM Notice dated 26th July 2022. My responsibility as a Scrutinizer for the voting process is restricted to make a consolidated Scrutinizer Report of the votes cast "in favour" or "against" the Resolutions based on the reports generated from the e-voting system both through remote e-voting and e-voting during the AGM.

Accordingly, I submit my report as under:

1. The e-voting facility both for remote e-voting and e-voting during the AGM was provided by KFin Technologies Limited (KFintech).
2. In terms of General Circulars No. 14/2020, 17/2020, 20/2020 and 02/2021, dated 08.04.2020, 13.04.2020, 05.05.2020, 13.01.2021 and 05.05.2022 respectively issued by MCA, (MCA Circulars), read with Circular Nos. SEBI / HO/ CFD/ CMD1/ CIR/ P/ 2020/ 79,



S KANNAN AND ASSOCIATES

Company Secretaries

FCS 6261, PCS No. 13016, Firm No. S2017KR473100

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GST No. 29ADZPK4574H1ZP
Peer Review Cert. No. 1695/2022
MSME Regn. No. KR03D0161932



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SEBI/HO/CFD /CMD2/CIR/P /2021/11 dated 12.05.2020 and 15.1.2021 respectively and SEBI/HO/CFD/CMD2/CIR/P/2022/62 dated May 13, 2022 issued by the SEBI, the Bank had sent the AGM Notice through electronic mode to those Members whose E-mail addresses were registered with the Bank's RTA/Depositories. Accordingly, the communication of assent or dissent of the Members on the Resolutions stated in the Notice of the 6th AGM of the Bank, took place, only through the remote e-voting system and e-voting system during the AGM.

3. The Equity Shareholders holding shares as on Friday, 26th August, 2022, i.e. "cut-off date", were entitled to vote on the Resolutions stated in the Notice of the 6th AGM of the Bank.
4. For those Members who have not registered their email ids with the Bank's RTA/Depositories, the Bank had made necessary arrangements to register the email ids, to receive AGM Notice, Annual Report and e-voting user ID and password. Detailed procedure was given in the Notice to shareholders for the 6th AGM.
5. The Public advertisement in terms of the MCA Circulars with respect to 6th AGM was published on 12.08.2022 in all editions of "Financial Express" in English Newspaper and all editions of "Hosadigantha" in Kannada Newspaper.
6. The Members were informed vide the AGM notice that they were required to give their assent for or dissent against the Resolutions stated in the AGM Notice, either through remote e-voting facility or through the e-voting facility during the AGM. The remote e-voting was kept open from Monday, 29th August, 2022 from 9:00 A.M. to Thursday, 1st September, 2022 till 05:00 P.M. (both days inclusive).
7. Pursuant to the provisions of Listing Regulations and the provisions of Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 (including any amendments thereto), the Bank has provided electronic voting facility (e-voting) to the Members of the Bank and has engaged the KFintech for providing e-voting platform.
8. Particulars of all the Members who participated in the e-voting are separately maintained by Service Provider of the Bank i.e. KFintech.
9. Names of the Members who had voted by remote e-voting through the facility provided by KFintech had been blocked and only those Members who were present at the AGM through VC/OAVM and who had not casted their votes through the remote e-voting system, were allowed to cast their votes through e-voting system during the AGM.
10. 53 members attended the AGM through VC/OAVM.
11. After declaration of commencement of voting by the Chairman, the Members present in the AGM through VC/OAVM voted through E-voting provided by KFintech at the AGM. The e-voting facility was kept open for 15 minutes. Out of 53 members who attended the AGM through VC, 9 members voted through the E-voting at AGM.



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12. I have considered all electronic votes recorded from Monday, 29th August, 2022 from 9:00 A.M. to Thursday, 1st September, 2022 till 05:00 P.M. (both days inclusive), being the last date and time fixed by the Bank for remote e-voting and all electronic votes cast during the AGM, through the e-voting facility i.e., E-voting.
13. A summary of the electronic voting confirmations (e-votes) received for the Resolutions given in the Notice referred to above, seeking Members' approval as downloaded from the remote e-voting system of KFintech and votes cast at the AGM through E-voting facility and the total votes cast in favour or against all the Resolutions proposed in the Notice of the AGM are as under:

Res. No.	Resolution	E-VOTING		Result
		For	Against	
1	To receive, consider and adopt the Audited Financial Statements of the Bank for the Financial Year ended March 31, 2022 and the Reports of the Board of Directors and Auditors thereon.	466,573,151	15228	Passed with requisite majority
2	To reappoint Mr. Samit Kumar Ghosh (DIN: 00185369), who retires by rotation as a director and, being eligible, seeks re-appointment	466,492,109	122336	Passed with requisite majority

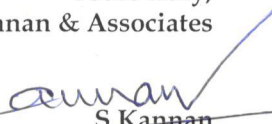
Note: Ujivan Financial Services Limited ("UFSL or Promoter") holds 1440036800 equity shares amounting to 83.32% of the total equity capital of the Bank. However, its voting rights are restricted to 26% of the total equity share capital of the Bank in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of shareholding/voting rights of UFSL has not been considered in accordance with Section referred to above. Hence, out of 1,728,314,205 total equity shares of the Bank, only 44,93,61,693 equity shares forming 26% of the total equity share capital of the Bank is considered for the purpose of eligible voting rights of the Promoters.

I write to state that all Resolutions set out in the AGM Notice dated 26th July 2022 are approved by Members of the Bank with requisite majority. You may accordingly declare the results as per law.

Further I state that:

- A list of equity shareholders who have cast their vote through E-voting and through E-voting at the AGM has been shared with you.
- The electronic data and all other relevant records relating to the e-voting shall remain in my safe custody and shall be handed over to you for preserving safely after the Chairman considers, approves and signs the Minutes of the aforesaid Annual General Meeting.

Place: Bengaluru
Date: 2nd September 2022

Yours truly,
For S Kannan & Associates

S Kannan
Company Secretary
FCS 6261, PCS 13016
UDIN No. F006261D000901012



S KANNAN AND ASSOCIATES

Company Secretaries

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Peer Review Cert. No. 1695/2022
MSME Regn. No. KR03D0161932

Name of the Company	UJIVAN SMALL FINANCE BANK LIMITED
Date of the AGM/EGM	02-09-2022
Total number of shareholders on record date	269534
No. of shareholders present in the meeting either in person or through proxy:	
Promoters and Promoter Group:	Not Applicable
Public:	Not Applicable
No. of Shareholders attended the meeting through Video Conferencing	
Promoters and Promoter Group:	1
Public:	52

Resolution No.	1									
Resolution required: (Ordinary/ Special)	ORDINARY - TO RECEIVE, CONSIDER AND ADOPT THE AUDITED FINANCIAL STATEMENTS OF THE BANK FOR THE FINANCIAL YEAR ENDED MARCH 31, 2022 AND THE REPORTS OF THE BOARD OF DIRECTORS AND AUDITORS THEREON									
Whether promoter/ promoter group are interested in the agenda/resolution?	No									
Category										
	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100	Votes Invalid	Votes Abstained
Promoter and Promoter Group	E-Voting		1,440,036,800	100.0000	1,440,036,800	0	100.0000	0.0000	0	0
	Less: Not considered as per Banking Regulation Act, 1949*	1,440,036,800	990,675,107		990,675,107	0	0.0000	0.0000	0	0
	Votes Considered		449,361,693		449,361,693	0	100.0000	0.0000	0	0
	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		449,361,693	100.0000	449,361,693	0	100.0000	0.0000	0	0
Public- Institutions	E-Voting		10,714,031	65.4470	10,714,031	0	100.0000	0.0000	0	0
	Poll	16,370,541	0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		10,714,031	65.447	10,714,031	0	100.0000	0.0000	0	0
Public- Non Institutions	E-Voting		6,471,921	2.3802	6,456,693	15,228	99.7647	0.2352	0	27,927
	Poll	271,906,864	40,734	0.0150	40,734	0	100.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		6,512,655	2.3952	6,497,427	15,228	99.7662	0.2338	0	27,927
	Total	1,728,314,205	466,588,379	26.9967	466,573,151	15,228	99.9967	0.0033	0	27,927

Resolution No.	2									
Resolution required: (Ordinary/ Special)	ORDINARY - TO REAPPOINT MR. SAMIT KUMAR GHOSH (DIN 00185369), WHO RETIRES BY ROTATION AS A DIRECTOR AND, BEING ELIGIBLE, SEEKS RE-APPOINTMENT									
Whether promoter/ promoter group are interested in the agenda/resolution?	No									
Category										
	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100	Votes Invalid	Votes Abstained
Promoter and Promoter Group	E-Voting		1,440,036,800	100.0000	1,440,036,800	0	100.0000	0.0000	0	0
	Less: Not considered as per Banking Regulation Act, 1949*	1,440,036,800	990,675,107		990,675,107	0	0	0	0	0
	Votes Considered		449,361,693		449,361,693	0	100.0000	0	0	0
	Poll		0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		449,361,693	100.0000	449,361,693	0	100.0000	0.0000	0	0
Public- Institutions	E-Voting		10,714,031	65.447	10,621,249	92,782	99.1340	0.8659	0	0
	Poll	16,370,541	0	0.0000	0	0	0.0000	0.0000	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		10,714,031	65.447	10,621,249	92,782	99.1340	0.8660	0	0
Public- Non Institutions	E-Voting		6,497,987	2.3898	6,468,883	29,104	99.5521	0.4478	0	1,861
	Poll	271,906,864	40,734	0.0150	40,284	450	98.8952	1.1047	0	0
	Postal Ballot (if applicable)		0	0.0000	0	0	0.0000	0.0000	0	0
	Total		6,538,721	2.4048	6,509,167	29,554	99.5480	0.4520	0	1,861
	Total	1,728,314,205	466,614,445	26.9982	466,492,109	122,336	99.9738	0.0262	0	1,861

*NOTE - Ujivan Financial Services Limited ("UFSL or Promoter") holds 1,44,00,36,800 equity shares amounting to 83.32% of the total equity capital of the Bank. However, its voting rights are restricted to 26% of the total equity share capital of the Bank in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of shareholding/voting rights of UFSL has not been considered in accordance with Section referred to above. Hence, out of 1,728,314,205 total equity shares of the Bank, only 44,93,61,693 equity shares forming 26% of the total equity share capital of the Bank is considered for the purpose of eligible voting rights of the Promoters.