

1. **Name of Listed Entity:** Ujjivan Small Finance Bank Limited
2. **Scrip Code/Name of Scrip/Class of Security:** UJJIVANSFB
3. **Share Holding Pattern Filed under:** 31b
4. **Share Holding Pattern as on :** 31-Dec-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up (V)	No. of shares underlying (VI)	Total nos. shares held (VII) = (IV)+(V) +	Shareholding as a % of total no. of shares (calculate as per SCRR, 1957) (VIII) As a % of (A+B+CZ)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying (X)	Shareholding, as a % assuming full conversion (XI) = $\frac{(X)}{(A+B+CZ)}$	Number of Locked in shares (XII)		Number of Shares pledged or otherwise (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Outstanding convertible securities (including Warrants) (X)					of convertible securities (as a percentage of diluted share capital) (XI) = $\frac{(X)}{(A+B+CZ)}$	N o. (a)	As a % of total Shares held	N o. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
								Total as a % of (A+B+C)					N o. (a)	As a % of total Shares held	N o. (a)	As a % of total Shares held (b)		
A	Promoter & Promoter Group	7	1440036800	0	0	1440036800	83.32	1440036800	0	144003680	83.32	0	83.32	1440036800	100	0	0	1440036800
B	Public	121673	288186369	0	0	288186369	16.68	288186369	0	288186369	16.68	0	16.68	167578261	58.15			288186369
C	Non Promoter-Non Public	0	0	0	0	0		0	0	0	0	0	0	0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0	0	0			0
C2	Shares held by Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

	Trusts																	
	Total	12168 0	1728223 169	0	0	17282231 69	100	1728 2231 69	0	172 822 316 9	100	0	100	16076 15061	93.02	0	0	17282231 69

d	Any Other (specify)		1	14400 36794	0	0	144003 6794	83.32	14400 36794	0	144 003 679 4	83.32	0	83.32	1440 0367 94	100	0	0	14400367 94
	Bodies Corporate		1	14400 36794	0	0	144003 6794	83.32	14400 36794	0	144 003 679 4	83.32	0	83.32	1440 0367 94	100	0	0	14400367 94
	UJJIVAN FINANCIAL SERVICES LIMITED		1	14400 36794	0	0	144003 6794	83.32	14400 36794	0	144 003 679 4	83.32	0	83.32	1440 0367 94	100	0	0	14400367 94
	Sub-Total (A)(1)		7	1440 0368 00	0	0	144003 6800	83.32	1440 0368 00	0	144 003 680 0	83.32	0	83.32	1440 0368 00	100	0	0	14400368 00
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		7	1440 0368 00	0	0	144003 6800	83.32	1440 0368 00	0	144 003 680 0	83.32	0	83.32	1440 0368 00	100	0	0	14400368 00

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Share holding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in, each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumb		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held (b)	No.		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0				0	
a	Mutual Funds/		6	29752562	0	0	29752562	1.72	29752562	0	29752562	1.72	0	1.72	28717794	96.52			29752562
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0				0
c	Alternate Investment Funds		17	55069599	0	0	55069599	3.19	55069599	0	55069599	3.19	0	3.19	55062916	99.99			55069599
d	Foreign Venture		0	0	0	0	0	0	0	0	0	0	0	0	0				0

	Capital Investors																		
e	Foreign Portfolio Investors		24	82425932	0	0	82425932	4.77	82425932	0	82425932	4.77	0	4.77	41519200	50.37			82425932
	GOVERNMENT OF SINGAPORE		1	28235116	0	0	28235116	1.63	28235116	0	28235116	1.63	0	1.63	11530800	40.84			28235116
f	Financial Institutions/Banks		1	120052	0	0	120052	0.01	120052	0	120052	0.01	0	0.01	0	0			120052
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
h	Provident Funds/Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)		4	6677586	0	0	6677586	0.39	6677586	0	6677586	0.39	0	0.39	6080400	91.06			6677586
	Other		4	6677586	0	0	6677586	0.39	6677586	0	6677586	0.39	0	0.39	6080400	91.06			6677586
	Sub-Total (B)(1)		52	174045731	0	0	174045731	10.07	174045731	0	174045731	10.07	0	10.07	131380310	75.49			174045731
2	Central Government/State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals -		120357	97805936	0	0	97805936	5.66	97805936	0	97805936	5.66	0	5.66	34146722	34.91			97805936
i	Individual		12003	57344	0	0	573449	3.32	57344	0	573	3.32	0	3.32	9253	16.1			57344972

	shareholders holding nominal share capital up to Rs. 2 lakhs.		4	972			72		972		44972				532	4			
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		323	40460964	0	0	40460964	2.34	40460964	0	40460964	2.34	0	2.34	24893190	61.52			40460964
b	NBFCs registered with RBI		2	1046504	0	0	1046504	0.06	1046504	0	1046504	0.06	0	0.06	0	0			1046504
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		1262	15288198	0	0	15288198	0.88	15288198	0	15288198	0.88	0	0.88	2051229	13.42			15288198
	Bodies Corporate		250	8491314	0	0	8491314	0.49	8491314	0	8491314	0.49	0	0.49	571428	6.73			8491314
	Clearing Members		285	3667004	0	0	3667004	0.21	3667004	0	3667004	0.21	0	0.21	0	0			3667004
	Non-Resident Indian (NRI)		725	3121100	0	0	3121100	0.18	3121100	0	3121100	0.18	0	0.18	1479801	47.41			3121100
	Trusts		2	8780	0	0	8780	0	8780	0	8780	0	0	0	0	0			8780
	Sub-Total (B)(3)		121621	114140638	0	0	114140638	6.6	114140638	0	114140638	6.6	0	6.6	36197951	31.71			114140638

Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	121673	288186369	0	0	288186369	16.68	288186369	0	288186369	16.68	0	16.68	167578261	58.15			288186369
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Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation/ acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held
5	2035

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %

For UJJIVAN SMALL FINANCE BANK LIMITED

Sd/-
 Chanchal Kumar
 Company Secretary and Compliance Officer

Date: January 10, 2020