



# UJJIVAN SMALL FINANCE BANK

USFB/CS/SE/2019-20/07

Date: January 14, 2020

To,

**National Stock Exchange of India Limited**  
Listing Department  
Exchange Plaza, C-1, Block G, Bandra Kurla  
Complex, Bandra (E)  
Mumbai – 400 051

**BSE Limited**  
Listing Compliance  
P.J. Tower,  
Dalal Street  
Mumbai – 400 001

**Symbol:** UJJIVANSFB

**Scrip Code:** 542904

Dear Sir/Madam,

**Sub:** Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2019.

Pursuant to regulation captioned, we hereby enclose certificate dated January 14, 2020 received from M/s. KFin Technologies Private Limited (*Formerly known as Karvy Fintech Private Limited*), our Registrar and Transfer Agent, for the quarter ended December 31, 2019.

This intimation shall also be available on the Bank's website at [www.ujjivansfb.in](http://www.ujjivansfb.in).

We request you to take note of the above.

Thanking You,

Yours faithfully,  
For UJJIVAN SMALL FINANCE BANK LIMITED



**Chanchal Kumar**  
Company Secretary and Compliance Officer

**Ujjivan Small Finance Bank Ltd.**

**Registered Office:** Plot No. 2364/8, Khampur Raya Village, Shadi Kampur, Main Patel Road, New Delhi-110008.

Tel: +91 11 30432121, Fax: +91 11 30432111.

**Head Office:** Grape Garden, No. 27, 3rd A Cross, 18th Main, Koramangala 6th Block, Bengaluru-560095.

Tel: +91 80 40712121, Fax: +91 80 4146 8700.

**CIN:** U65110DL2016PLC302481 **W** [www.ujjivansfb.in](http://www.ujjivansfb.in) **E** [ujjivan.blr@ujjivan.com](mailto:ujjivan.blr@ujjivan.com)

KFIN/MRVS/NSDL/74(5) E/1  
Date: 14/01/2020

NATIONAL SECURITIES DEPOSITORY LIMITED  
TRADE WORLD 4TH FLOOR  
KAMALA MILLS COMPOUND  
SENAPATI BAPAT MARG  
LOWER PAREL  
MUMBAI - 400 013


Dear Sir(s),

Sub: Certificate under Regulation 74(5) for the quarter ended  
December 31, 2019

We as Registrars, Share Transfer and Depository Services agents of the UJJIVAN SMALL FINANCE BANK LIMITED, certify that No requests were received towards dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

Thanking you,

Yours faithfully,  
For KFIN TECHNOLOGIES PRIVATE LIMITED



M R V Subrahmanyam  
General Manager

CC TO:

THE COMPANY SECRETARY  
UJJIVAN SMALL FINANCE BANK LIMITED  
PLOT NO. 2364/8  
KHAMPUR RAYA VILLAGE  
MAIN PATEL NAGAR ROAD, SHADI KHAMPUR  
NEW DELHI  
110008

**KFin Technologies Private Limited**  
(Formerly known as "Karvy Fintech Private Limited")

**Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.  
Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649

KFIN/MRVS/CDSL/74(5) E/1  
Date: 14/01/2020

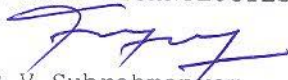
CENTRAL DEPOSITORY SERVICES (INDIA) LTD  
REGD OFF: MARATHON FUTUREX, A WING, 25TH FLOOR  
NM JOSHI MARG, LOWER PAREL (EAST)  
MUMBAI - 400 023

Dear Sir(s),  
Sub: Certificate under Regulation 74(5) for the quarter ended  
December 31, 2019

We as Registrars, Share Transfer and Depository Services agents of the UJJIVAN SMALL FINANCE BANK LIMITED, certify that No requests were received towards dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

Thanking you,

Yours faithfully,  
For KFIN TECHNOLOGIES PRIVATE LIMITED



M R V Subrahmanyam  
General Manager

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UJJIVAN SMALL FINANCE BANK LIMITED  
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